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## ABSTRACT

This report presents data in tabulated form. The survey covered all such institutions in the country known or believed to have allocated at least \$100,000 to intramural R&D performance, and contains data on scientists, engineers, and technicians employed, and on current and capital expenditures associated with the conduct of research and development. Summary data, classified by type and size of organization and by state, are presented. Highlights in the report show: (1) independent nonprofit institutions employed over 26,000 scientists and engineers in October 1973, and 88 percent of these were primarily engaged in research and development; (2) R&D expenditures of independent nonprofit institutions during 1973 totaled \$1,006 million, with the Federal Government being the source of 69 percent of the funds; (3) of the total R&D expenditure, the largest amount was allocated to the life sciences--37 percent, engineering for 28 percent, and the social sciences for 14 percent. Technical notes, statistical tables, a reproduction of the covering letter, summary questionnaire, and instructions are presented in the appendices. (Author/EB)

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TECHNOLOGY, AND FOR THE  
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# R&D ACTIVITIES OF INDEPENDENT NONPROFIT INSTITUTIONS, 1973



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# FOREWORD

The data presented in these tables summarize the information obtained in the National Science Foundation's survey of R&D activities of independent nonprofit institutions during 1973. The survey covered all such institutions in the country known or believed to have allocated at least \$100,000 to intramural R&D performance, and obtained data on scientists, engineers, and technicians employed and on current and capital expenditures associated with the conduct of research and development. Summary data, classified by type and size of organization and by State, are presented in appendix B; additional distributions may be found in the summary questionnaires reprinted in appendix C.

This study is an integral part of the NSF's continuing program of statistical surveys designed to obtain information on the Nation's resources allocated to the advancement of science and technology. Under this program, all major sectors of the economy are studied, including universities and colleges; industrial firms; Federal, State and local governments; and other nonprofit institutions.

The survey was conducted by the Foundation's Division of Science Resources Studies, Dr. Charles E. Falk, Director. The National Science Foundation extends its appreciation to the many officials of nonprofit institutions who contributed time and effort in replying to questionnaires and without whose help the survey could not have been successfully completed.

H. Guyford Stever  
Director  
National Science Foundation

April 1975

# general notes

- Independent nonprofit institutions, as defined for this survey, are legal entities—other than universities and colleges, which are the subject of a separate survey—organized or chartered to serve the public interest and are exempt from most forms of Federal taxation. The survey on which this report is based included nonprofit organizations whose intramural R&D expenditures were known or thought to total \$100,000 or more. Surveyed organizations include research institutes, nonprofit-administered Federally Funded Research and Development Centers (FFRDC's), voluntary hospitals, private foundations, professional or technical societies and academies of science, science exhibitors, trade associations and agricultural cooperatives, and other nonprofit organizations, not elsewhere classified (n.e.c.). This report does not include hospitals and science exhibitors operated by State or local governments.
- Statistics shown in this report may not add to totals or subtotals because of rounding.
- For detailed definitions, see instructions in Section C.
- Requests for additional information concerning the survey results should be addressed to J.G. Huckenpahler, Division of Science Resources Studies, National Science Foundation, Washington, D.C. 20550.

# acknowledgments

This report was prepared in the R&D Economic of Science Resources Studies, by J. G. Huckenpahler, conducted under the direction of Richard M. Bernick, and Nonprofit Institutions Studies Group, with assistance of L. Stewart, Head of the R&D Economic Studies Group. The preparation of this report was provided by Mrs. Esther F. Gist.

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This report was prepared in the R&D Economic Studies Section, Division of Science Resources Studies, by J. G. Huckenpahler. The survey was conducted under the direction of Richard M. Berry, Study Director, Universities and Nonprofit Institutions Studies Group, with special assistance by William L. Stewart, Head of the R&D Economic Studies Section. Statistical assistance was provided by Mrs. Esthef F. Gist.

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## HIGHLIGHTS

- Independent nonprofit institutions employed over 26,000 scientists and engineers in October 1973. Of these, 88 percent were primarily engaged in research and development.
- Research institutes accounted for the largest number of scientists and engineers, 43 percent of the total, and of those primarily engaged in research and development, 47 percent of the total. R&D scientists and engineers ranged from 97 percent in research institutes to 49 percent in societies and academies of science.
- Of the 26,000 scientists and engineers, 38 percent were life scientists; more than one-half of these were employed in hospitals. Engineers made up the second largest field, with 21 percent of the total; another 15 percent were social scientists.
- Scientists and engineers holding Ph.D. or Sc.D. degrees made up 28 percent of the total. Those with an M.D., D.D.S., and other health-professional degrees accounted for another 16 percent; three-fourths of these were employed in hospitals. Scientists and engineers with the master's degree made up 26 percent of the total.
- The 26,000 scientists and engineers were assisted by over 29,000 technicians, 39 percent of whom were primarily engaged in research and development. The proportion so occupied ranged from 96 percent in nonprofit-administered Federally Funded Research and Development Centers (FFRDC's) to 24 percent in voluntary hospitals. Of the 18,000 technicians primarily engaged in other activities—such as administration, science information, and patient care—94 percent were employed in voluntary hospitals.
- R&D expenditures of independent nonprofits totaled \$1,006 million. The Federal Government provided 41 percent of the funds, while industrial firms contributed 35 percent. Since the same amount as was financed by the independent nonprofits in 1972 was equivalent to 1972 dollars, the 1973 total represents substantial growth in real terms. This performance as was reported by independent nonprofits in the 1973 NSF survey.
- The federally financed portion of total R&D expenditures was 31 percent in nonprofit administered FFRDC's, 27 percent in foundations, 17 percent in industry, and 15 percent in trade associations and agricultural cooperatives.
- Of the total R&D expenditures, the largest share went to life sciences—37 percent. Engineering and applied sciences accounted for 21 percent, and the social sciences for 14 percent.

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tation care—94 percent were employed in

- R&D expenditures of independent nonprofit institutions during 1973 totaled \$1,006 million. The Federal Government was the source of 69 percent of the funds, while industrial firms financed 10 percent—about the same amount as was financed by the institutions themselves. In constant dollars, the 1973 total represents substantially the same level of R&D performance as was reported by independent nonprofit institutions in a 1966 NSF survey.
- The federally financed portion of total R&D expenditures ranged from 93 percent in nonprofit administered FFRDC's to 14 percent in private foundations. Industry was the largest source of funds for R&D expenditures of trade associations and agricultural cooperatives—53 percent of the total.
- Of the total R&D expenditures, the largest amount was allocated to the life sciences—37 percent. Engineering accounted for another 28 percent, and the social sciences for 14 percent.

## **APPENDIXES:**

- A. Technical Notes**
- B. Statistical Tables**
- C. Reproduction of Covering Letter,  
Summary Questionnaires, and In-  
structions**
- D. List of Federally Funded Research  
and Development Centers Ad-  
ministered by Nonprofit  
Organizations**

**Table A-1. Nonprofit survey universe as of closeout date: October 4, 1974**

Region, Division, and State	Total	Research institutes	FFRDC's	Hospitals	Societies & academies	Private foundations	Science exhibitors	Trade associations	Other
UNITED STATES TOTAL	444	186	7	123	29	15	17	41	26
NORTHEAST	176	73	1	65	7	4	6	7	13
New England	51	20	1	25	1	2	1	—	1
Maine	3	2	—	1	—	—	—	—	—
New Hampshire	—	—	—	—	—	—	—	—	—
Vermont	1	—	—	1	—	—	—	—	—
Massachusetts	38	15	1	18	1	—	—	—	1
Rhode Island	2	—	—	2	—	—	—	—	—
Connecticut	7	3	—	3	—	—	—	—	—
Middle Atlantic	125	53	—	40	6	2	5	7	12
New York	79	37	—	21	4	2	2	4	9
New Jersey	10	6	—	2	—	1	—	1	1
Pennsylvania	36	10	—	17	2	—	2	3	2
NORTH CENTRAL	89	32	—	26	7	3	4	10	7
East North Central	66	18	—	23	6	3	3	10	3
Ohio	20	6	—	10	2	1	—	—	1
Indiana	4	2	—	2	—	—	—	—	—
Illinois	27	2	—	9	4	—	2	9	1
Michigan	11	6	—	2	—	1	2	1	1
Wisconsin	4	2	—	—	—	—	—	—	1
West North Central	23	14	—	3	1	—	1	—	4
Minnesota	9	6	—	1	1	—	—	—	—
Iowa	1	—	—	—	—	—	—	—	—
Missouri	9	6	—	1	—	—	—	—	—
North Dakota	—	—	—	—	—	—	—	—	—
South Dakota	—	—	—	—	—	—	—	—	—
Nebraska	—	—	—	—	—	—	—	—	—
Kansas	4	2	—	1	—	—	—	—	—
SOUTH	99	46	3	9	14	6	1	7	3
South Atlantic	74	33	3	4	13	3	1	14	3
Delaware	1	—	—	—	—	—	—	—	—
Maryland	12	3	—	2	4	1	—	—	—
District of Columbia	40	18	1	1	—	—	—	2	1
Virginia	8	3	2	—	—	2	—	7	2
West Virginia	1	1	—	—	—	—	—	3	2
North Carolina	4	4	—	—	—	—	—	—	—
South Carolina	—	—	—	—	—	—	—	—	—
Georgia	2	1	—	—	—	—	—	—	—
Florida	6	3	—	1	—	—	—	—	—
East South Central	7	4	—	2	—	—	—	—	—
Kentucky	3	3	—	—	—	—	—	—	—
Tennessee	3	1	—	2	—	—	—	—	—
Alabama	—	—	—	—	—	—	—	—	—
Mississippi	—	—	—	—	—	—	—	—	—
West South Central	18	9	—	3	—	—	—	—	—
Arkansas	—	—	—	—	—	—	—	—	—
Louisiana	4	1	—	1	—	—	—	—	—
Oklahoma	4	2	—	—	1	—	—	—	—
Texas	10	6	—	2	—	1	—	—	—
WEST	80	35	3	23	1	2	6	7	3
Mountain	15	6	—	6	—	1	1	1	—
Montana	—	—	—	—	—	—	—	—	—
Idaho	—	—	—	—	—	—	—	—	—
Wyoming	—	—	—	—	—	—	—	—	—
Colorado	—	—	—	—	—	—	—	—	—

Federally Funded Research and Development Centers

## APPENDIX A Technical Notes

## **Survey Coverage**

The 1973 survey of independent nonprofit research organizations obtained data on the financial and manpower resources devoted to research and development in the sciences and engineering. Organizations covered by the survey included research institutes; Federally Funded Research and Development Centers (FFRDC's) administered by nonprofit organizations; voluntary hospitals; professional and technical societies and academies of science; private foundations; science exhibitors; trade associations and agricultural cooperatives; and other nonprofit organizations with R&D programs that could not be classified into any of the above categories. Educational institutions—as well as all organizations owned, operated, or controlled by Federal, State or local governments—were excluded from this report.

Survey questionnaires were mailed in January 1974 to 664 organizations known or believed to have allocated at least \$100,000 to the performance of intramural R&D projects. In April and June followup questionnaires were mailed to nonrespondent institutions, and during the month of August, all nonrespondent institutions believed to have allocated \$1 million or more of current funds to intramural R&D projects were contacted by telephone. During the course of the data-collection phase of the survey, 220 institutions without intramural R&D programs were deleted from the survey universe. Thus, as of the closeout date of October 4, 1974, the survey universe comprised 444 organizations (table A-1).

Of these 444 organizations, 294 or 66.2 percent, returned usable replies. Estimates for the 150 nonrespondent institutions were based, where possible, on information obtained from earlier surveys in the series, or other information provided by the institutions themselves—such as treasurer's reports, annual reports, brochures, etc. Where these sources were unavailable, estimates were based on grant lists published by various Federal agencies.

Because of the intensive followup procedures employed with the larger institutions the vast majority of the nonrespondents are institutions within the two smaller size classes. Thus the nonrespondents, while comprising 33.8 percent of the survey universe, are estimated to have accounted for only about 11 percent of the total R&D expenditures, and about the same proportion of all federally funded R&D expenditures (table A-2).

**Table A-2. Response rate of independent institutions, by R&D expenditure**

R&D expenditure-size class (thousands of dollars)	Number of institutions	Number responding
Total .....	444	294
Less than \$500 .....	219	119
\$500-\$999 .....	74	51
\$1,000-\$4,999 .....	112	89
\$5,000 or more .....	39	35

The basic mailing list for the 1973 survey was composed of similar surveys conducted in 1964, 1968, and 1970, and organizations known to be controlled by State or local governments. Organizations reporting intramural R&D expenditures of less than \$10,000 were excluded. Additional organizations were gleaned from the following sources:

- (1) Palmer, Archie M., ed. *Research Centers* Detroit, Mich.: Gale Research Co., 1972.
  - (2) Fisk, Margaret, ed. *Encyclopedia of Associations of the U.S. (and supplement)* Co., 1972.
  - (3) Lewis, Marianna O., ed. *The Foundation Directory* Columbia University Press, 1971.
  - (4) Lists of grants published by Federal agencies

## **Relationship to Earlier Surveys**

The 1973 survey was smaller in coverage than similar to that conducted in 1970, in that it concentrated on expenditures and on the employment of scientific and technical personnel. Earlier surveys requested information relating to the activities of nonprofit organizations, such as intramural and extramural research, scientific and technical information activities, and educational activities. The information requested in 1970, however, separated the survey on manpower and financial resources allocation from the survey on research and development for use by the National Science Foundation. Religious associations and agricultural cooperatives were included for the first time since 1953. The present survey thus represents all types of independent nonprofit institutions having basic research and development activities.

**Table A-2. Response rate of independent nonprofit institutions, by R&D expenditure-size class: 1973**

R&D expenditure-size class (thousands of dollars)	Number of institutions	Number responding	Percent responding	Percent of total R&D estimated	Percent of federally financed R&D estimated
Total .....	444	294	66.2	11.1	11.6
Less than \$500 .....	219	119	54.3	52.9	47.4
\$500-\$999 .....	74	51	68.9	33.7	30.6
\$1,000-\$4,999 .....	112	89	79.5	17.8	16.5
\$5,000 or more .....	39	35	89.7	4.0	7.5

profit research organizations obtained data on devoted to research and development in the s covered by the survey included research and Development Centers (FFRDC's) ad voluntary hospitals, professional and technical private foundations, science exhibitors, trade ivies, and other nonprofit organizations with assified into any of the above categories. organizations owned, operated, or controlled were excluded from this report.

In January 1974 to 684 organizations known or 00 to the performance of intramural R&D projonnaires were mailed to nonrespondent instut, all nonrespondent institutions believed to rrent funds to intramural R&D projects were se of the data-collection phase of the survey, D programs were deleted from the survey until October 4, 1974, the survey universe comprised

.2 percent, returned usable replies. Estimates were based, where possible, on information ob- s, or other information provided by the in- er's reports, annual reports, brochures, etc. stimates were based on grant lists published

procedures employed with the larger in- respondents are institutions within the two dents, while comprising 33.8 percent of the counted for only about 11 percent of the total proportion of all federally funded R&D expen-

The basic mailing list for the 1973 survey was compiled using the master lists from similar surveys conducted in 1964, 1966, and 1970, and from lists of trade associations. Organizations known to be controlled by State or local governments, as well as those reporting intramural R&D expenditures of less than \$75,000 in 1970, were excluded. Additional organizations were gleaned from the following sources:

- (1) Palmer, Archie M., ed. *Research Centers Directory*, 4th ed. (and supplements). Detroit, Mich.: Gale Research Co., 1972.
- (2). Fisk, Margaret, ed. *Encyclopedia of Associations*, 7th ed., vol. I, *National Organizations of the U.S.* (and supplements). Detroit, Mich.: Gale Research Co., 1972.
- (3) Lewis, Marianna O., ed. *The Foundation Directory*, Ed. 4. New York: Colum- bia University Press, 1971.
- (4) Lists of grants published by Federal agencies.

#### Relationship to Earlier Surveys.

The 1973 survey was smaller in coverage than the 1964 and 1966 surveys, but similar to that conducted in 1970, in that it concentrated primarily on intramural R&D expenditures and on the employment of scientific and engineering personnel, whereas earlier surveys requested information relating to the full range of scientific activities of nonprofit organizations, such as intramural and extramural R&D financing, scientific and technical information activities, and education in the sciences. In addition to the information requested in 1970, however, separate data were requested in the 1973 survey on manpower and financial resources allocated to medical and health-related research and development for use by the National Institutes of Health. Also, trade associations and agricultural cooperatives were included in the 1973 survey for the first time since 1953. The present survey thus represents the first time that data on all types of independent nonprofit institutions have been collected simultaneously.

As was the case in the 1970 survey, the present survey covered only those organizations known or believed to have spent \$100,000 or more for intramural research and development. By contrast, surveys prior to 1970 attempted to canvass all institutions known to have R&D programs of any size. Although the data presented in this report include estimates for all surveyed nonrespondent organizations, estimates were not made for nonprofit organizations believed to have less than \$100,000 in intramural R&D expenditures. On the basis of experience gained in previous NSF surveys, it is estimated that the R&D expenditures of the latter group of organizations comprised less than 1 percent of the total for surveyed institutions.

#### Limitations of Data

As in previous surveys in the series, the most serious problems were those generated by the lack of a comprehensive mailing list, the dissimilarity among the types of institutions included within the sector, and shifts of institutions, not only into and out of the sector, but among the categories within the sector, as well. An additional problem arose from the complex relationships which exist between institutions within and outside the sector. Various types and degrees of affiliation and cooperation, especially in cases where research institutes maintained close working relationships with universities or hospitals, made it difficult to determine whether a particular organization should be considered independent or not.

No single directory or source document lists every nonprofit organization which performs research and development. Therefore, the mailing list for the survey had to be compiled from previous surveys conducted by the National Science Foundation and the National Institutes of Health, as well as from a number of specialized directories (see Survey Coverage, *supra*.) It is possible that some new organizations—as well as a few older organizations which recently inaugurated R&D programs—may have been overlooked. The number of such organizations, however, with current R&D expenditures of \$100,000 or more, is believed to be extremely small.

Finally, variations in accounting procedures as well as different interpretations of concepts and definitions added to the limitations surrounding this survey of research and development. A number of institutions experienced difficulty in distinguishing between intramural and extramural research expenditures, between fields of science in certain multidisciplinary activities, and between "scientists and engineers" and "other personnel."

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## INSTITUTIONAL TYPES

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**Table B-1. Scientists and engineers employed in independent nonprofit institutions; by type of activity in which primarily engaged, field of science, and highest earned degree: 1965, 1967, 1970, and 1973**

Characteristics	January 1965	January 1967	January 1970	January 1973
<b>Total scientists and engineers</b>				
21,936				
<b>By type of activity in which primarily engaged:</b>				
Research and development	18,795	22,495	21,806	23,129
Other activities	3,141	3,840	2,340	3,207
<b>By field:</b>				
Engineers	4,765	6,116	5,616	5,546
Physical scientists	3,115	3,691	3,266	3,108
Environmental scientists	436	564	486	626
Mathematicians	2,396	2,505	1,557	1,614
Life scientists	7,625	8,470	7,873	9,905
Psychologists	1,334	1,877	1,424	1,530
Social scientists	2,265	3,112	3,924	4,007
By highest earned degree:				
Ph.D. or Sc.D.	NA	6,482	6,629	7,429
M.D., D.D.S., D.V.M., etc.	NA	3,247	3,046	4,221
Master's	NA	6,303	6,238	6,258
Bachelor's or equivalent	NA	10,303	8,233	8,428

NA - not available.

**TABLE B-2. SCIENTISTS AND ENGINEERS EMPLOYED IN INDEPENDENT NONPROFIT INSTITUTIONS, BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE-CLASS, AND FIELD IN WHICH PRIMARILY EMPLOYED: OCTOBER 1973**

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE-CLASS (THOUSANDS OF DOLLARS)	TOTAL	PHYSICAL SCIENCES			ENVIRONMENTAL SCIENCES			MATHEMATICAL SCIENCES			LIFE SCIENCES			SOCIAL SCIENCES		
		ENGINEERING	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES	LIFE SCIENCES	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES	LIFE SCIENCES	
ALL INSTITUTIONS																
TOTAL	26,336	5,546	3,108	626	1,614	626	1,614	9,905	1,530	4,007	9,905	1,530	4,007	9,905	1,530	
RESEARCH INSTITUTIONS																
TOTAL	11,196	2,438	1,550	168	581	168	581	3,292	481	2,686	3,292	481	2,686	3,292	481	
NONPROFIT-ADMINISTERED FFRDC'S																
TOTAL	4,309	2,161	706	167	617	167	617	105	69	424	105	69	424	105	69	
\$1,000 - \$4,999	55	27	9	0	14	0	14	0	69	156	0	69	156	0	69	
\$5,000 OR MORE	4,254	2,134	697	167	603	167	603	165	49	419	165	49	419	165	49	

Life scientists  
Psychologists  
Social scientists

By highest earned degree:  
Ph.D. or Sc.D.  
M.D., D.D.S., D.V.M., etc.  
Master's  
Bachelor's or equivalent

7,625  
1,334  
2,265  
NA  
NA  
NA  
NA

8,470  
1,877  
3,112  
6,482  
3,247  
6,303  
10,303

7,873  
1,424  
3,924  
6,629  
3,046  
6,238  
8,233

9,905  
1,530  
4,007  
7,429  
4,221  
6,258  
8,428

NA - not available.

TABLE B-2. SCIENTISTS AND ENGINEERS EMPLOYED IN INDEPENDENT NONPROFIT INSTITUTIONS, BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND FIELD IN WHICH PRIMARILY EMPLOYED: OCTOBER 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE-CLASS (THOUSANDS DE-DOLLARS)		TOTAL	ENGINEERING	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES	LIFE SCIENCES	PSYCHOLOGY	SOCIAL SCIENCES
<b>ALL INSTITUTIONS</b>									
TOTAL	26,336	5,566	3,108	626	1,614	9,905	1,530	4,007	
LESS THAN \$ 500	3,182	362	203	51	99	1,843	274	375	
\$ 500 - \$ 999	2,350	233	166	60	97	1,186	226	391	
\$1,000 - \$4,999	6,976	383	313	192	252	4,065	426	1,345	
\$5,000 OR MORE	13,814	4,586	2,426	323	1,166	2,811	604	1,896	
<b>RESEARCH INSTITUTIONS</b>									
TOTAL	11,196	2,438	1,550	168	581	3,292	481	2,686	
LESS THAN \$ 500	676	110	67	6	37	233	69	156	
\$ 500 - \$ 999	511	49	56	22	18	214	23	129	
\$1,000 - \$4,999	3,361	69	201	46	186	1,584	113	1,148	
\$5,000 OR MORE	6,660	2,190	1,226	94	340	1,261	276	1,253	
<b>NONPROFIT-ADMINISTERED FFRDC'S</b>									
TOTAL	4,309	2,161	706	167	617	1,851	49	424	
\$1,000 - \$4,999	55	27	9	0	14	0	0	5	
\$5,000 OR MORE	4,254	2,134	697	167	603	1,851	49	419	
<b>VOLUNTARY HOSPITALS</b>									
TOTAL	6,495	216	194	109	86	5,020	492	376	
LESS THAN \$ 500	1,884	80	69	23	43	1,351	137	141	
\$ 500 - \$ 999	690	31	34	10	6	466	57	64	
\$1,000 - \$4,999	2,579	67	45	76	31	1,983	236	121	
\$5,000 OR MORE	1,382	18	46	0	8	1,198	62	50	
<b>ALL OTHER NONPROFIT INSTITUTIONS</b>									
TOTAL	4,336	731	658	182	328	1,438	508	521	
LESS THAN \$ 500	665	152	67	22	19	259	68	78	
\$ 500 - \$ 999	1,058	153	76	28	73	484	146	198	
\$1,000 - \$4,999	975	160	58	70	21	496	77	71	
\$5,000 OR MORE	1,538	246	457	62	215	167	217	174	

TABLE B-3. GEOGRAPHIC DISTRIBUTION OF SCIENTISTS AND ENGINEERS EMPLOYED IN INDEPENDENT NONPROFIT INSTITUTIONS, BY FIELD IN WHICH PRIMARILY EMPLOYED: OCTOBER 1973

DIVISION AND STATE	TOTAL	ENGINEERING	PHYSICAL SCIENCES	ENVIRONMENTAL	MATHEMATICS	LIFE SCIENCES	PSYCHOLOGY	SOCIAL SCIENCES
UNITED STATES, TOTAL	26,336	5,546	3,108	626	1,614	9,905	1,530	4,007
NEW ENGLAND.....	3,797	710	169	15	263	2,151	189	300
CONNECTICUT.....	166	14	6	2	10	85	27	22
MAINE.....	149	1	2	0	1	134	7	4
MASSACHUSETTS.....	3,385	681	161	13	252	1,855	149	274
NEW HAMPSHIRE.....	0	0	0	0	0	0	0	0
RODE ISLAND.....	91	14	0	0	0	71	6	0
VERMONT.....	6	0	0	0	0	6	0	0
MIDDLE ATLANTIC.....	6,184	469	490	130	400	3,110	567	1,018
NEW JERSEY.....	674	36	68	0	193	45	217	115
NEW YORK.....	4,029	294	331	58	184	2,218	274	670
PENNSYLVANIA.....	1,481	139	91	72	23	847	76	233
EAST NORTH CENTRAL.....	3,987	1,303	661	105	93	1,196	182	447
ILLINOIS.....	1,752	727	219	68	47	430	111	150
INDIANA.....	78	25	3	0	8	32	5	5
MICHIGAN.....	380	96	51	23	15	147	11	37
OHIO.....	1,730	454	386	14	22	544	55	255
WISCONSIN.....	47	1	2	0	1	43	0	0
WEST NORTH CENTRAL.....	896	52	112	12	61	317	127	215
IOWA.....	99	0	0	0	8	0	66	25
KANSAS.....	51	8	1	0	5	9	9	19
MINNESOTA.....	329	18	14	9	25	186	25	52
MISSOURI.....	417	26	97	3	23	122	27	119
NEBRASKA.....	0	0	0	0	0	0	0	0
NORTH DAKOTA.....	0	0	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0	0	0
SOUTH ATLANTIC.....	3,018	366	635	137	227	766	171	716
DELAWARE.....	7	0	1	2	0	4	0	0
DISTRICT OF COLUMBIA	1,830	169	487	97	111	508	64	394
FLORIDA.....	102	8	14	0	4	64	1	11
GEORGIA.....	28	1	0	8	0	19	0	0
MARYLAND.....	283	58	10	1	1	154	10	49
NORTH CAROLINA.....	308	26	72	29	27	9	4	141
SOUTH CAROLINA.....	0	0	0	0	0	0	0	0
VIRGINIA.....	420	104	51	0	89	8	92	81
WEST VIRGINIA.....	40	0	0	0	0	0	0	40
EAST SOUTH CENTRAL.....	577	56	81	5	18	372	12	33
ALABAMA.....	244	41	67	0	13	113	0	10
KENTUCKY.....	54	10	10	2	4	10	3	15
MISSISSIPPI.....	0	0	0	0	0	0	0	0
TENNESSEE.....	279	5	4	3	1	249	9	8
WEST SOUTH CENTRAL.....	940	420	47	18	29	264	7	155
ARKANSAS.....	0	0	0	0	0	0	0	0
LOUISIANA.....	108	10	27	9	1	42	1	18
OKLAHOMA.....	56	3	3	2	1	45	0	2
TEXAS.....	776	407	17	7	27	177	6	135
mountain.....	317	33	46	11	10	155	14	48
ARIZONA.....	94	3	17	2	2	52	7	11
COLORADO.....	140	26	19	9	1	78	4	0

RHODE ISLAND.....	91	14	0	0	0	0	71	6	0
Vermont.....	6	0	0	0	0	0	6	0	0
MIDDLE ATLANTIC.....	6,184	469	490	130	400	3,110	567	1,018	447
NEW JERSEY.....	674	36	68	0	193	45	217	115	0
NEW YORK.....	4,029	294	331	58	184	2,218	274	670	0
PENNSYLVANIA.....	1,481	139	91	72	23	897	76	233	0
EAST NORTH CENTRAL.....	3,987	1,303	661	105	93	1,196	182	447	447
ILLINOIS.....	1,752	727	219	68	47	430	111	150	5
INDIANA.....	78	25	3	0	8	32	5	37	37
MICHIGAN.....	380	96	51	23	-15	147	11	255	0
OHIO.....	1,730	454	386	14	22	544	55	19	82
WISCONSIN.....	47	1	2	0	1	43	0	247	247
WEST NORTH CENTRAL.....	896	52	112	12	61	317	127	215	215
LOWA.....	99	0	0	0	8	0	66	25	19
KANSAS.....	51	8	1	0	5	9	25	52	0
MINNESOTA.....	329	18	14	9	25	186	25	119	0
MISSOURI.....	417	26	97	3	23	122	27	0	0
NEBRASKA.....	0	0	0	0	0	0	0	0	0
NORTH DAKOTA.....	0	0	0	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0	0	0	0
SOUTH ATLANTIC.....	3,018	366	635	137	227	766	171	716	716
DELAWARE.....	7	0	1	2	0	4	0	0	0
DISTRICT OF COLUMBIA	1,830	169	487	97	111	508	64	394	11
FLORIDA.....	102	8	-14	0	4	64	1	0	0
GEORGIA.....	28	1	0	8	0	19	0	49	0
MARYLAND.....	283	58	-10	1	1	154	10	141	0
NORTH CAROLINA.....	308	26	72	29	27	9	4	0	0
SOUTH CAROLINA.....	0	0	0	0	0	0	0	0	0
VIRGINIA.....	420	104	51	0	84	8	92	81	0
WEST VIRGINIA.....	40	0	0	0	0	0	0	40	0
EAST SOUTH CENTRAL.....	577	56	81	5	18	372	12	33	33
ALABAMA.....	244	41	67	0	13	113	0	10	10
KENTUCKY.....	54	10	10	2	4	10	3	15	15
MISSISSIPPI.....	0	5	0	0	0	0	0	0	0
TENNESSEE.....	279	5	4	3	1	249	9	8	8
WEST SOUTH CENTRAL.....	940	420	47	18	29	264	7	155	155
ARKANSAS.....	0	0	0	0	0	0	0	0	0
LOUISIANA.....	108	10	27	9	1	42	1	18	18
OKLAHOMA.....	56	3	3	2	1	45	0	2	2
TEXAS.....	776	407	17	7	27	177	6	135	135
MOUNTAIN.....	317	33	46	11	10	155	14	48	48
ARIZONA.....	94	3	17	2	2	52	7	11	11
COLORADO.....	140	26	19	9	1	78	4	3	3
IDAHO.....	0	0	0	0	0	0	0	0	0
MONTANA.....	0	0	0	0	0	0	0	0	0
NEVADA.....	0	0	0	0	0	0	0	0	0
NEW MEXICO.....	76	2	9	0	5	23	3	34	34
UTAH.....	7	2	1	0	2	2	0	0	0
WYOMING.....	0	0	0	0	0	0	0	0	0
PACIFIC.....	6,620	2,137	867	193	513	1,574	261	1,075	1,075
ALASKA.....	0	0	0	0	0	0	0	0	0
CALIFORNIA.....	5,339	1,859	644	53	469	1,152	218	944	944
HAWAII.....	81	14	14	4	2	26	0	21	21
OREGON.....	274	13	6	2	3	149	19	82	82
WASHINGTON.....	926	251	251	134	134	203	247	247	247

TABLE B-4. SCIENTISTS AND ENGINEERS EMPLOYED IN INDEPENDENT NONPROFIT INSTITUTIONS: BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND HIGHEST EARNED DEGREE: OCTOBER 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE <sup>1</sup>	TOTAL	PH.D. OR SC.D.	MD., DDS. DNB., ETC.	MASTER'S DEGREE	BACHELOR'S OR EQUIVALENT
SIZE-CLASS--(THOUSANDS OF DOLLARS)					
ALL INSTITUTIONS					
TOTAL.....	26,336	7,429	4,221	6,258	8,428
LESS THAN \$ 500..	3,187	872	936	535	844
\$ 500 - \$ 999....	2,359	696	418	515	730
\$1,000 - \$ 4,999..	6,976	2,329	1,669	1,236	1,742
\$5,000 OR MORE....	13,814	3,532	1,198	3,972	5,112
RESEARCH INSTITUTIONS					
TOTAL.....	11,196	3,482	766	2,864	4,084
LESS THAN \$ 500..	678	232	50	189	207
\$ 500 - \$ 999....	511	211	47	117	136
\$1,000 - \$ 4,999..	3,367	1,360	345	682	980
\$5,000 OR MORE....	6,640	1,679	324	1,876	2,761
NONPROFIT-ADMINISTERED FFROCs					
TOTAL.....	4,309	1,018	68	1,633	1,590
\$1,000 - \$ 4,999..	551	15	0	23	17
\$5,000 OR MORE....	4,254	1,003	68	1,610	1,573
VOLUNTARY HOSPITALS					
TOTAL.....	6,495	1,585	3,156	655	1,099
LESS THAN \$ 500..	1,844	471	833	203	337
\$ 500 - \$ 999....	690	152	301	101	136
\$1,000 - \$ 4,999..	2,579	632	1,256	282	409
\$5,000 OR MORE....	1,382	(330)	766	69	217
ALL OTHER NONPROFIT INSTITUTIONS					
TOTAL.....	4,336	1,344	231	1,106	1,655
LESS THAN \$ 500..	665	169	53	143	300
\$ 500 - \$ 999....	1,158	333	70	297	458
\$1,000 - \$ 4,999..	975	322	68	249	336
\$5,000 OR MORE....	1,528	520	40	417	561

TABLE B-5. TOTAL EMPLOYMENT IN INDEPENDENT NONPROFIT INSTITUTIONS: BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND OCCUPATIONAL GROUP: OCTOBER 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE	NUMBER OF INSTITUTIONS	TOTAL EMPLOYMENT	SCIENTISTS AND ENGINEERS IN INSTITUTIONS	TECHNICIANS IN INSTITUTIONS
SIZE-CLASS--(THOUSANDS OF DOLLARS)				
ALL INSTITUTIONS				
TOTAL.....	444	271,589	26,336	23,129
LESS THAN \$ 500..	219	96,343	3,187	2,488
\$ 500 - \$ 999....	74	36,240	2,359	1,725
\$1,000 - \$ 4,999..	112	83,604	6,766	6,326
\$5,000 OR MORE....	39	53,02	13,814	12,500
RESEARCH INSTITUTIONS				
TOTAL.....	156	29,994	11,196	10,934
LESS THAN \$ 500..	76	1,895	678	613
\$ 500 - \$ 999....	20	1,290	511	446
\$1,000 - \$ 4,999..	60	8,578	3,667	3,273
\$5,000 OR MORE....	21	16,231	6,640	6,482
NONPROFIT-ADMINISTERED FFROCs				
TOTAL.....	7	9,721	4,309	4,133
\$1,000 - \$ 4,999....	1	86	55	55

TOTAL.....	11,196	3,482	766	2,864	4,084
LESS THAN \$ 500.....	678	232	50	189	207
\$ 500 - \$ 999.....	511	211	47	117	136
\$1,000 - \$4,999.....	3,367	1,360	345	682	980
\$5,000 OR MORE.....	6,640	1,679	324	1,876	2,761
NONPROFIT-ADMINISTERED FFRDC'S					
TOTAL.....	4,309	1,018	68	1,633	1,590
\$1,000 - \$4,999.....	55	15	0	23	117
\$5,000 OR MORE.....	4,254	1,003	68	1,610	1,573
VOLUNTARY-HOSPITALS					
TOTAL.....	6,495	1,585	3,156	655	1,099
LESS THAN \$ 500.....	1,844	471	833	203	337
\$ 500 - \$ 999.....	690	152	301	101	136
\$1,000 - \$4,999.....	2,579	632	1,256	282	409
\$5,000 OR MORE.....	1,382	330	766	69	217
ALL OTHER NONPROFIT INSTITUTIONS					
TOTAL.....	4,336	1,344	231	1,106	1,655
LESS THAN \$ 500.....	665	169	53	143	302
\$ 500 - \$ 999.....	1,158	333	70	297	458
\$1,000 - \$4,999.....	975	322	68	249	336
\$5,000 OR MORE.....	1,538	520	40	417	561

TABLE B-5. TOTAL EMPLOYMENT IN INDEPENDENT NONPROFIT INSTITUTIONS BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND OCCUPATIONAL GROUP: OCTOBER 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE CLASS (BILLIONS AND DOLLARS)	NUMBER OF INSTITUTIONS	SCIENTISTS AND ENGINEERS			TECHNICAL SPECIALISTS
		ALL INSTITUTIONS		RESEARCH INSTITUTIONS	
		TECHNICAL	SCIENTIFIC	TECHNICAL	
TOTAL.....	444	271,589	26,336	23,129	29,615
LESS THAN \$ 500.....	219	96,363	3,187	2,488	9,282
\$ 500 - \$ 999.....	74	36,260	2,359	1,725	3,526
\$1,000 - \$4,999.....	112	83,604	6,976	6,356	8,635
\$5,000 OR MORE.....	39	53,402	13,814	12,560	7,972
ALL INSTITUTIONS					4,903
RESEARCH INSTITUTIONS					
TOTAL.....	196	29,994	11,196	10,954	4,936
LESS THAN \$ 500.....	76	1,895	678	613	291
\$ 500 - \$ 999.....	29	1,290	511	486	258
\$1,000 - \$4,999.....	60	6,574	3,367	3,273	1,365
\$5,000 OR MORE.....	21	18,231	6,840	6,482	3,372
NONPROFIT-ADMINISTERED FFRDC'S					2,398
TOTAL.....	7	9,721	4,309	4,133	955
\$1,000 - \$4,999.....	1	86	55	55	2
\$5,000 OR MORE.....	6	9,635	4,254	4,078	953
VOLUNTARY HOSPITALS					914
TOTAL.....	123	29,732	6,495	5,555	22,110
LESS THAN \$ 500.....	66	92,560	1,844	1,491	8,309
\$ 500 - \$ 999.....	10	28,218	593	521	2,823
\$1,000 - \$4,999.....	32	68,372	2,529	2,364	6,937
\$5,000 OR MORE.....	6	20,552	1,182	1,063	3,671
ALL OTHER NONPROFIT INSTITUTIONS					1,413
TOTAL.....	128	22,172	4,336	2,987	1,366
LESS THAN \$ 500.....	77	3,880	665	344	182
\$ 500 - \$ 999.....	26	6,732	1,059	445	154
\$1,000 - \$4,999.....	19	6,568	975	687	309
\$5,000 OR MORE.....	6	4,984	1,538	1,244	453

TABLE B-6. GEOGRAPHIC DISTRIBUTION OF SELECTED MANPOWER CHARACTERISTICS OF INDEPENDENT  
NONPROFIT INSTITUTIONS: OCTOBER 1973

DIVISION AND STATE	NUMBER OF INSTITU- TIONS	SCIENTISTS & ENGINEERS			TECHNICIANS		
		TOTAL EMPLOY- MENT	TOTAL	R & D	TOTAL	R & D	
UNITED STATES, TOTAL	444	271,589	26,336	23,129	29,415	11,475	
NEW ENGLAND.....	51	47,493	3,797	3,404	6,220	2,346	
CONNECTICUT.....	7	6,885	166	149	541	94	
MAINE.....	3	2,221	149	141	227	81	
MASSACHUSETTS.....	38	34,057	3,385	3,031	5,104	2,099	
NEW HAMPSHIRE.....	0	0	0	0	0	0	
RHODE ISLAND.....	2	3,819	91	77	343	67	
VERMONT.....	1	511	6	6	5	5	
MIDDLE ATLANTIC.....	125	85,315	6,184	5,182	8,062	2,430	
NEW JERSEY.....	10	6,988	674	372	307	101	
NEW YORK.....	79	54,784	4,229	3,608	5,069	1,567	
PENNSYLVANIA.....	36	25,833	1,481	1,292	2,686	762	
EAST NORTH CENTRAL.....	66	55,462	3,987	3,492	6,136	2,121	
ILLINOIS.....	27	21,469	1,752	1,493	2,233	1,043	
INDIANA.....	4	4,715	78	58	400	46	
MICHIGAN.....	11	3,915	380	363	477	229	
OHIO.....	20	25,169	1,732	1,534	3,022	799	
WISCONSIN.....	4	194	47	44	4	4	
WEST NORTH CENTRAL.....	23	11,898	896	770	1,792	426	
IOWA.....	1	528	99	24	5	2	
KANSAS.....	4	3,009	51	49	694	27	
MINNESOTA.....	9	5,513	329	292	793	263	
MISSOURI.....	9	3,048	417	405	300	134	
NEBRASKA.....	0	0	0	0	0	0	
NORTH DAKOTA.....	0	0	0	0	0	0	
SOUTH DAKOTA.....	0	0	0	0	0	0	
SOUTH ATLANTIC.....	74	19,511	3,018	2,271	1,267	787	
DELAWARE.....	1	21	7	7	4	4	
DISTRICT OF COLUMBIA	40	17,542	1,830	1,208	597	497	
FLORIDA.....	6	2,332	102	87	225	39	
GEORGIA.....	2	85	28	26	17	17	
MARYLAND.....	12	3,948	283	194	303	110	
NORTH CAROLINA.....	4	569	308	302	44	43	
SOUTH CAROLINA.....	0	0	0	0	0	0	
VIRGINIA.....	8	939	420	407	77	77	
WEST VIRGINIA.....	1	75	40	40	0	0	
EAST SOUTH CENTRAL.....	7	4,811	577	543	561	262	
ALABAMA.....	1	522	244	238	145	145	
KENTUCKY.....	3	125	54	52	22	18	
MISSISSIPPI.....	0	0	0	0	0	0	
TENNESSEE.....	3	4,164	279	253	394	99	
WEST SOUTH CENTRAL.....	18	5,419	940	885	1,123	722	
ARKANSAS.....	0	0	0	0	0	0	
LOUISIANA.....	4	2,199	108	98	218	58	
OKLAHOMA.....	4	321	56	54	158	157	
TEXAS.....	10	2,899	776	733	747	507	
mountain.....	15	6,117	317	302	623	327	

MIDDLE ATLANTIC.....	125	85,315	6,184	5,182	6,062	2,430
NEW JERSEY.....	12	4,698	674	372	357	101
NEW YORK.....	79	54,788	4,229	3,628	2,069	1,567
PENNSYLVANIA.....	36	25,833	1,481	1,212	2,686	762
EAST NORTH CENTRAL.....	66	55,462	3,967	3,492	6,136	2,121
ILLINOIS.....	27	21,469	1,752	1,493	2,433	1,043
INDIANA.....	4	4,715	78	53	450	46
MICHIGAN.....	11	3,915	382	363	477	228
OHIO.....	29	25,169	1,733	1,534	3,022	793
WISCONSIN.....	4	194	47	44	4	4
LESS. NORTH CENTRAL.....	23	11,898	896	772	1,792	426
IOWA.....	1	326	92	24	5	2
KANSAS.....	4	3,539	51	43	694	27
MINNESOTA.....	9	2,513	329	292	793	263
MISSOURI.....	9	3,048	417	455	300	134
NEBRASKA.....	0	6	3	2	0	0
NEWT. DAKOTA.....	0	6	2	0	0	0
SOUTH DAKOTA.....	3	6	0	0	0	0
SOUTH ATLANTIC.....	74	19,511	3,018	2,271	1,267	787
DELAWARE.....	1	21	7	7	4	4
DISTRICT OF COLUMBIA	42	11,542	1,830	1,238	597	497
FLORIDA.....	6	2,332	102	87	225	39
GEORGIA.....	2	85	28	26	17	17
MARYLAND.....	12	3,948	283	194	303	110
NORTH CAROLINA.....	4	569	308	302	44	43
SOUTH CAROLINA.....	3	6	5	3	0	0
VIRGINIA.....	8	939	420	467	77	77
WEST. VIRGINIA.....	1	75	40	0	0	0
LESS. SOUTH CENTRAL.....	7	4,811	577	543	561	262
ALABAMA.....	1	522	244	238	145	145
KENTUCKY.....	3	125	54	52	22	18
MISSISSIPPI.....	0	6	0	0	0	0
TENNESSEE.....	3	4,164	279	253	394	99
LESS. SOUTH CENTRAL.....	18	5,419	940	885	1,123	722
ARKANSAS.....	3	0	0	0	0	0
LOUISIANA.....	4	2,199	108	98	218	58
OKLAHOMA.....	4	321	56	54	156	157
TEXAS.....	12	2,899	776	733	747	507
LESS. MOUNTAIN.....	15	6,117	317	302	623	327
ARIZONA.....	5	2,077	94	84	218	61
COLORADO.....	7	2,235	140	135	256	145
IDAHO.....	0	0	0	0	0	0
MONTANA.....	3	0	0	0	0	0
NEVADA.....	0	0	0	0	0	0
NEW MEXICO.....	2	368	76	76	119	119
UTAH.....	1	1,437	7	7	30	2
WYOMING.....	0	0	0	0	0	0
PACIFIC.....	65	35,563	6,620	6,280	3,631	2,054
ALASKA.....	0	0	0	0	0	0
CALIFORNIA.....	42	25,097	5,339	5,206	2,427	1,457
HAWAII.....	5	1,024	81	69	83	36
OREGON.....	8	3,549	274	257	416	182
WASHINGTON.....	10	5,902	926	748	705	379

**Table B-7. Current expenditures for intramural research and development in independent nonprofit institutions; by source of funds: 1953-73**  
(Dollars in millions)

Fiscal year <sup>1</sup>	Total	Federal Government		Industry		Other sources <sup>2</sup>	
		Amount	Percent of total	Amount	Percent of total	Amount	Percent of total
1953	\$ 108	\$ 54	50.0	\$ 26	24.1	\$ 28	25.9
1954	123	61	49.6	31	25.2	31	25.2
1955	135	68	50.4	35	25.9	32	23.7
1956	152	77	50.7	37	24.3	38	25.0
1957	174	86	49.4	37	21.3	51	29.3
1958	199	99	49.7	38	19.1	62	31.2
1959	236	127	53.8	42	17.8	67	28.4
1960	282	166	58.9	48	17.0	68	24.1
1961	361	226	62.6	49	13.6	86	23.8
1962	458	295	64.4	54	11.8	109	23.8
1963	539	365	67.7	55	10.2	119	22.1
1964	600	433	72.2	55	9.2	112	18.6
1965	663	477	71.9	62	9.4	120	18.7
1966	733	525	71.6	70	9.6	138	18.9
1967	771	552	71.6	74	9.6	145	18.8
1968	814	582	71.6	81	9.9	151	18.5
1969	870	616	70.8	93	10.7	161	18.5
1970	916	649	70.8	95	10.4	172	18.8
1971	912	630	69.0	98	10.8	184	20.2
1972	952	653	68.6	101	10.7	198	20.8
1973 <sup>3</sup>	1,006	690	68.6	105	10.4	211	21.0

<sup>1</sup>The year in which survey was conducted, data for other years estimated, since not all types of institutions were included in each survey, data for earlier survey years contain some estimates

<sup>2</sup>Includes funding from institutions' own funds, State and local governments, foundations, voluntary health agencies, and other sources including individuals

**Table B-8. Current expenditures for intramural research and development in independent nonprofit institutions; by source of funds and field of science: 1964, 1968, 1969, and 1973**  
(Dollars in thousands)

Source of funds and field	1964	1966	1969	1973
Current R&D expenditures	\$599,682	\$733,548	\$869,393	\$1,006,277
By source of funds				
Federal Government	433,038	525,140	615,941	689,921
State government	3,344	5,035	9,988	12,870
Local government	941	2,318	6,066	8,425
Foundations & voluntary health agencies	24,347	28,308	37,564	51,227
Industry	54,992	70,060	92,734	104,952
Institution's own funds	69,807	85,236	83,417	108,562
Other sources	13,213	16,451	23,683	30,320
By field				
207,157	224,447	275,596	276,911	

- The year in which survey was conducted, data for other years estimated, since not all types of institutions were included in each survey, data for earlier survey years contain some estimates.

<sup>2</sup> Includes funding from institutions' own funds, State and local governments, foundations, voluntary health agencies, and other sources including individuals.

**Table B-8. Current expenditures for intramural research and development  
In independent nonprofit institutions: by source of funds and  
field of science: 1964, 1966, 1969, and 1973**  
(Dollars in thousands)

	Source of funds and field	1964	1966	1968	1973
Current R&D expenditures		\$599,682	\$733,548	\$889,393	\$1,006,277
<b>By source of funds</b>					
Federal Government		433,038	525,140	615,941	689,921
State government		3,344	5,035	9,988	12,870
Local government		941	2,318	6,086	8,423
Foundations & voluntary health agencies		24,347	29,308	37,564	51,227
Industry		54,992	70,060	92,734	104,952
Institution's own funds		69,807	85,236	83,417	108,562
Other sources		13,213	16,451	23,683	30,320
<b>By field</b>					
Engineering		207,157	224,447	275,596	276,911
Physical sciences		89,613	115,882	107,020	92,209
Environmental sciences		13,532	17,784	17,638	29,316
Mathematical sciences		31,572	39,776	35,630	52,125
Life sciences		197,920	232,144	264,835	369,458
Psychology		12,204	24,108	29,588	31,533
Social sciences		47,413	72,402	104,796	143,008
Other sciences, n.e.c.		271	7,005	34,310	11,717

**TABLE 8-9. CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS BY TYPE OF INSTITUTION, EXPENDITURE-SIZE CLASS, AND SOURCE OF FUNDS: 1973**  
 (THOUSANDS OF DOLLARS)

TYPE OF INSTITUTION AND AGG EXPENDITURE SIZE CLASS (THOUSANDS OF DOLLARS)		FEDERAL GOVERNMENT	STATE GOVERNMENT	LOCAL GOVERNMENT	PHONICS & INDUSTRY	INSTITUTIONS OWN FUNDS	INSTITUTIONS OTHER SOURCES
				HEALTH CARE AGENCIES			
ALL INSTITUTIONS							
TOTAL	1,066,277	669,921	12,670	8,625	51,227	108,562	30,320
LESS THAN \$ 500	45,096	19,628	912	400	4,295	5,921	1,159
\$ 500 - \$ 999	51,576	27,224	1,801	651	5,136	2,938	12,106
\$1,000 - \$ 4,999	277,597	153,184	1,239	2,837	19,207	21,452	35,395
\$5,000 OR MORE	622,008	489,385	7,320	6,333	22,589	14,641	49,902
RESEARCH INSTITUTIONS							
TOTAL	346,692	310,029	7,792	4,026	25,412	78,895	39,536
LESS THAN \$ 500	16,194	1,619	477	211	1,666	2,861	3,499
\$ 500 - \$ 999	19,932	10,459	1,122	348	2,097	1,922	2,522
\$1,000 - \$ 4,999	144,767	97,990	1,765	1,159	12,835	11,021	12,001
\$5,000 OR MORE	306,149	19,461	4,426	2,308	5,614	93,111	21,514
NONPROFIT-ADMINISTERED FFROGS							
TOTAL	220,630	204,635	1,578	2,327	1,241	6,096	3,860
\$1,000 - \$ 4,999	2,076	2,034	0	0	0	42	0
\$5,000 OR MORE	218,554	202,601	1,578	2,327	1,241	6,096	3,818
VOLUNTARY HOSPITALS							
TOTAL	163,320	106,460	1,620	1,662	1,912	3,647	4,528
LESS THAN \$ 500	15,421	7,774	229	133	1,852	509	4,235
\$ 500 - \$ 999	13,829	9,811	225	105	989	278	2,000
\$1,000 - \$ 4,999	65,649	42,290	811	60	5,112	2,308	12,222
\$5,000 OR MORE	65,421	46,855	355	1,344	6,359	552	12,634
ALL OTHER NONPROFIT INSTITUTIONS							
TOTAL	135,635	68,971	1,080	410	10,262	16,314	34,075
LESS THAN \$ 500	11,431	6,035	206	56	577	2,571	3,425
\$ 500 - \$ 999	18,445	6,754	454	50	2,050	738	7,584
\$1,000 - \$ 4,999	35,125	11,770	261	0	1,260	6,123	11,130
\$5,000 OR MORE	70,228	46,884	959	354	8,375	4,802	11,936

TABLE B-10. GEOGRAPHIC DISTRIBUTION OF CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS: BY SOURCE OF FUNDS: 1973  
(DOLLARS IN THOUSANDS)

DIVISION AND STATE	FEDERAL GOVT.	STATE GOVT.	LOCAL GOVT.	INDUS.- PHMS. & GOV'T. VOL. HLT. AGNC'S.	INSTITU- TIONS- OWN FONDS	OTHER SOURCES
UNITED STATES, TOTAL	1,006,277	689,921	12,870	8,425	51,227	104,952
MER. ENGLAND.....	138,673	117,975	772	319	7,408	1,300
CONNECTICUT.....	3,496	1,943	1	1	357	27
MAINE.....	3,402	2,806	4	179	9	1,071
MASSACHUSETTS.....	129,817	112,785	767	314	6,811	1,252
NEW HAMPSHIRE.....	0	0	0	0	0	6,308
RODE ISLAND.....	1,777	331	0	0	0	1,580
VERMONT.....	181	110	0	0	55	0
MIDDLE ATLANTIC.....	482,575	104,945	2,480	3,031	18,194	15,348
NEW JERSEY.....	12,977	6,258	405	378	991	690
NEW YORK.....	126,670	66,837	1,349	2,579	14,746	9,827
PENNSYLVANIA.....	44,928	31,850	726	774	2,457	9,831
EAST NORTH CENTRAL.....	135,023	77,908	1,971	98	3,289	34,888
ILLINOIS.....	52,953	34,323	453	12	1,162	9,517
INDIANA.....	1,125	567	117	1	16	4,606
MICHIGAN.....	11,525	7,032	189	35	1,118	367
OHIO.....	68,220	34,978	1,212	50	1,968	699
WISCONSIN.....	1,200	1,008	0	0	25	2,654
WEST NORTH CENTRAL.....	37,477	22,427	481	659	1,094	2,502
IOWA.....	1,153	46	1	0	3	0
KANSAS.....	1,519	542	73	1	167	26
MINNESOTA.....	20,047	11,423	37	12	575	588
MISSOURI.....	14,758	10,416	370	666	349	1,888
NEBRASKA.....	0	0	0	0	0	885
NORTH DAKOTA.....	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0
SOUTH ATLANTIC.....	143,566	94,624	2,894	432	13,468	3,339
DELAWARE.....	310	0	3	0	4	2
DISTRICT OF COLUMBIA.....	95,947	60,219	1,290	103	12,004	2,326
FLORIDA.....	3,669	1,293	14	8	86	63
GEORGIA.....	1,523	352	563	0	0	8
MARYLAND.....	7,423	4,189	282	2	484	155
NORTH CAROLINA.....	11,715	7,685	541	273	33	610
SOUTH CAROLINA.....	21,051	18,958	201	46	857	175
VIRGINIA.....	1,928	1,928	0	0	0	876
WEST VIRGINIA.....	0	0	0	0	0	0
EAST SOUTH CENTRAL.....	15,500	9,119	359	177	554	1,483
ALABAMA.....	8,059	6,583	3	76	102	1,255
KENTUCKY.....	8,806	2,61	353	98	41	46
MISSISSIPPI.....	0	0	0	0	0	0
TENNESSEE.....	6,635	2,275	3	3	443	182
WEST SOUTH CENTRAL.....	50,847	25,059	526	263	856	16,945
ARKANSAS.....	0	0	0	0	0	0
LOUISIANA.....	4,434	2,640	347	41	62	961
OKLAHOMA.....	3,121	1,983	5	0	0	30
TEXAS.....	43,292	20,436	174	220	146	648

MIDDLE ATLANTIC.....		182,575	104,945	2,480	3,031	18,194	15,348	30,136	8,441
NEW JERSEY.....		12,977	6,258	405	378	991	690	3,969	286
NEW YORK.....		124,670	66,837	1,349	2,579	14,746	9,827	21,642	7,690
PENNSYLVANIA.....		44,928	31,850	726	74	2,457	4,831	4,525	465
EAST NORTH CENTRAL.....		135,023	77,908	1,971	98	3,289	34,888	11,979	8,890
ILLINOIS.....		52,953	31,322	453	12	1,162	9,517	4,606	2,880
INDIANA.....		11,125	5,671	117	1	16	15	3,367	442
MICHIGAN.....		11,525	7,032	189	35	1,118	699	1,132	1,320
OHIO.....		68,220	34,978	1,212	50	968	24,654	5,775	583
WISCONSIN.....		1,200	1,008	0	0	25	3	99	65
WEST NORTH CENTRAL.....		37,477	22,427	3,481	659	1,094	2,502	7,627	2,687
IOWA.....		1,153	461	1	0	3	0	1,079	24
KANSAS.....		1,519	542	73	1	167	26	16,705	55
MINNESOTA.....		20,067	11,423	37	12	575	588	4,958	2,454
MISSOURI.....		14,758	10,416	370	646	349	1,888	885	208
NEBRASKA.....		0	0	0	0	0	0	0	0
NORTH DAKOTA.....		0	0	0	0	0	0	0	0
SOUTH DAKOTA.....		0	0	0	0	0	0	0	0
SOUTH ATLANTIC.....		143,566	94,624	2,894	432	13,468	3,339	22,260	6,549
DELAWARE.....		310	0	3	0	4	2	300	1
DISTRICT OF COLUMBIA.....		95,947	60,219	1,290	103	12,004	2,326	16,529	3,476
FLORIDA.....		3,669	1,293	14	8	86	63	2,180	65
GEORGIA.....		14,523	3,352	563	0	0	8	500	100
HAROLD.....		7,423	4,189	282	2	484	155	2,047	264
NORTH CAROLINA.....		11,715	7,685	541	273	33	610	68	2,505
SOUTH CAROLINA.....		0	0	0	0	0	0	0	0
VIRGINIA.....		21,051	18,958	201	46	857	175	676	138
WEST VIRGINIA.....		1,928	1,928	0	0	0	0	0	0
EAST SOUTH CENTRAL.....		15,500	9,119	359	177	554	1,483	3,717	91
ALABAMA.....		8,059	2,583	3	76	102	1,255	39	1
KENTUCKY.....		8,806	261	353	98	9	46	30	9
MISSISSIPPI.....		0	0	0	0	0	0	0	0
TENNESSEE.....		67,635	2,275	3	3	443	182	3,648	81
WEST SOUTH CENTRAL.....		50,847	25,259	526	263	856	16,945	6,661	537
ARKANSAS.....		0	0	0	0	0	0	0	0
LOUISIANA.....		4,434	2,640	347	41	62	961	247	136
OKLAHOMA.....		3,121	1,983	5	2	146	60	911	14
TEXAS.....		43,292	20,436	174	220	648	15,924	5,503	387
MOUNTAIN.....		12,850	7,986	60	20	197	2,834	1,663	90
ARIZONA.....		1,632	948	31	10	74	52	481	40
COLORADO.....		5,437	1,652	29	10	100	2,782	821	43
IDAHO.....		0	0	0	0	0	0	0	0
MONTANA.....		0	0	0	0	0	0	0	0
NEVADA.....		0	0	0	0	0	0	0	0
NEW MEXICO.....		5,626	5,235	0	0	23	0	361	7
UTAH.....		155	155	0	0	0	0	0	0
WYOMING.....		0	0	0	0	0	0	0	0
PACIFIC.....		289,766	229,878	3,327	3,426	6,167	26,313	15,603	5,052
ALASKA.....		0	0	0	0	0	0	0	0
CALIFORNIA.....		233,478	187,335	1,139	3,284	4,985	19,423	12,645	4,667
HAWAII.....		2,908	1,464	0	308	434	363	307	32
OREGON.....		11,318	8,784	1,103	141	212	441	349	288
WASHINGTON.....		42,062	32,295	777	536	6,086	2,302	655	65

TABLE 4-11. TOTAL AND FEDERALLY FINANCED CURRENT EXPENDITURES FOR INSTRUMENTAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND TYPE OF R&D ACTIVITY: 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE CLASS (THOUSANDS OF DOLLARS)	ALL SOURCES			FEDERAL GOVERNMENT		
	TOTAL	BASIC RESEARCH	APPLIED RESEARCH	TOTAL	BASIC RESEARCH	APPLIED RESEARCH
ALL INSTITUTIONS	1,006,277	357,192	353,335	295,769	689,921	214,074
TOTAL	1,006,277	357,192	353,335	295,769	689,921	214,074
LESS THAN \$ 500	45,096	21,864	16,256	6,976	19,628	9,517
\$ 500 - \$ 999	21,576	10,940	17,044	7,592	15,224	7,273
\$ 1,000 - \$ 4,999	22,597	12,907	9,692	34,177	12,416	8,802
\$ 5,000 OR MORE	66,004	17,971	24,002	24,775	43,074	18,898
RESEARCH INSTITUTIONS	485,692	183,201	208,881	94,601	439,885	113,204
TOTAL	485,692	183,201	208,881	94,601	439,885	113,204
LESS THAN \$ 500	18,196	9,560	7,779	2,515	1,819	1,583
\$ 500 - \$ 999	19,622	11,382	5,253	2,975	10,659	6,842
\$ 1,000 - \$ 4,999	14,747	8,629	4,153	21,545	9,709	53,419
\$ 5,000 OR MORE	30,149	81,361	154,967	67,566	194,461	79,209
NONPROFIT-ADMINISTERED FIRMS	137,630	39,205	22,415	158,610	204,635	31,235
TOTAL	137,630	39,205	22,415	158,610	204,635	31,235
LESS THAN \$ 500	2,076	0	2,076	0	2,034	0
\$ 500 - \$ 999	21,556	37,295	20,279	158,610	202,601	31,235
\$ 1,000 OR MORE	21,556	37,295	20,279	158,610	202,601	31,235
NONPROFIT-ADMINISTERED FIRMS	163,320	93,410	52,176	173,362	106,460	59,686
TOTAL	163,320	93,410	52,176	173,362	106,460	59,686
LESS THAN \$ 500	15,421	8,901	4,776	2,144	7,774	4,464
\$ 500 - \$ 999	13,829	6,222	5,063	2,064	8,811	6,263
\$ 1,000 - \$ 4,999	45,669	35,123	25,765	4,958	42,290	21,677
\$ 5,000 OR MORE	68,421	43,105	17,440	8,276	46,585	29,392
NONPROFIT-ADMINISTERED FIRMS	135,635	41,360	68,888	25,387	68,797	14,010
TOTAL	135,635	41,360	68,888	25,387	68,797	14,010
LESS THAN \$ 500	11,481	6,493	4,767	2,327	4,035	1,470
\$ 500 - \$ 999	18,115	9,336	6,236	2,573	6,754	4,116
\$ 1,000 - \$ 4,999	35,125	15,566	9,974	11,770	11,770	4,961
\$ 5,000 OR MORE	70,384	12,025	48,336	10,523	66,238	3,458

TABLE 4-12. CURRENT EXPENDITURES FOR INSTRUMENTAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND FIELD OF SCIENCE: 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE CLASS (THOUSANDS OF DOLLARS)	(THOUSANDS OF DOLLARS)			FEDERAL GOVERNMENT		
	TOTAL	ENGINEERING	PHYSICAL SCIENCES	TOTAL	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES
ALL INSTITUTIONS	1,006,277	216,911	92,209	29,316	52,125	36,945
TOTAL	1,006,277	216,911	92,209	29,316	52,125	36,945
LESS THAN \$ 500	45,096	4,655	2,077	1,301	1,626	27,593
\$ 500 - \$ 999	21,576	12,838	10,307	8,758	8,871	33,852
\$ 1,000 - \$ 4,999	227,597	12,416	10,751	6,813	7,314	152,299
\$ 5,000 OR MORE	662,008	257,002	77,074	18,844	42,314	155,714
RESEARCH INSTITUTIONS	436,692	97,689	49,866	9,445	36,100	158,905
TOTAL	436,692	97,689	49,866	9,445	36,100	158,905
LESS THAN \$ 500	18,194	2,053	815	359	990	8,095
\$ 500 - \$ 999	19,602	817	1,547	1,486	695	9,703
\$ 1,000 - \$ 4,999	144,747	2,302	7,576	2,556	6,101	74,511
\$ 5,000 OR MORE	304,149	92,517	39,928	5,044	26,314	66,596
NONPROFIT-ADMINISTERED FIRMS	220,630	141,466	21,125	9,159	15,221	12,718
TOTAL	220,630	141,466	21,125	9,159	15,221	12,718
LESS THAN \$ 500	7,076	1,019	340	0	524	0
\$ 500 - \$ 999	16,444	2,076	20,741	0	1,302	1,307
\$ 1,000 - \$ 4,999	117,200	24,747	101,453	0	1,189	0
\$ 5,000 OR MORE	284,249	92,517	181,732	0	1,226	0

\$5,000 OR MORE  
NONPROFIT ADMINISTERED FED.  
TOTAL  
\$11,000 - \$44,999  
\$55,000 OR MORE

104,142  
\$1,634  
154,947  
153,213  
6,256  
194,461  
53,419  
49,209  
24,264  
101,281  
156,059  
17,407  
43,971

2,076  
34,205  
2,076  
20,7391  
158,610  
2,034  
20,601  
31,235  
20,307  
151,059

220,680  
39,205  
22,8151  
158,610  
204,6351  
31,235  
22,341  
10,672  
10,672  
15,002

163,930  
93,410  
52,7461  
17,1621  
106,460  
59,666  
5,564  
4,263  
4,173  
2,677  
17,916  
11,382  
5,901  
4,3761  
2,144  
7,774  
9,811  
4,698  
42,290  
8,2761  
25,387  
68,797  
14,080  
42,178  
12,539  
15,421  
6,901  
6,222  
5,563  
2,5769  
17,0401  
4,033  
4,761  
6,236  
6,236  
12,025  
41,360  
65,888  
4,025  
1,470  
4,176  
6,754  
11,770  
46,238  
1,470  
1,470  
2,045  
2,045  
4,110  
35,576  
7,204

OTHER NONPROFIT INSTITUTIONS  
TOTAL  
LESS THAN \$ 500  
\$ 500 - \$ 999  
\$ 1,000 - \$ 4,999  
\$ 5,000 OR MORE

135,635  
11,401  
18,465  
35,125  
70,844  
41,360  
4,033  
9,336  
15,596  
12,025  
65,888  
4,761  
6,236  
9,555  
49,3361  
10,523  
1,470  
2,1317  
2,573  
9,976  
11,770  
46,238  
1,470  
1,470  
2,045  
2,045  
4,110  
35,576  
7,204

TABLE H-12. CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS,  
BY TYPE OF INSTITUTION, R&D EXPENDITURE-SIZE CLASS, AND FIELD OF SCIENCE: 1973

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE CLASS (THOUSANDS OF DOLLARS)		F THOUSANDS OF DOLLARS)															
		TOTAL		PHYSICAL SCIENCES		ENGINEERING SCIENCES		MATHEMATICAL SCIENCES		LIFE SCIENCES		PSYCHOLOGICAL SCIENCES		SOCIAL SCIENCES		OTHER SCIENCES	
RESEARCH INSTITUTIONS																	
TOTAL		1,006,2771	276,911	92,2091	29,3161	52,1251	369,4581	31,5331	152,0081	11,717							
LESS THAN \$ 500		45,096	4,655	2,077	1,301	1,526	27,593	2,102	5,469	273							
\$ 500 - \$ 999		51,776	2,638	2,307	2,358	871	33,852	2,0671	7,009	274							
\$ 1,000 - \$ 4,999		247,597	12,416	10,751	6,813	7,334	152,299	3,696	52,074	2,236							
\$ 5,000 OR MORE		362,008	257,002	77,074	18,844	42,314	155,714	23,6701	78,456	8,934							
NONPROFIT-ADMINISTERED FFRDCS																	
TOTAL		486,692	97,689	49,866	9,4451	36,1001	158,9051	16,2651	112,982	5,440							
LESS THAN \$ 500		18,194	2,053	815	1,359	990	8,095	1,506	4,295	81							
\$ 500 - \$ 999		19,602	2,817	1,562	1,8861	695	9,703	4,489	4,605	260							
\$ 1,000 - \$ 4,999		144,477	2,302	1,757	2,556	6,101	74,511	2,058	47,417	2,224							
\$ 5,000 OR MORE		304,149	92,517	39,928	51,044	26,314	66,596	14,212	56,865	2,873							
VOLUNTARY HOSPITALS																	
TOTAL		220,630	141,468	21,1251	9,1591	15,221	12,7181	1,367	13,491	6,061							
LESS THAN \$ 500		21,076	1,019	340	01	528	01	01	01	189							
\$ 500 - \$ 999		140,449	20,785	9,1591	14,693	12,7181	1,387	1,387	1,387	13,302	6,061						
\$ 1,000 - \$ 4,999		304,149	92,517	39,928	51,044	26,314	66,596	14,212	56,865	2,873							
\$ 5,000 OR MORE		68,421	1,077	4,339	0	993	54,091	2,564	5,377	0							
ALL OTHER NONPROFIT INSTITUTIONS																	
TOTAL		135,635	36,040	16,5581	10,671	740	53,404	7,292	10,930	0							
LESS THAN \$ 500		11,781	2,506	1,154	942	258	5,037	441	1,143	0							
\$ 500 - \$ 999		18,145	1,985	659	872	771	10,901	1,163	2,399	0							
\$ 1,000 - \$ 4,999		35,125	4,590	2,723	4,216	971	62,720	1,475	192	10							
\$ 5,000 OR MORE		70,884	22,959	12,0221	4,541	314	54,091	2,564	5,377	0							

TABLE B-13. GEOGRAPHIC DISTRIBUTION OF CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT MONAPQT INSTITUTIONS BY FIELD OF SCIENCE: 1973

DIVISION AND STATE	TOTAL	ENGINEERING	PHYSICAL SCIENCES	ENVIRON- MENTAL SCIENCES	MATHE- MATICS	LIFE SCIENCES	PSYCHOLOGICAL SCIENCES	SOCIAL SCIENCES	OTHER
(DOLLARS IN THOUSANDS)									
UNITED STATES TOTAL	1,006,277	276,911	92,209	29,316	52,125	359,458	31,533	143,008	111,711
NEW ENGLAND.....	138,673	51,703	1,668	1,475	7,530	73,432	1,959	3,517	389
CONNECTICUT.....	3,996	0	260	48	244	2,097	451	227	159
HARVARD.....	3,432	2	1	0	0	3,354	41	0	0
MASSACHUSETTS.....	129,817	51,701	1,406	1,427	4,283	68,027	1,465	3,290	216
NEW HAMPSHIRE.....	0	0	0	0	0	0	0	0	0
RHODE ISLAND.....	1,777	0	1	0	1	1,773	21	0	0
Vermont.....	181	0	0	0	0	181	0	0	0
MIDDLE ATLANTIC.....	102,575	18,404	12,786	5,827	5,673	100,792	10,334	27,967	792
NEW JERSEY.....	12,977	176	1,160	62	1,265	5,299	5,637	1,364	14
NEW YORK.....	124,670	13,821	7,920	2,464	3,777	71,022	3,999	21,632	28
PENNSYLVANIA.....	46,928	6,067	3,706	3,301	631	25,477	658	5,964	259
EAST MOUNTAIN CENTRAL.....	135,923	41,713	26,129	1,374	13,253	35,390	2,098	14,572	616
ILLINOIS.....	52,953	18,117	5,239	293	10,827	12,594	491	4,918	878
INDIANA.....	11,125	1	1	0	157	806	27	1,133	25
MICHIGAN.....	11,525	2,139	2,281	794	1,371	3,350	173	1,462	111
OHIO.....	68,220	21,416	18,529	330	4,896	17,576	1,407	8,055	111
WISCONSIN.....	1,200	40	79	7	72	3,064	0	8	0
WEST NORTH CENTRAL.....	37,477	17,426	3,201	718	1,681	21,447	889	6,026	2,059
JOHNSON.....	1,153	0	0	0	0	0	0	1,153	0
KANSAS.....	1,519	109	48	3	61	420	766	112	0
MINNESOTA.....	20,047	267	3,352	617	759	16,646	104	1,290	12
MISSOURI.....	14,758	1,950	2,601	98	861	4,387	19	3,471	2,077
NEBRASKA.....	0	0	0	0	0	0	0	0	0
NORTH DAKOTA.....	0	0	0	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0	0	0	0
SOUTH ATLANTIC.....	145,566	21,466	16,309	7,087	8,637	44,664	6,801	30,246	7,756
DELAWARE.....	310	1	62	81	0	79	5	82	0
DISTRICT OF COLUMBIA	95,947	15,076	13,267	6,265	697	35,692	355	23,312	1,263
FLORIDA.....	3,669	8	35	20	19	3,525	24	34	4
GEORGIA.....	1,523	0	0	600	0	923	0	0	0
MARYLAND.....	7,423	1,073	272	105	73	4,229	709	953	399
NORTH CAROLINA.....	11,715	2,394	2,370	11	6,646	63	7	1,825	390
SOUTH CAROLINA.....	0	0	0	0	0	0	0	0	0
VIRGINIA.....	21,051	2,914	903	5	3,202	153	5,701	2,112	6,061
WEST VIRGINIA.....	1,928	0	0	0	0	0	0	1,928	0
EAST SOUTH CENTRAL.....	15,500	2,250	4,118	738	101	11,516	66	4,077	4
ALABAMA.....	8,059	2,082	328	650	0	4,871	0	126	0
LOUISIANA.....	4,434	856	190	58	99	125	65	2,822	0
MISSISSIPPI.....	0	0	0	0	0	0	0	0	0
TENNESSEE.....	6,635	66	0	30	2	6,520	1	0	0
WEST SOUTH CENTRAL.....	50,847	25,373	1,092	1,137	1,722	15,984	142	5,396	119
ARKANSAS.....	0	0	0	0	0	0	0	0	0
LOUISIANA.....	4,406	102	613	0	0	2,945	17	743	0
OKLAHOMA.....	3,121	52	745	6	17	2,945	17	68	0
TEXAS.....	43,292	24,465	664	1,131	1,705	10,617	125	4,585	2,273
MOUNTAIN.....	12,850	102	3,164	54	218	7,543	275	1,375	119
ARIZONA.....	0	0	0	0	0	0	0	0	0
COLORADO.....	5,437	5	2,687	0	194	2,675	71	2,273	2,273

MIDDLE ATLANTIC.....		18,404	12,786	5,627	5,673	100,792	10,334	27,967	792	
NEW JERSEY.....	12,977	176	1,160	62	1,265	4,299	5,637	364	14	
NEW YORK.....	126,670	13,821	7,920	2,464	3,777	71,022	3,999	21,639	28	
PENNSYLVANIA.....	46,928	4,407	3,706	3,301	0	25,471	6,694	5,964	750	
EAST NORTH CENTRAL.....		135,023	41,713	26,129	1,374	13,253	35,390	2,098	14,572	
ILLINOIS.....	52,953	18,117	5,239	293	10,827	12,594	491	4,918	494	
INDIANA.....	1,125	1	1,125	1	0	157	806	133	978	
MICHIGAN.....	11,525	2,139	2,281	744	1,371	3,350	173	1,462	95	
OHIO.....	68,220	21,416	18,529	330	896	17,576	1,407	8,055	5	
WISCONSIN.....	1,200	40	79	7	0	1,064	0	8	11	
WEST NORTH CENTRAL.....		37,477	1,426	3,201	718	1,681	21,647	889	6,026	
IOWA.....	1,153	0	0	0	0	0	0	0	0	
KANSAS.....	1,519	109	48	3	61	420	766	1,112	9	
MINNESOTA.....	20,047	267	352	617	759	16,646	104	1,290	12	
MISSOURI.....	14,758	1,050	2,801	98	861	4,381	19	3,471	2,077	
NEBRASKA.....	0	0	0	0	0	0	0	0	0	
NORTH DAKOTA.....	0	0	0	0	0	0	0	0	0	
SOUTH DAKOTA.....	0	0	0	0	0	0	0	0	0	
SOUTH ATLANTIC.....		143,566	21,466	16,909	7,087	8,637	44,664	6,801	30,246	
DELAWARE.....	310	1	62	81	0	79	5	82	0	
DISTRICT OF COLUMBIA	95,947	15,076	13,267	6,265	6,67	35,592	355	23,312	1,283	
FLORIDA.....	3,669	8	35	20	19	3,525	24	34	4	
GEORGIA.....	1,523	0	0	600	0	923	0	0	0	
MARYLAND.....	7,423	1,073	272	105	73	4,229	709	953	9	
NORTH CAROLINA.....	11,715	2,394	2,370	11	4,646	63	7	1,825	399	
SOUTH CAROLINA.....	0	0	0	0	0	0	0	0	0	
VIRGINIA.....	21,051	2,114	903	5	3,202	153	5,701	2,112	6,061	
WEST VIRGINIA.....	1,928	0	0	0	0	0	0	1,928	0	
EAST SOUTH CENTRAL.....		15,500	2,250	418	738	101	11,516	66	407	
ALABAMA.....	8,059	2,082	328	650	0	4,871	0	128	0	
KENTUCKY.....	806	102	90	58	99	125	65	264	3	
MISSISSIPPI.....	0	0	0	0	0	0	0	0	0	
TENNESSEE.....	6,635	66	0	30	2	6,520	1	15	1	
WEST SOUTH CENTRAL.....		50,847	25,373	1,092	1,137	1,722	15,984	142	5,396	1
ARKANSAS.....	0	0	0	0	0	0	0	0	0	
LOUISIANA.....	4,434	856	413	0	0	2,422	0	743	0	
OKLAHOMA.....	3,121	52	15	6	17	2,945	17	68	1	
TEXAS.....	43,292	24,465	664	1,151	1,705	10,617	125	4,585	0	
MOUNTAIN.....		12,850	102	3,164	54	218	7,543	275	1,375	119
ARIZONA.....	1,632	54	677	54	24	534	14	273	2	
COLORADO.....	5,437	5	2,487	0	194	2,675	71	2	3	
IDAHO.....	0	0	0	0	0	0	0	0	0	
MontANA.....	0	0	0	0	0	0	0	0	0	
NEVADA.....	0	0	0	0	0	0	0	0	0	
NEW MEXICO.....	5,626	0	0	0	0	0	0	10	0	
UTAH.....	155	43	0	0	0	4,222	190	1,100	114	
WYOMING.....	0	0	0	0	0	112	0	0	0	
PACIFIC.....		289,766	114,474	26,842	10,906	16,310	58,690	8,969	53,592	73
ALASKA.....	0	0	0	0	0	0	0	0	0	
CALIFORNIA.....	233,478	101,983	16,275	4,021	13,830	42,224	6,557	48,575	13	
HAWAII.....	2,908	615	54	524	124	1,187	0	266	38	
OREGON.....	11,318	420	123	38	42	4,581	1,625	3,683	22	
WASHINGTON.....	42,062	11,456	10,390	6,323	10,930	1,930	10,598	787	976	

TABLE B-14. FEDERALLY FINANCED CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT NONPROFIT INSTITUTIONS: BY TYPE OF INSTITUTION, RDO EXPENDITURE-SIZE CLASS, AND FIELD OF SCIENCE: 1973

TYPE OF INSTITUTION AND RDO EXPENDITURE SIZE CLASS (THOUSANDS, \$000)		(THOUSANDS OF DOLLARS)							
	TOTAL	ENGINEERING	PHYSICAL SCIENCES	ENVIRONMENTAL SCIENCES	MATHEMATICAL SCIENCES	LIFE SCIENCES	PSYCHOLOGY	SOCIAL SCIENCES	OTHER SCIENCES
ALL INSTITUTIONS									
TOTAL.....	689,921	220,884	60,490	16,049	41,633	240,825	21,283	79,419	9,338
LESS THAN \$ 500	19,628	1,525	655	348	1,039	13,238	1,614	17,368	41
\$ 500 - \$ 999	21,224	611	1,009	937	504	19,003	1,263	2,921	176
\$ 1,000 - \$ 4,999	15,186	5,609	5,374	1,567	5,370	99,555	1,336	32,063	2,010
\$ 5,000 OR MORE	489,835	213,139	53,452	13,197	34,720	108,329	16,810	43,067	7,111
RESEARCH INSTITUTIONS									
TOTAL.....	310,029	66,642	30,793	5,254	26,065	103,162	14,078	62,696	7,359
LESS THAN \$ 500	7,819	1,152	460	138	554	3,293	962	1,219	441
\$ 500 - \$ 999	10,659	367	782	603	375	5,121	387	2,261	176
\$ 1,000 - \$ 4,999	91,900	1,894	3,627	807	4,193	51,617	1,223	31,791	2,010
\$ 5,000 OR MORE	194,461	61,229	25,924	3,706	20,923	42,531	11,506	27,510	1,132
NONPROFIT-ADMINISTERED FFDGS									
TOTAL.....	204,635	136,672	17,578	7,269	13,240	11,097	1,334	11,666	5,979
\$ 1,000 - \$ 4,999	2,034	985	332	0	528	0	0	189	0
\$ 5,000 OR MORE	202,601	135,687	17,246	7,269	12,712	11,097	1,334	11,477	5,979
VOLUNTARY HOSPITALS									
TOTAL.....	106,460	943	2,544	29	1,990	95,776	2,447	2,860	0
LESS THAN \$ 500	7,774	63	25	0	321	7,290	58	17	0
\$ 500 - \$ 999	9,811	22	90	0	95	9,333	268	3	0
\$ 1,000 - \$ 4,999	42,290	283	41	20	593	40,817	472	64	0
\$ 5,000 OR MORE	46,505	575	2,380	0	881	38,336	1,659	2,756	0
ALL OTHER NONPROFIT INSTITUTIONS									
TOTAL.....	68,797	18,627	9,575	3,506	458	30,790	3,624	2,217	0
LESS THAN \$ 500	4,035	310	170	210	164	2,655	394	132	0
\$ 500 - \$ 999	6,754	222	137	334	34	4,149	608	670	0
\$ 1,000 - \$ 4,999	7,770	2,447	1,374	740	56	7,021	41	91	0
\$ 5,000 OR MORE	46,123	15,648	7,994	2,222	204	16,365	2,581	1,324	0

TABLE B-15. GEOGRAPHIC DISTRIBUTION OF FEDERALLY FINANCED CURRENT EXPENDITURES FOR INTRAMURAL RESEARCH AND DEVELOPMENT IN INDEPENDENT, NONPROFIT INSTITUTIONS: BY FIELD OF SCIENCE - 1973

DIVISION AND STATE	TOTAL	ENGINEERING SCIENCES	PHYSICAL, ENVIRONMENTAL SCIENCES	NATURE-MATICS SCIENCES	LIFE SCIENCES	PSYCHOL-OGY	SOCIAL SCIENCES	OTHER
UNITED STATES, TOTAL	689,921	220,884	60,490	16,049	41,633	240,825	21,263	79,419
NEW ENGLAND.....	117,975	51,096	1,168	1,134	33,997	55,906	1,593	2,807
CONNECTICUT.....	1,943	0	72	6	224	1,181	420	36
HARVARD.....	2,806	1	1	0	3	2,762	39	0
MASSACHUSETTS.....	112,785	51,095	1,094	1,126	3,769	51,526	1,232	2,769
NEW HAMPSHIRE.....	0	0	0	0	0	0	0	0
RHODE ISLAND.....	331	0	1	0	1	327	2	0
VERMONT.....	110	0	0	0	0	110	0	0
MIDDLE ATLANTIC.....	104,945	12,051	7,858	1,510	3,934	60,161	5,102	13,786
NEW JERSEY.....	6,258	42	368	32	504	29,530	2,647	128
NEW YORK.....	66,837	9,415	4,877	207	2,902	39,298	1,880	8,175
PENNSYLVANIA.....	31,850	2,594	2,613	1,271	448	18,333	575	5,483
EAST NORTH CENTRAL.....	77,908	23,388	14,951	736	12,033	19,491	822	6,406
ILLINOIS.....	34,323	10,591	3,520	20	10,425	7,943	6	1,737
INDIANA.....	5,677	1	1	0	157	3,366	9	33
MICHIGAN.....	7,032	1,490	1,556	567	1,001	1,980	45	413
OHIO.....	34,978	11,288	9,816	166	4,449	8,277	762	4,220
WISCONSIN.....	1,008	18	58	3	1	925	0	3
WEST NORTH CENTRAL.....	22,427	1,023	2,411	636	1,302	11,978	467	2,735
LOUISIANA.....	46	0	0	0	0	0	0	0
KANSAS.....	542	28	5	1	11	94	0	46
MINNESOTA.....	11,423	224	246	584	675	8,557	72	30
MISSOURI.....	10,416	771	2,160	51	616	3,327	12	1,057
NEBRASKA.....	0	0	0	0	0	0	0	0
NORTH DAKOTA.....	0	0	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0	0	0
SOUTH ATLANTIC.....	94,624	16,615	11,866	2,868	5,299	31,673	5,775	14,690
DELAWARE.....	0	0	0	0	0	0	0	0
DISTRICT OF COLUMBIA	60,219	11,694	8,150	2,600	452	27,708	283	9,199
FLORIDA.....	1,293	3	21	10	13	1,200	17	133
GEORGIA.....	352	0	101	229	0	123	0	27
MARYLAND.....	4,189	234	101	28	65	2,566	591	0
NORTH CAROLINA.....	7,685	1,974	2,019	1	1,567	16	0	596
SOUTH CAROLINA.....	0	0	0	0	0	0	0	1,712
VIRGINIA.....	18,958	2,710	995	0	3,202	60	4,884	1,228
WEST VIRGINIA.....	1,928	0	0	0	0	0	0	1,928
EAST SOUTH CENTRAL.....	9,119	1,520	124	451	23	6,853	11	134
ALABAMA.....	6,583	1,480	108	445	0	4,500	0	50
KENTUCKY.....	261	40	16	6	21	80	11	84
MISSISSIPPI.....	0	0	0	0	0	0	0	0
TENNESSEE.....	2,215	0	0	0	2	2,273	0	0
WEST SOUTH CENTRAL.....	25,059	10,372	404	301	1,162	7,778	115	4,926
ARKANSAS.....	0	0	0	0	0	0	0	0
LOUISIANA.....	2,640	483	241	0	0	1,451	0	465
OKLAHOMA.....	1,983	0	8	2	11	1,903	5	26
TEXAS.....	20,436	9,862	155	299	1,151	4,424	110	4,435
MOUNTAIN.....	7,986	79	698	-2	32	5,712	217	1,131
ARIZONA.....	944	34	566	2	19	282	10	30
COLORADO.....	1,652	2	132	0	13	1,487	17	1

RHODE ISLAND.....	0	1	0	327	2	0
VERMONT.....	110	0	0	110.	0	0
MIDDLE ATLANTIC.....	104,945	12,051	7,358	1,510	3,934	60,161
NEW JERSEY.....	6,258	42	3,668	32	508	2,630
NEW YORK.....	66,837	9,415	4,877	2,071	2,982	3,9298
PENNSYLVANIA.....	31,850	2,594	2,013	1,271	448	18,333
EAST NORTH CENTRAL.....	77,908	23,388	14,951	736	12,033	119,491
ILLINOIS.....	34,323	10,591	3,520	20	10,425	7,983
INDIANA.....	567	1	567	1	157	366
ALASKA.....	7,032	1,490	1,556	597	1,001	1,980
OHIO.....	34,978	11,288	9,816	166	489	8,277
WISCONSIN.....	1,008	18	58	3	925	0
WEST NORTH CENTRAL.....	22,427	1,023	2,411	636	1,302	11,978
LOUISIANA.....	46	0	0	0	0	0
KANSAS.....	542	28	246	584	675	8,557
MINNESOTA.....	11,423	224	771	2,160	51	616
MISSOURI.....	10,416	771	0	0	0	0
NEBRASKA.....	0	0	0	0	0	0
NORTH DAKOTA.....	0	0	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0	0	0
SOUTH ATLANTIC.....	94,624	16,615	11,186	2,868	5,299	31,673
DELAWARE.....	0	0	0	0	0	0
DISTRICT OF COLUMBIA.....	60,219	0	11,694	8,150	2,600	452
FLORIDA.....	1,293	3	1,293	1,10	13	1,200
GEORGIA.....	352	0	0	.229	0	0
HARVARD.....	4,189	234	0	28	65	2,566
NORTH CAROLINA.....	7,685	1,974	2,019	1	1,567	16
SOUTH CAROLINA.....	0	0	0	0	0	0
VIRGINIA.....	18,958	2,710	495	0	3,202	60
WEST VIRGINIA.....	1,928	0	0	0	0	0
EAST SOUTH CENTRAL.....	9,119	1,520	124	451	23	6,853
ALABAMA.....	6,583	1,480	108	445	0	4,500
KENTUCKY.....	261	40	16	6	21	80
MISSISSIPPI.....	0	0	0	0	0	0
TESSSESEE.....	2,275	0	0	0	2	2,273
WEST SOUTH CENTRAL.....	25,059	10,372	404	301	1,162	7,778
ARKANSAS.....	0	0	0	0	0	0
LOUISIANA.....	2,660	483	241	0	0	0
OKLAHOMA.....	1,983	27	8	2	11	1,903
TEXAS.....	20,436	9,862	155	299	1,151	4,424
MOUNTAIN.....	7,986	79	698	2	32	5,712
ARIZONA.....	944	38	566	2	19	282
COLORADO.....	1,652	2	432	0	13	1,487
IDAHO.....	0	0	0	0	0	0
MONTANA.....	0	0	0	0	0	0
NEVADA.....	0	0	0	0	0	0
NEW MEXICO.....	5,235	0	0	0	0	0
UTAH.....	155	43	0	0	0	0
WYOMING.....	0	0	0	0	0	0
PACIFIC.....	229,878	104,740	21,690	8,411	13,851	41,273
ALASKA.....	0	0	0	0	0	0
CALIFORNIA.....	187,335	95,662	13,739	3,128	11,802	28,433
HAWAII.....	1,664	59	364	66	825	0
OREGON.....	8,784	364	110	24	817	3,938
WASHINGTON.....	32,295	8,564	7,782	4,895	1,566	8,077

TABLE B-16. SELECTED FINANCIAL CHARACTERISTICS OF INDEPENDENT NONPROFIT INSTITUTIONS: BY TYPE OF INSTITUTION AND R&D EXPENDITURE AND R&D EXPENDITURE-SIZE CLASS: 1973  
(THOUSANDS OF DOLLARS)

TYPE OF INSTITUTION AND R&D EXPENDITURE SIZE CLASS (THOUSANDS OF DOLLARS)	TOTAL EXPENDITURES ALL ACTIVITIES	INTRAMURAL RESEARCH AND DEVELOPMENT		
		CURRENT	TOTAL	FEDERAL CAPITAL
<b>ALL INSTITUTIONS</b>				
TOTAL.....	3,717,546	1,006,277	689,921	67,062
LESS THAN \$ 500	825,068	45,096	19,628	4,249
\$ 500 - \$ 999	415,278	51,576	27,224	3,910
\$1,000 - \$4,999	1,363,692	247,597	153,184	27,463
\$5,000 OR MORE.....	1,113,508	662,008	489,885	31,440
<b>RESEARCH INSTITUTIONS</b>				
TOTAL.....	662,216	486,692	310,029	37,595
LESS THAN \$ 500	33,635	18,194	7,819	1,507
\$ 500 - \$ 999	274,561	19,602	10,659	2,067
\$1,000 - \$4,999	188,967	144,747	97,090	14,400
\$5,000 OR MORE.....	412,053	304,149	194,461	19,621
<b>NONPROFIT-ADMINISTERED FFRDC'S</b>				
TOTAL.....	230,543	220,630	204,635	5,738
\$1,000 - \$4,999	2,076	2,076	2,034	0
\$5,000 OR MORE.....	228,467	218,554	202,601	5,738
<b>VOLUNTARY HOSPITALS</b>				
TOTAL.....	2,225,302	163,320	106,460	16,617
LESS THAN \$ 500	723,265	15,421	7,774	1,703
\$ 500 - \$ 999	311,080	13,829	9,811	1,051
\$1,000 - \$4,999	874,393	65,649	42,290	12,094
\$5,000 OR MORE.....	316,564	68,421	46,585	1,769
<b>ALL OTHER NONPROFIT INSTITUTIONS</b>				
TOTAL.....	599,485	135,635	68,797	7,112
LESS THAN \$ 500	68,168	11,481	4,035	1,039
\$ 500 - \$ 999	76,637	18,145	6,754	792
\$1,000 - \$4,999	298,256	35,125	11,770	969
\$5,000 OR MORE.....	156,424	70,884	46,238	4,312

TABLE B-17 GEOGRAPHIC DISTRIBUTION OF SELECTED FINANCIAL CHARACTERISTICS  
OF INDEPENDENT NONPROFIT INSTITUTIONS: 1973

(DOLLARS IN THOUSANDS)

	INTRAMURAL RESEARCH AND DEVELOPMENT			
	TOTAL EXPENDITURES	CURRENT TOTAL	FEDERAL CAPITAL	
UNITED STATES, TOTAL	3,717,546	1,006,277	689,921	67,062
NEW ENGLAND.....	629,947	138,673	117,975	9,852
CONNECTICUT.....	65,053	3,496	1,943	140
MAINE.....	10,823	3,402	2,806	658
MASSACHUSETTS.....	497,536	129,817	112,785	9,044
NEW HAMPSHIRE.....	0	0	0	0
RHODE ISLAND.....	52,357	1,777	331	10
VERMONT.....	4,878	181	110	0
MIDDLE ATLANTIC.....	1,072,152	182,575	104,945	10,102
NEW JERSEY.....	97,291	12,977	6,258	801
NEW YORK.....	760,078	124,670	66,837	7,788
PENNSYLVANIA.....	214,783	44,928	31,850	1,813
EAST, NORTH CENTRAL.....	584,366	135,023	77,908	15,577
ILLINOIS.....	264,330	52,953	34,323	2,161
INDIANA.....	58,942	1,125	567	149
MICHIGAN.....	27,528	11,525	7,032	290
OHIO.....	228,728	68,220	34,978	12,851
WISCONSIN.....	4,838	1,200	1,008	126
WEST, NORTH CENTRAL.....	180,058	37,477	22,427	2,762
IOWA.....	1,153	1,153	46	0
KANSAS.....	30,988	1,519	542	75
MINNESOTA.....	112,479	20,047	11,423	811
MISSOURI.....	35,438	14,758	10,416	1,876
NEBRASKA.....	0	0	0	0
NORTH DAKOTA.....	0	0	0	0
SOUTH DAKOTA.....	0	0	0	0
SOUTH ATLANTIC.....	526,593	143,566	94,624	6,215
DELAWARE.....	434	310	0	91
DISTRICT OF COLUMBIA	391,946	95,947	60,219	3,829
FLORIDA.....	40,144	3,669	1,293	168
GEORGIA.....	2,454	1,523	352	931
MARYLAND.....	54,716	7,423	4,189	387
NORTH CAROLINA.....	12,774	11,715	7,685	670
SOUTH CAROLINA.....	0	0	0	0
VIRGINIA.....	22,174	21,051	18,958	116
WEST VIRGINIA.....	1,951	1,928	1,928	23
EAST, SOUTH CENTRAL.....	20,950	15,500	9,119	1,301
ALABAMA.....	8,730	8,059	6,583	671
KENTUCKY.....	1,180	806	261	210
MISSISSIPPI.....	0	0	0	0
TENNESSEE.....	11,040	6,635	2,275	420
WEST, SOUTH CENTRAL.....	86,475	50,847	25,059	7,373
ARKANSAS.....	0	0	0	0
LOUISIANA.....	27,563	4,434	2,660	53
OKLAHOMA.....	4,553	3,121	1,983	374
TEXAS.....	54,359	43,292	20,436	6,946

RHODE ISLAND	52,353	1,777	331	10
VERMONT	4,878	161	110	6
MIDDLE ATLANTIC	1,072,152	182,575	104,945	10,102
NEW JERSEY	97,291	12,377	6,258	801
NEW YORK	760,078	124,670	66,837	7,488
PENNSYLVANIA	214,783	44,928	31,850	1,813
EAST NORTH CENTRAL	584,366	135,023	77,908	15,577
ILLINOIS	264,330	52,953	34,323	2,161
INDIANA	58,942	11,125	567	949
MICHIGAN	27,528	11,525	7,032	290
OHIO	228,728	68,220	34,978	12,851
WISCONSIN	4,838	1,9200	1,008	126
WEST NORTH CENTRAL	180,058	37,477	22,427	2,767
LOMA	3,153	1,153	46	0
KANSAS	30,988	1,519	542	75
MINNESOTA	112,479	20,067	11,423	811
MISSOURI	35,438	14,758	10,416	1,876
NEBRASKA	0	0	0	0
NORTH DAKOTA	0	0	0	0
SOUTH DAKOTA	0	0	0	0
SOUTH ATLANTIC	526,593	143,566	94,624	6,215
DELAWARE	434	310	0	91
DISTRICT OF COLUMBIA	391,946	95,947	60,219	3,829
FLORIDA	40,144	3,669	1,293	178
GEORGIA	24,454	1,523	852	931
MARYLAND	54,716	7,423	4,189	387
NORTH CAROLINA	12,274	11,375	7,035	670
SOUTH CAROLINA	0	0	0	0
VIRGINIA	22,174	21,051	18,958	116
WEST VIRGINIA	1,951	1,928	1,928	23
EAST SOUTH CENTRAL	20,950	15,500	9,119	1,301
ALABAMA	6,730	8,059	6,583	671
KENTUCKY	1,180	806	261	210
MISSISSIPPI	0	0	0	0
TENNESSEE	11,040	6,635	2,275	420
WEST SOUTTH-CENTRAL	86,475	50,847	25,059	7,373
ARKANSAS	0	0	0	0
LOUISIANA	27,563	4,434	2,640	53
OKLAHOMA	4,553	3,121	1,983	374
TEXAS	54,359	43,292	20,436	6,946
MOUNTAIN	56,794	12,850	7,986	608
ARIZONA	8,297	1,632	944	89
COLORADO	26,450	5,437	1,652	426
IDAHO	0	0	0	0
MONTANA	0	0	0	0
NEVADA	0	0	0	0
NEW MEXICO	5,881	5,626	5,235	93
UTAH	18,166	155	155	0
WYOMING	0	0	0	0
PACIFIC	558,211	289,766	223,878	13,272
ALASKA	0	0	0	0
CALIFORNIA	434,742	233,478	187,385	6,643
HAWAII	13,003	2,908	1,464	341
OREGON	26,862	11,318	8,784	693
WASHINGTON	83,604	42,062	32,295	5,595

**Table B-18. Selected manpower and financial characteristics of independent nonprofit institutions, ranked on the basis of total intramural R&D expenditure: 1973**  
 (Dollars in thousands)

Rank	Number	Amount	Percent of total						
			Total R&D	Federally financed R&D	Capital expendi- tures	Scientists and engineers	Techni- cians	Total R&D	Federally financed R&D
Total, all institutions	26,336	\$29,145	\$1,006,277	\$689,921	\$67,062	100.0	100.0	100.0	100.0
First 10	7,958	3,091	434,007	839,463	16,663	30.2	10.6	43.1	49.2
Second 10	2,531	3,531	112,882	81,269	5,415	9.6	12.1	11.2	24.8
Third 10	1,642	751	68,395	39,761	1,937	6.3	2.6	6.5	8.1
Fourth 10	1,765	687	53,713	31,932	7,985	6.7	2.4	5.3	2.9
Fifth 10	1,194	829	43,762	36,789	8,552	4.5	2.8	4.3	11.9
Total, first 50	15,085	8,889	710,759	529,214	40,652	57.3	30.5	70.6	53.3
Second 50	3,244	3,620	128,689	78,892	13,722	12.3	12.4	12.8	12.8
Total, first 100	18,329	12,508	830,428	608,106	54,274	69.6	42.9	83.4	76.7
All other institutions	8,007	16,636	168,849	84,875	12,788	30.4	57.1	16.6	20.5
									60.5
									80.9
									80.9
									19.1

**Table B-19. Selected manpower and financial characteristics of independent nonprofit institutions, ranked on the basis of federally funded intramural R&D expenditure: 1973**  
 (Dollars in thousands)

Rank	Number	Amount	Percent of total						
			Total R&D	Federally financed R&D	Capital expendi- tures	Scientists and engineers	Techni- cians	Total R&D	Federally financed R&D
Total, all institutions	26,336	\$29,145	\$1,006,277	\$689,921	\$67,062	100.0	100.0	100.0	100.0
First 10	7,781	3,777	4,175,592	344,600	145,112	29.5	13.0	41.5	49.9
Second 10	2,806	3,137	126,058	79,055	6,355	10.7	10.8	12.5	22.5
Third 10	1,480	586	61,584	49,379	1,786	5.6	1.9	6.1	9.6
Fourth 10	1,490	716	52,934	38,849	3,101	5.7	2.5	5.3	2.6
Fifth 10	1,586	1,204	37,840	27,922	9,354	5.9	4.1	3.8	4.6
Total, first 50	15,123	9,400	696,008	538,805	35,688	57.4	32.3	89.2	78.1
Second 50	2,976	3,792	118,455	83,257	13,237	11.3	13.0	11.8	13.9
Total, first 100	13,192	814,463	622,062	48,925	68.7	45.3	80.9	12.1	53.2
All other institutions	8,237	15,953	191,814	67,859	18,127	31.3	54.7	19.1	19.7
									73.0
									27.0

**Table B-20. Selected manpower and financial characteristics of independent nonprofit institutions, ranked on the basis of total number of scientists and engineers employed: October 1973**  
 (Dollars in thousands)

Rank	Number	Amount	Percent of total						
			Total R&D	Federally financed R&D	Capital expendi- tures	Scientists and engineers	Techni- cians	Total R&D	Federally financed R&D
Total, all institutions	26,336	\$29,145	\$1,006,277	\$689,921	\$67,062	100.0	100.0	100.0	100.0
First 10	7,958	3,091	434,007	839,463	16,663	30.2	10.6	43.1	49.2
Second 10	2,531	3,531	112,882	81,269	5,415	9.6	12.1	11.2	24.8
Third 10	1,642	751	68,395	39,761	1,937	6.3	2.6	6.5	2.9
Fourth 10	1,765	687	53,713	31,932	7,985	6.7	2.4	5.3	11.9
Fifth 10	1,194	829	43,762	36,789	8,552	4.5	2.8	4.3	12.8
Total, first 50	15,085	8,889	710,759	529,214	40,652	57.3	30.5	70.6	76.7
Second 50	3,244	3,620	128,689	78,892	13,722	12.3	12.4	12.8	20.5
Total, first 100	18,329	12,508	830,428	608,106	54,274	69.6	42.9	83.4	80.9
All other institutions	8,007	16,636	168,849	84,875	12,788	30.4	57.1	16.6	19.1

Fifth 10 .....	1,194	829	43,762	36,789	8,552	4.5	2.8	4.3	5.3	11.9
Total, first 50 .....	15,085	8,889	710,759	529,214	40,552	57.3	30.5	70.6	76.7	12.8
Second 50 .....	3,244	3,620	128,669	78,892	13,722	12.3	12.4	12.8	11.4	60.5
Total, first 100 .....	18,399	12,509	839,428	608,106	54,274	69.6	42.9	83.4	88.1	20.6
All other institutions .....	8,007	16,636	168,849	81,815	12,788	30.4	57.1	16.6	11.9	80.9
										19.1

Table B-19. Selected manpower and financial characteristics of independent nonprofit institutions, ranked on the basis of federally funded intramural R&D expenditures: 1973  
(Dollars in thousands)

Rank	Number	Amount	Percent of total						Capital expenditures
			Federally financed		Total		Federally financed		
			Total	R&D	R&D	and engineers	Scientists and engineers	Technicians	R&D expenditures
	Total, all institutions	26,336	\$1,006,277	\$689,921	\$87,062	100.0	100.0	100.0	100.0
First 10 .....	7,781	3,777	417,592	344,600	15,112	29.5	13.0	41.5	49.9
Second 10 .....	2,806	3,137	126,058	79,055	6,355	10.7	10.8	12.5	11.5
Third 10 .....	1,480	586	61,584	48,379	1,766	5.6	1.9	6.1	7.0
Fourth 10 .....	1,490	716	52,934	38,849	3,101	5.7	2.5	5.3	5.6
Fifth 10 .....	1,586	1,204	37,840	27,922	9,354	5.9	4.1	3.8	4.0
Total, first 50 .....	15,123	9,400	696,008	539,805	35,688	57.4	32.3	69.2	78.1
Second 50 .....	2,976	3,792	118,455	83,257	13,237	11.3	13.0	11.8	12.1
Total, first 100 .....	18,099	13,192	814,463	622,062	48,925	48.7	45.3	80.9	90.2
All other institutions .....	8,237	15,953	191,814	67,859	18,127	31.3	54.7	19.1	9.8
									27.0

Table B-20. Selected manpower and financial characteristics of independent nonprofit institutions, ranked on the basis of total number of scientists and engineers employed: October 1973  
(Dollars in thousands)

Rank	Number	Amount	Percent of total						Capital expenditures
			Federally financed		Total		Federally financed		
			Total	R&D	R&D	and engineers	Scientists and engineers	Technicians	R&D expenditures
	Total, all institutions	26,336	\$1,006,277	\$689,921	\$87,062	100.0	100.0	100.0	100.0
First 10 .....	8,809	1,975	373,485	289,225	16,885	33.4	6.8	37.1	43.4
Second 10 .....	3,055	4,270	93,277	61,742	4,385	11.6	14.7	9.3	8.9
Third 10 .....	1,828	1,825	109,773	82,089	2,385	6.9	6.6	10.9	11.9
Fourth 10 .....	1,462	1,405	54,844	39,920	2,711	5.6	4.8	5.5	5.8
Fifth 10 .....	1,206	847	41,473	30,340	7,729	4.6	2.9	4.1	4.4
Total, first 50 .....	16,380	10,422	672,852	513,316	34,095	62.1	35.8	66.9	74.4
Second 50 .....	3,756	5,913	115,787	64,680	9,834	14.3	20.3	11.5	9.4
Total, first 100 .....	20,116	16,335	785,369	577,996	43,929	76.4	56.0	78.3	83.8
All other institutions .....	6,220	12,810	217,908	111,925	23,133	23.6	44.0	21.7	16.2
									34.5

**Table B-21. Selected manpower and financial characteristics of science and engineering programs of research institutes: 1964-73**

Characteristics	January 1965	January 1967	January 1970	January 1973	October 1973
Total scientists and engineers .....	10,887	12,325	10,237	11,186	
By type of activity in which primarily engaged:					
R&D .....	9,311	10,634	9,826	10,854	
Other activities .....	1,556	1,691	411	342	
By field:					
Engineers .....	2,078	2,478	2,294	2,438	
Physical scientists .....	1,799	1,937	1,603	1,550	
Environmental scientists .....	311	338	277	168	
Mathematicians .....	1,537	1,594	553	581	
Life scientists .....	3,109	3,462	3,160	3,292	
Psychologists .....	823	898	532	481	
Social scientists .....	1,210	1,518	1,818	2,686	
By highest earned degree:					
Ph.D. or Sc.D. .....	NA	2,955	3,117	3,482	
M.D., D.D.S., or D.V.M., etc. ....	NA	810	695	768	
Master's .....	NA	2,924	2,706	2,884	
Bachelor's or equivalent .....	NA	6,636	3,719	4,084	
Technicians .....	4,567	4,670	4,794	4,988	
By source:					
Current R&D expenditures .....	\$274,730	\$323,316	\$365,171	\$486,692	
Federal Government .....	183,457	211,568	226,750	310,029	
State government .....	1,610	2,840	7,278	7,792	
Local government .....	549	905	2,437	4,026	
Foundations & voluntary health agencies .....	10,004	14,334	17,281	25,412	
Industry .....	44,137	66,278	73,494	78,885	
Institution's own funds .....	28,499	28,456	25,807	39,536	
Other sources .....	6,474	9,135	12,024	21,002	
By field:					
Engineering .....	75,198	85,198	113,654	97,689	
Physical sciences .....	57,894	65,580	47,955	49,886	
Environmental sciences .....	10,005	11,446	8,293	9,445	
Mathematical sciences .....	14,881	16,384	14,461	34,100	
Life sciences .....	80,317	90,276	100,889	168,905	
Psychology .....	6,650	10,869	14,590	18,265	
Social sciences .....	29,785	43,623	59,020	112,982	
Other sciences, n.e.c. ....	—	—	6,309	5,440	
Capital R&D expenditures .....	22,219	26,207	29,704	37,595	

NA - Not available.

**Table 22. Selected manpower and financial characteristics of science and engineering programs of nonprofit-administered FFRDC's: 1964-73**

Characteristics	January 1965	January 1967	January 1970	January 1973	October 1973
<b>Total scientists and engineers</b>					
R&D	4,010	5,495	6,057	4,309	
Other activities	—	5,425	6,039	4,133	
By type of activity in which primarily engaged:					
R&D	4,010	70	18	176	
Other activities	—	—	—	—	
By field:					
Engineers	2,046	2,708	2,629	2,161	
Physical scientists	679	962	950	706	
Environmental scientists	13	69	103	167	
Mathematicians	675	733	759	617	
Life scientists	64	84	153	185	
Psychologists	45	133	281	49	
Social scientists	488	806	1,182	424	
By highest earned degree:					
Ph.D. or Sc.D.	NA	1,121	1,341	1,018	
M.D., D.Sc., or D.V.M., etc.	NA	38	54	68	
Master's	NA	1,878	2,195	1,633	
Bachelor's or equivalent	NA	2,460	2,467	1,590	
Technicians	1,500	1,952	1,546	955	
Current R&D expenditures					
By source:					
Federal Government	167,415	210,888	262,564	204,635	
State government	2	311	477	1,578	
Local government	2	208	2,912	2,327	
Foundations & voluntary health agencies	57	37	1,423	1,241	
Industry	—	450	3,419	6,096	
Institution's own funds	1,256	2,017	5,003	3,880	
Other sources	61	39	1,516	893	
By field:					
Engineering	113,018	119,127	138,459	141,468	
Physical sciences	24,253	41,127	46,561	21,125	
Environmental sciences	463	2,971	5,045	9,159	
Mathematical sciences	14,821	21,988	20,195	16,221	
Life sciences	5,117	7,232	14,073	12,718	
Psychology	1,037	2,464	5,717	1,387	
Social sciences	10,084	19,041	32,697	13,491	
Other sciences, n.s.c.	—	—	14,567	6,061	
Capital R&D expenditures	—	—	4,418	5,738	

Federally Funded Research and Development Centers

NA - Not available.

Table B-23. Selected manpower and financial characteristics of science and engineering programs of voluntary hospitals: 1964-73

Characteristics:		January 1965	January 1967	January 1970	January 1973	October 1973
<b>Total scientists and engineers*</b>						
R&D	4,056	4,584	4,112	3,902	5,555	6,495
Other activities	402	452	429	340	216	216
By field:						
Engineers	108	122	116	109	104	104
Physical scientists	159	179	169	7	109	88
Environmental scientists	6	7	42	41	5,020	5,020
Mathematicians	37	42	3,740	3,545	482	482
Life scientists	3,324	2,111	238	227	376	376
Psychologists	211	210	236	226		
Social scientists	210	210	236	226		
By highest earned degree:						
Ph.D. or Sc.D.	970	1,089	1,038	1,038	1,585	1,585
M.D., DDS, or D.V.M., etc.	1,881	2,129	2,019	2,019	3,156	3,156
Masters	412	532	507	507	655	655
Bachelors or equivalent	723	814	789	789	1,099	1,099
Technicians						
	13,004	14,005	15,123	22,110		
<b>Current R&amp;D expenditures*</b>						
By source:						
Federal Government	59,626	71,510	84,495	106,460		
State government	809	622	763	1,620		
Local government	170	554	183	1,682		
Foundations & voluntary health agencies	11,425	9,857	12,959	14,312		
Industry	1,350	1,944	1,773	3,647		
Institutions' own funds	17,920	25,162	24,222	31,091		
Other sources	4,538	5,348	5,148	4,528		
By field:						
Engineering	113	136	153	1714		
Physical sciences	1,583	1,900	2,137	4,660		
Environmental sciences	58	70	79	41		
Mathematical sciences	547	657	738	2,064		
Life sciences	90,496	108,700	122,876	144,431		
Psychology	2,363	2,639	3,217	4,589		
Social sciences	307	369	487	5,605		
Other sciences, n.e.c.	271	326	365	216		
Capital R&D expenditures						
	13,783	16,535	15,938	16,817		

\*Statistics for earlier years derived from surveys conducted by the National Institutes of Health.

Table B-24. Selected manpower and financial characteristics of science and engineering programs of all other nonprofit institutions; by institutional type: 1973

	All other nonprofit institutions	Socialities and academies of science	Private foundations	Science exhibitors	Trade associations and agricultural cooperatives	Other nonprofit institutions
Total scientists and engineers	4,336	1,306	236	395	709	1,690
By type of activity in which primary engaged:						
R&D	2,557	644	232	350	389	962
Other activities	1,749	682	4	45	310	728
By field:						
Engineers	731	159	7	3	524	38
Physical scientists	658	300	24	12	108	14
Mathematicians	182	104	35	31	12	—
Life scientists	328	98	—	—	11	219
Psychologists	1,408	342	190	236	30	670
Social scientists	368	53	9	14	1	431
By highest attained degree:						
Ph.D. or Sc.D.	1,344	398	161	180	98	507
M.D., D.D.S., or D.V.M., etc.	231	58	6	7	—	—
Masters Bachelor's or equivalent	1,106	344	15	7	150	160
Bachelors	1,655	506	54	125	461	509
Technicians	1,384	207	124	228	201	304
Current R&D expenditures	\$135,635	\$61,848	\$13,510	\$7,907	\$26,353	\$26,017
By source:						
Federal Government	68,797	44,442	1,872	2,415	7,550	12,518
State Government	1,880	1,186	78	434	141	41
Local government	410	—	—	56	—	354
Foundations & voluntary health agencies	10,262	3,196	233	569	10	6,254
Industry	16,314	1,935	252	38	13,869	222
Institution's own funds	34,075	8788*	11,021	3,373	4,434	6,459
Other sources	3,897	2,301	54	1,024	349	169
By field:						
Engineering	36,040	15,401	94	—	20,398	147
Physical sciences	16,558	12,559	1,949	591	1,459	—
Environmental sciences	10,671	5,318	2,425	1,938	890	—
Mathematical sciences	740	384	2	—	149	225
Life sciences	53,404	26,378	6,280	3,624	1,877	15,245
Psychology	7,292	284	357	193	—	6,458
Social sciences	10,930	1,544	2,403	1,561	1,480	3,942
Other sciences, n.e.c.	—	—	—	—	—	—
Capital R&D expenditures	7,112	1,162	3,580	332	1,601	437

**Table B-25. Selected manpower and financial characteristics of science and engineering programs of all other nonprofit institutions: 1964-73**

Characteristics	January 1965	January 1967	January 1970	January 1973	October 1973
<b>Total scientists and engineers</b>					
By type of activity in which primarily engaged:					
R&D	2,413	3,058	2,976	3,627	
Other activities	925	1,237	1,244	1,439	
By field:					
Engineers	96	148	174	207	
Physical scientists	388	477	461	550	
Environmental scientists	96	135	90	170	
Mathematicians	138	122	196	317	
Life scientists	1,103	1,146	992	1,378	
Psychologists	254	507	383	507	
Social scientists	338	523	680	418	
By highest earned degree:					
Ph.D. or Sc.D.	NA	1,194	1,060	1,246	
M.D., D.D.S., or D.V.M., etc.	NA	272	278	231	
Master's	NA	780	715	956	
Bachelor's or equivalent	NA	812	923	1,194	
Technicians	570	553	798	1,163	
Current R&D expenditures					
1964	1966	1969	1973		
\$42,716	\$61,121	\$74,229	\$109,282		
By source:					
Federal Government	18,029	25,947	36,460	61,247	
State government	795	1,331	1,330	1,739	
Local government	220	651	4,524	4,110	
Foundations & voluntary health agencies	2,852	5,071	5,892	10,252	
Industry	881	1,004	1,117	2,445	
Institution's own funds	18,115	25,509	24,250	29,641	
Other sources	1,824	1,608	4,666	3,548	
By field:					
Engineering	5,124	4,378	5,437	15,642	
Physical sciences	4,902	6,158	9,087	15,099	
Environmental sciences	2,341	2,540	3,353	9,681	
Mathematical sciences	1,223	633	106	691	
Life sciences	20,729	24,500	25,851	51,527	
Psychology	2,154	7,986	6,044	7,292	
Social sciences	6,243	8,237	11,294	9,450	
Other sciences, n.e.c.	—	6,679	13,068	—	
Capital R&D expenditures	1,573	3,341	2,847	5,511	

<sup>1</sup> Includes societies and academies of science, private foundations, science exhibitors, and other nonprofit institutions, n.e.c.; but excludes trade associations and agricultural cooperatives, for which data prior to 1973 are not available.  
NA - Not available.

## **APPENDIX C**

**Reproduction of Covering Letter,  
Summary Questionnaires, and  
Instructions**

NATIONAL SCIENCE FOUNDATION

WASHINGTON, D.C. 20550

NATIONAL SCIENCE

WASHINGTON, D.C.

JAN 16 1974

Dear Sir:

The National Science Foundation requests your cooperation in its Survey of R&D Performance of Independent Nonprofit Institutions, 1973. The enclosed survey questionnaire seeks information on the employment of scientific and technical personnel and the financing of intramural R&D performance in the sciences and engineering.

This survey is part of NSF's continuing program of surveys and studies designed to assemble information on the national resources allocated to the advancement of science and technology. Similar surveys are conducted in other sectors of the economy, including industry, universities and colleges, and government. Such information is needed by the National Science Foundation, other Government agencies, and all other national groups concerned with formulating and evaluating policies and programs to strengthen the scientific capabilities of the Nation.

Also enclosed is a self-addressed postcard requesting the name and title of the official designated to complete the questionnaire for your institution. The prompt return of this postcard to the National Science Foundation will insure that any inquiries regarding your institution's participation in the survey will be directed to the appropriate official. If any questions arise regarding the interpretation of the survey questionnaire, please write or call J. G. Huckenpahler at the Foundation's Division of Science Resources Studies (Area Code 202, 282-7790).

Your cooperation in this survey will be appreciated.

Sincerely yours,

*Richard M. Berry*

Richard M. Berry, Study Director  
Universities and Nonprofit  
Institutions Studies Group  
Division of Science Resources Studies

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NATIONAL SCIENCE

WASHINGTON, D.C.

Dear Respondent:

Following the mail-out of the Survey of Independent Nonprofit Institutions, 1973, the National Science Foundation was informed that the official designated to complete the questionnaire for your institution had not yet received the completed questionnaire.

The National Science Foundation would appreciate receiving the requested information as soon as possible. The data will be available for inclusion in the Board's annual report to the President and in the latest "status of science" indicators.

In any instances where exact data are not available, estimates will be satisfactory. For your convenience, we are enclosing copies of the survey questionnaire and a self-addressed franked envelope.

Your continued cooperation in reporting the survey results will be greatly appreciated. If you have any questions, please address them to me or Mr. J. G. Huckenpahler, who can be reached on Area Code 202, 282-7790.

Sincerely yours,

*Richard M. Berry*

Richard M. Berry,  
Universities and  
Institutions Studies  
Division of Science Resources Studies

Enclosures

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NCE FOUNDATION  
ON, D.C. 20550

NATIONAL SCIENCE FOUNDATION

WASHINGTON, D.C. 20550

JAN 16 1974

requests your cooperation in its  
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nsure that any inquiries regarding  
n the survey will be directed to  
questions arise regarding the  
onnaire, please write or call  
on's Division of Science Resources

ll be appreciated.

rely yours,

*Richard M. Berry*  
Richard M. Berry, Study Director  
Universities and Nonprofit  
Institutions Studies Group  
Division of Science Resources Studies

Dear Respondent:

Following the mail-out of the Survey of R&D Activities of Independent Nonprofit Institutions, 1973 in January 1974, the National Science Foundation was informed that you were the official designated to complete the questionnaire for your institution. Our records indicate that we have not yet received the completed questionnaire.

The National Science Foundation would appreciate receiving the requested information as soon as possible, so that 1973 data will be available for inclusion in the National Science Board's annual report to the President which highlights the latest "status of science" indicators.

In any instances where exact data are not available, estimates will be satisfactory. For your convenience, additional copies of the survey questionnaire and instructions, together with a self-addressed franked envelope, are enclosed.

Your continued cooperation in reporting timely data to NSF will be greatly appreciated. If you have any questions, please address them to me or Mr. J. G. Huckenpahler. We may be reached on Area Code 202, 282-7790.

Sincerely yours,

*Richard M. Berry*

Richard M. Berry, Study Director  
Universities and Nonprofit  
Institutions Studies Group  
Division of Science Resources Studies

Enclosures

NATIONAL SCIENCE FOUNDATION

WASHINGTON, D.C. 20550

Any questions that might arise regarding to Mr. J. G. Huckenpahler at the Foundation Resources Studies (Area Code 202, 282-7711) participated in the earlier surveys in forms submitted are available upon request.

Your assistance in the survey is important and greatly appreciated.

Sincerely,

*Richard M. University of Illinois Division of Social Science Research*

Dear Administrator:

My letter of January 16, 1974, requested your assistance in the National Science Foundation's Survey of R&D Activities of Independent Nonprofit Institutions, 1973. Since we have not yet received a reply from your institution, I am writing again to seek your support.

Enclosures

As I indicated in my earlier letter, the objective of the survey is to obtain summary data on the manpower and financial resources allocated to scientific activities by the Nation's independent nonprofit institutions. This survey is the only source of such information, which will be compared and combined with similar data from other sectors of the economy to arrive at total figures reflecting the magnitude and utilization of the Nation's science resources. Therefore, your participation is important to the success of this survey.

If exact manpower and financial data are not available, reasonably accurate estimates will be satisfactory. Further, if you are unable to answer all of the questions, please furnish as much of the requested information as you can. Your estimate, based on your own knowledge of your institution, will be better than any we could make.

In the event that the survey questionnaires and instructions failed to reach you, additional copies are enclosed. In order that any future inquiries regarding the survey may be directed to the official responsible for completing the questionnaire, a self-addressed postcard for his name and title is also enclosed. If your institution had no intramural R&D expenditures during 1973, a note to this effect on the postcard will suffice.

Any questions that might arise regarding the survey may be directed to Mr. J. G. Huckenpahler at the Foundation's Division of Science Resources Studies (Area Code 202, 282-7790). If your institution participated in the earlier surveys in the series, copies of the forms submitted are available upon request.

Your assistance in the survey is important; your cooperation will be greatly appreciated.

Sincerely yours,

*Richard M. Berry*

Richard M. Berry, Study Director  
Universities and Nonprofit  
Institutions Studies Group  
Division of Science Resources Studies

requested your assistance in the Survey of R&D Activities of tions, 1973. Since we have not yet stitution, I am writing again to

Enclosures

letter, the objective of the survey the manpower and financial resources ities by the Nation's independent survey is the only source of such mpared and combined with similar e economy to arrive at total figures utilization of the Nation's science articipation is important to the

al data are not available, reasonably satisfactory. Further, if you are uestions, please furnish as much of you can. Your estimate, based on titution, will be better than any

questionnaires and instructions l copies are enclosed. In order rding the survey may be directed to mpleting the questionnaire, a s name and title is also enclosed. ramural R&D expenditures during 1973, ostcard will suffice.

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISG

Please indicate below the number of any item that should not be published with institutional identification:

---

*55*  
Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

(PLEASE RETURN THIS COPY)

## PART I — PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

*55*  
Item 12 Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	26,336	23,823
(1) Number primarily in R&D	3111	23,129	20,904
(2) Number primarily in other activities	3112	3,207	2,919

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Scientific exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	26,336	23,823
(1) Number primarily in R&D	3111	23,129	20,904
(2) Number primarily in other activities	3112	3,207	2,919
b. Technicians	3120	29,415	25,298
c. Other employees	3130	215,838	179,576
d. Total (sum of a to c)	3100	271,589	228,697
			42,892

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	5,546	318	4,769	459
(1) Ph.D. or Sc.D.	3211	921	82	814	25
(2) M.D., D.D.S., D.V.M., etc.	3212	18	7	10	1
(3) Master's	3213	1,967	91	1,799	77
(4) Bachelor's or equivalent	3214	2,640	1,38	2,146	356
b. Physical scientists (total)	3220	3,140	574	2,053	481
(1) Ph.D. or Sc.D.	3221	1,148	191	801	156
(2) M.D., D.D.S., D.V.M., etc.	3222	58	54	3	1
(3) Master's	3223	684	96	480	108
(4) Bachelor's or equivalent	3224	1,218	2,33	769	216
c. Environmental scientists (total)	3230	626	158	411	57
(1) Ph.D. or Sc.D.	3231	196	30	161	5
(2) M.D., D.D.S., D.V.M., etc.	3232	69	66	3	-
(3) Master's	3233	176	25	124	27
(4) Bachelor's or equivalent	3234	185	37	123	25
d. Mathematicians (total)	3240	1,614	248	1,191	175
(1) Ph.D. or Sc.D.	3241	314	34	267	13
(2) M.D., D.D.S., D.V.M., etc.	3242	8	5	1	2
(3) Master's	3243	552	72	436	44
(4) Bachelor's or equivalent	3244	740	137	487	116
e. Life scientists (total)	3250	9,905	8,116	865	924
(1) Ph.D. or Sc.D.	3251	2,809	2,242	420	147
(2) M.D., D.D.S., D.V.M., etc.	3252	3,897	3,359	48	490
(3) Master's	3253	1,004	792	104	108
(4) Bachelor's or equivalent	3254	2,195	1,723	293	179
f. Psychologists (total)	3260	1,530	559	513	458
(1) Ph.D. or Sc.D.	3261	895	329	300	266
(2) M.D., D.D.S., D.V.M., etc.	3262	124	121	3	-
(3) Master's	3263	313	68	122	123
(4) Bachelor's or equivalent	3264	198	41	88	69
g. Social scientists (total)	3270	4,007	786	2,568	653
(1) Ph.D. or Sc.D.	3271	1,146	223	860	63
(2) M.D., D.D.S., D.V.M., etc.	3272	47	26	6	15
(3) Master's	3273	1,562	280	998	284
(4) Bachelor's or equivalent	3274	1,252	257	704	291
h. Total Headcount (sum of a to g)	3200	26,336	10,759	12,370	3,207

Item  
3

Technicians, by field and function in which primarily employed, October 1973

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
2. Engineering technicians	3310	2,137	1,701	436
h. Physical science technicians	3230	1,000	922	101

51	(1) Ph.D. or Sc.D.	32220	3,760	574	503	481
	(2) M.D., D.D.S., D.V.M., etc.	32221	1,148	191	801	156
	(3) Master's	32222	58	54	3	1
	(4) Bachelor's or equivalent	32223	684	96	480	108
c. Environmental scientists (total)		32224	1,218	233	769	216
(1) Ph.D. or Sc.D.		32230	626	158	411	57
(2) M.D., D.D.S., D.V.M., etc.		32231	196	30	161	5
(3) Master's		32232	69	66	3	-
(4) Bachelor's or equivalent		32233	176	25	124	27
d. Mathematicians (total)		32234	185	37	123	25
(1) Ph.D. or Sc.D.		3240	1,614	248	1,191	175
(2) M.D., D.D.S., D.V.M., etc.		3241	314	34	267	13
(3) Master's		3242	8	5	1	2
(4) Bachelor's or equivalent		3243	552	72	436	44
e. Life scientists (total)		32250	9,905	8,116	865	924
(1) Ph.D. or Sc.D.		32251	2,809	2,242	420	147
(2) M.D., D.D.S., D.V.M., etc.		32252	3,897	3,359	48	490
(3) Master's		32253	1,004	792	104	108
(4) Bachelor's or equivalent		32254	2,195	1,723	293	179
f. Psychologists (total)		32260	1,530	559	513	458
(1) Ph.D. or Sc.D.		32261	895	329	300	266
(2) M.D., D.D.S., D.V.M., etc.		32262	124	121	3	-
(3) Master's		32263	313	68	122	123
(4) Bachelor's or equivalent		32264	198	41	88	69
g. Social scientists (total)		32270	4,007	786	2,568	653
(1) Ph.D. or Sc.D.		32271	1,146	223	860	63
(2) M.D., D.D.S., D.V.M., etc.		32272	47	26	6	15
(3) Master's		32273	1,562	280	998	284
(4) Bachelor's or equivalent		32274	1,252	257	704	291
h. Total Headcount (sum of a to g)		32200	26,336	10,759	12,370	3,207

Item 3	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)	
				Engineering technicians	Physical science technicians
a. Engineering technicians		3310	2,137	1,701	436
b. Physical science technicians		3320	1,024	833	191
c. Environmental science technicians		3330	301	247	54
d. Mathematics technicians		3340	434	258	176
e. Biological and agricultural science technicians		3350	3,083	1,589	1,494
f. Medical and health-related technicians		3360	21,369	6,131	15,238
g. Psychology technicians		3370	256	180	76
h. Social science technicians		3380	811	536	275
i. Total (sum of a to h)		3300	29,415	11,475	17,940

## PART II - FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

All financial data requested on this form should be reported in thousands of dollars; for example, an expenditure of \$25,342 should be rounded to the nearest thousand dollars and reported in the appropriate column as \$25.

### Item 4

Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.

TYPE OF EXPENDITURE	Thousands of dollars		
	Total (1)		Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 1,006,277	\$ 3590.2
b. Capital R&D expenditures	\$ 3490.3	\$ 67,062	\$ 3590.3
c. All other expenditures	\$ 3490.4	\$ 2,644,207	\$ 3590.4
d. Total (sum of a to c)	\$ 3490.7	\$ 3,717,546	\$ 3590.7

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### Item 5

Current expenditures for intramural research and development, by source of funds, 1972-73

SOURCE OF FUNDS	Thousands of dollars		
	Total (1)		Medical and health related (2)
a. Federal Government	\$ 3410	\$ 689,921	\$ 267,433
b. State government	\$ 3420	\$ 12,870	\$ 3,264
c. Local government	\$ 3430	\$ 8,425	\$ 2,706
d. Foundations and voluntary health agencies	\$ 3440	\$ 51,227	\$ 31,245
e. Industry	\$ 3450	\$ 104,952	\$ 13,219
f. Institution's own funds	\$ 3460	\$ 108,562	\$ 68,502
g. Other sources	\$ 3470	\$ 30,320	\$ 13,685
h. Total (sum of a to g)	\$ 3400	\$ 1,006,277	\$ 400,054

Total in 5a, column 1, should equal 7i, column 3.  
 Total in 5a, column 2, should equal 7i, column 4.  
 Total in 5h, column 1, should equal 4a, column 1, and 7i, column 1.  
 Total in 5h, column 2, should equal 4a, column 2, and 7i, column 2.

### Item 6

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73

TYPE OF R&D ACTIVITY	Thousands of dollars	
	TOTAL (1)	FEDERAL GOVERNMENT (2)
ESTIMATED TOTAL		

**TYPE OF EXPENDITURE**

		Total (1)		Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 1,006,277	\$ 3590.2	\$ 400,054
b. Capital R&D expenditures	\$ 3490.3	\$ 67,062	\$ 3590.3	\$ 38,022
c. All other expenditures	\$ 3490.4	\$ 2,644,207	\$ 3590.4	\$ 2,187,261
d. Total (sum of a to c)	\$ 3490.7	\$ 3,717,546	\$ 3590.7	\$ 2,625,337

**Current expenditures for intramural research and development, by source of funds, 1972-73**

Item 5	SOURCE OF FUNDS	Thousands of dollars		Medical and health related (2)
		Total (1)		
a. Federal Government	\$ 3410	\$ 689,921	\$ 3510	\$ 267,433
b. State government	\$ 3420	\$ 12,870	\$ 3520	\$ 3,264
c. Local government	\$ 3430	\$ 8,425	\$ 3530	\$ 2,706
d. Foundations and voluntary health agencies	\$ 3440	\$ 51,227	\$ 3540	\$ 31,245
e. Industry	\$ 3450	\$ 104,952	\$ 3550	\$ 13,219
f. Institution's own funds	\$ 3460	\$ 108,562	\$ 3560	\$ 68,502
g. Other sources	\$ 3470	\$ 30,320	\$ 3570	\$ 13,685
h. Total (sum of a to g)	\$ 3400	\$ 1,006,277	\$ 3500	\$ 400,054

Total in 5a, column 1, should equal 7i, column 3.  
Total in 5a, column 2, should equal 7i, column 4.  
Total in 5h, column 1, should equal 4a, column 1, and 7i, column 1.  
Total in 5h, column 2, should equal 4a, column 2, and 7i, column 2.

**Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

Item 6	TYPE OF R&D ACTIVITY	Thousands of dollars		ESTIMATED TOTAL
		TOTAL (1)	FEDERAL GOVERNMENT (2)	
a. Basic research	\$ 3610	\$ 357,182	\$ 218,074	
b. Applied research	\$ 3620	\$ 353,335	\$ 233,526	
c. Development	\$ 3630	\$ 295,760	\$ 238,321	
d. Total (sum of a to c)	\$ 3600	\$ 1,006,277	\$ 689,921	

Item  
7

Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73  
Thousands of dollars

FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	
	Total (1)	Medical and health related (2)	Total (3)	Medical and health related (4)
a. Engineering (total)	\$ 276,911	\$ 11,630	\$ 220,884	\$ 8,539
b. Physical sciences (total)	\$ 3720	\$ 92,209	\$ 16,810	\$ 60,490
(1) Astronomy	3721	6,561	3821	4,274
(2) Chemistry	3722	40,084	11,257	24,118
(3) Physics	3723	29,759	3,445	3823
(4) Other physical sciences, NEC	3724	15,805	2,108	21,159
c. Environmental sciences (total)	3720	\$ 29,316	\$ 5,398	\$ 10,939
d. Mathematical sciences (total)	3740	\$ 52,125	\$ 6,630	\$ 16,049
(1) Mathematics (exclude computer sciences)	3741	25,043	1,207	3820
(2) Computer sciences	3742	27,082	5,423	21,441
e. Life sciences (total)	3750	\$ 369,458	\$ 330,094	\$ 240,825
(1) Biological (include agricultural sciences)	3751	169,030	139,453	3821
(2) Clinical medical	3752	173,532	165,110	3822
(3) Other life sciences, NEC	3753	26,896	25,531	3823
f. Psychology (total)	3760	\$ 31,533	\$ 10,867	\$ 21,283
g. Social sciences (total)	3770	\$ 143,008	\$ 17,625	\$ 106,781
(1) Economics	3771	50,027	928	3820
(2) Political science	3772	12,949	20	3821
(3) Sociology	3773	26,830	11,726	3823
(4) Other social sciences, NEC	3774	53,202	4,961	3824
h. Other sciences, NEC (total)	3780	\$ 11,717	\$ 990	\$ 9,338
i. Total (sum of a to h)	\$ 1,006,277	\$ 400,054	\$ 3800	\$ 689,921
				\$ 267,433

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section

Title and Telephone

(4) Other physical sciences, NEC	3724	15,805	2,108	3824	10,916	2,030
c. Environmental sciences (total)	3730	\$ 29,316	\$ 5,398	3830	\$ 16,049	\$ 3,848
d. Mathematical sciences (total)	3740	\$ 52,125	\$ 6,630	3840	\$ 41,633	\$ 5,672
(1) Mathematics (exclude computer sciences)	3741	25,043	1,207	3841	20,192	1,119
(2) Computer sciences	3742	27,082	5,423	3842	21,441	4,553
e. Life sciences (total)	3750	\$ 369,458	\$ 330,094	3850	\$ 240,825	\$ 218,799
(1) Biological (include agricultural sciences)	3751	169,030	139,453	3851	106,781	92,909
(2) Clinical medical	3752	173,532	165,110	3852	119,831	112,638
(3) Other life sciences, NEC	3753	26,896	25,531	3853	14,213	13,252
f. Psychology (total)	3760	\$ 31,533	\$ 10,867	3860	\$ 21,283	\$ 7,478
g. Social sciences (total)	3770	\$ 143,008	\$ 17,635	3870	\$ 79,419	\$ 16,776
(1) Economics	3771	50,027	928	3871	18,997	808
(2) Political science	3772	12,949	20	3872	8,411	12
(3) Sociology	3773	26,830	11,726	3873	17,288	8,440
(4) Other social sciences, NEC	3774	53,202	4,961	3874	34,723	1,516
h. Other sciences, NEC (total)	3780	\$ 11,717	\$ 990	3880	\$ 9,338	\$ 543
i. Total (sum of a to h)	3700	\$ 1,006,277	\$ 400,054	3800	\$ 689,921	\$ 267,433

REMARKS: If additional space is needed, attach an extra page. Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone	
Name of person who prepared financial section (if different from above)	Title and Telephone	
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISIG

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

RESEARCH INSTITUTES (186)

Please indicate below the number of any item that should not be published with institutional identification:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total		Full time (2)	Part time (3)
	(1)	(3)		
a. Scientists and engineers (total)	3110	11,196	10,236	960
(1) Number primarily in R&D	3111	10,854	9,919	935
(2) Number primarily in other activities	3112	342	317	25

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time	
		(2)	(3) Part time
a. Scientists and engineers (total).	3110	11,196	10,236
(1) Number primarily in R&D	3111	10,854	9,919
(2) Number primarily in other activities	3112	342	317
b. Technicians	3120	4,986	4,219
c. Other employees	3130	13,812	12,183
d. Total (sum of a to c)	3100	29,994	26,638
			3,356

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Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE		Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)		3210	2,438	109	2,289
(1) Ph.D. or Sc.D.		3211	440	30	408
(2) M.D., D.D.S., D.V.M., etc.		3212	16	5	10
(3) Master's		3213	832	35	790
(4) Bachelor's or equivalent		3214	1,150	39	1,081
b. Physical scientists (total)		3220	1,550	342	1,182
(1) Ph.D. or Sc.D.		3221	528	98	425
(2) M.D., D.D.S., D.V.M., etc.		3222	7	4	3
(3) Master's		3223	339	58	277
(4) Bachelor's or equivalent		3224	676	182	477
c. Environmental scientists (total)		3230	168	39	129
(1) Ph.D. or Sc.D.		3231	38	3	35
(2) M.D., D.D.S., D.V.M., etc.		3232	3	-	3
(3) Master's		3233	55	13	42
(4) Bachelor's or equivalent		3234	72	23	49
d. Mathematicians (total)		3240	581	121	461
(1) Ph.D. or Sc.D.		3241	165	18	146
(2) M.D., D.D.S., D.V.M., etc.		3242	4	2	2
(3) Master's		3243	171	29	135
(4) Bachelor's or equivalent		3244	241	72	160
e. Life scientists (total)		3250	3,292	2,886	381
(1) Ph.D. or Sc.D.		3251	1,262	1,061	192
(2) M.D., D.D.S., D.V.M., etc.		3252	717	678	31
(3) Master's		3253	331	296	34
(4) Bachelor's or equivalent		3254	982	851	124
f. Psychologists (total)		3260	481	129	317
(1) Ph.D. or Sc.D.		3261	270	63	184
(2) M.D., D.D.S., D.V.M., etc.		3262	12	9	3
(3) Master's		3263	116	35	70
(4) Bachelor's or equivalent		3264	83	22	60
g. Social scientists (total)		3270	2,686	433	2,056
(1) Ph.D. or Sc.D.		3271	779	78	681
(2) M.D., D.D.S., D.V.M., etc.		3272	7	1	6
(3) Master's		3273	1,020	156	805
(4) Bachelor's or equivalent		3274	880	198	564
h. Total Headcount (sum of a to g)		3200	11,196	4,059	6,795

Technicians, by field and function in which primarily employed, October 1973

Item  
3

FIELD OF EMPLOYMENT		Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians		3310	1,374	1,135

		3221	528	98	425	5
(1) Ph.D. or Sc.D.		3222	7	4	3	
(2) M.D., D.D.S., D.V.M., etc.		3223	339	58	277	
(3) Master's		3224	676	182	477	17
(4) Bachelor's or equivalent		3230	168	39	129	
c. Environmental scientists (total)		3231	38	3	35	
(1) Ph.D. or Sc.D.		3232	3	-	3	
(2) M.D., D.D.S., D.V.M., etc.		3233	55	13	42	
(3) Master's		3234	72	23	49	
(4) Bachelor's or equivalent		3240	581	121	441	19
d. Mathematicians (total)		3241	165	18	146	17
(1) Ph.D. or Sc.D.		3242	4	2	2	
(2) M.D., D.D.S., D.V.M., etc.		3243	171	29	135	7
(3) Master's		3244	241	72	160	9
e. Life scientists (total)		3250	3,292	2,886	381	25
(1) Ph.D. or Sc.D.		3251	1,262	1,061	192	9
(2) M.D., D.D.S., D.V.M., etc.		3252	717	678	31	8
(3) Master's		3253	381	296	34	1
(4) Bachelor's or equivalent		3254	982	851	124	7
f. Psychologists (total)		3260	481	129	317	35
(1) Ph.D. or Sc.D.		3261	270	163	184	23
(2) M.D., D.D.S., D.V.M., etc.		3262	12	9	9	
(3) Master's		3263	116	35	79	11
(4) Bachelor's or equivalent		3264	83	22	60	1
g. Social scientists (total)		3270	2,686	433	2,056	197
(1) Ph.D. or Sc.D.		3271	779	78	681	20
(2) M.D., D.D.S., D.V.M., etc.		3272	7	1	6	-
(3) Master's		3273	1,020	156	805	59
(4) Bachelor's or equivalent		3274	880	198	564	118
h. Total Headcount (sum of a to g)		3200	11,196	4,059	6,795	342

**Technicians, by field and function in which primarily employed, October 1973**

**Item  
3**

FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (3)
a. Engineering technicians	3310	1,374	1,135
b. Physical science technicians	3320	4,333	4,06
c. Environmental science technicians	3330	83	83
d. Mathematics technicians	3340	160	148
e. Biological and agricultural science technicians	3350	1,024	1,011
f. Medical and health-related technicians	3360	1,509	1,045
g. Psychology technicians	3370	37	37
h. Social science technicians	3380	366	340
i. Total (sum of a to h)	3300	4,986	4,205
			781

## PART II - FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

TYPE OF EXPENDITURE	Thousands of dollars		
	Total (1)	Medical and health related (2)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3400.2	\$ 486,692	\$ 3590.2
b. Capital R&D expenditures	\$ 3400.3	\$ 37,595	\$ 3590.3
c. All other expenditures	\$ 3400.4	\$ 137,929	\$ 3590.4
d. Total (sum of a to c)	\$ 3400.1	\$ 662,216	\$ 3590.1

ITEM	SOURCE OF FUNDS	Thousands of dollars		
		Total (1)	Medical and health related (2)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 310,029	\$ 3510	\$ 120,555
b. State government	\$ 3420	\$ 7,792	\$ 3520	\$ 1,577
c. Local government	\$ 3430	\$ 4,026	\$ 3530	\$ 854
d. Foundations and voluntary health agencies	\$ 3440	\$ 25,412	\$ 3540	\$ 9,416
e. Industry	\$ 3450	\$ 78,895	\$ 3550	\$ 6,951
f. Institution's own funds	\$ 3460	\$ 39,536	\$ 3560	\$ 27,975
g. Other sources	\$ 3470	\$ 21,002	\$ 3570	\$ 7,137
h. Total (sum of a to g)	\$ 3400	\$ 486,692	\$ 3500	\$ 174,465

ITEM	TYPE OF R&D ACTIVITY	Thousands of dollars		
		TOTAL (1)	ESTIMATED TOTAL	FEDERAL GOVERNMENT (2)
6 1972-73				

TYPE OF EXPENDITURE		(1)		(2)	
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 486,692		\$ 3590.2	\$ 174,465
b. Capital R&D expenditures	\$ 3490.3	37,595		\$ 3590.3	21,264
c. All other expenditures	\$ 3490.4	137,929		\$ 3590.4	106,102
d. Total (sum of a to c)	\$ 3490.1	\$ 662,216		\$ 3590.1	\$ 301,831

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73**

SOURCE OF FUNDS	Total (1)	Medical and health related (2)
a. Federal Government	\$ 310,029	\$ 120,555
b. State government	\$ 7,792	\$ 1,577
c. Local government	\$ 4,026	\$ 854
d. Foundations and voluntary health agencies	\$ 25,412	\$ 9,416
e. Industry	\$ 78,895	\$ 6,951
f. Institution's own funds	\$ 39,536	\$ 27,975
g. Other sources	\$ 21,002	\$ 7,137
h. Total (sum of a to g)	\$ 486,692	\$ 174,465

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 113,073
b. Applied research	\$ 3620	\$ 113,205
c. Development	\$ 3630	\$ 63,751
d. Total (sum of a to c)	\$ 3600	\$ 310,029

Total and federally financed-current expenditures for intramural research and development, by field of science,  
1972-73  
Thousands of dollars

	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT
		Total (1)	Medical and health related (2)	
a. Engineering (total) . . . . .	3710	\$ 97,689	\$ 1,765	3810 \$ 64,642 \$ 1,027
b. Physical sciences (total) . . . . .	3720	\$ 49,866	\$ 7,154	3820 \$ 30,793 \$ 5,523
(1) Astronomy . . . . .	3721	2,267	-	3821 1,925 -
(2) Chemistry . . . . .	3722	24,302	6,118	3822 14,800 4,684
(3) Physics . . . . .	3723	4,462	530	3823 9,346 378
(4) Other physical sciences, NEC . . . . .	3724	8,895	506	3824 4,722 4,61
c. Environmental sciences (total) . . . . .	3730	\$ 9,445	\$ 3,176	3830 \$ 5,254 \$ 2,468
d. Mathematical sciences (total) . . . . .	3740	\$ 34,100	\$ 4,065	3840 \$ 26,045 \$ 3,387
(1) Mathematics (exclude computer sciences) . . . . .	3741	19,685	985	3841 15,013 954
(2) Computer sciences . . . . .	3742	14,415	3,080	3842 11,032 2,433
e. Life sciences (total) . . . . .	3750	\$ 158,905	\$ 145,403	3850 \$ 103,162 \$ 96,881
(1) Biological (include agricultural sciences) . . . . .	3751	103,850	91,936	3851 69,585 64,398
(2) Clinical medical . . . . .	3752	43,798	43,574	3852 26,004 25,870
(3) Other life sciences, NEC . . . . .	3753	11,257	9,893	3853 7,573 6,613
f. Psychology (total) . . . . .	3760	\$ 18,265	\$ 4,943	3860 \$ 14,078 \$ 4,170
g. Social sciences (total) . . . . .	3770	\$ 112,982	\$ 7,185	3870 \$ 62,696 \$ 6,556
(1) Economics . . . . .	3771	41,737	928	3871 12,394 /808
(2) Political science . . . . .	3772	7,562	20	3872 3,780 1,2
(3) Sociology . . . . .	3773	17,930	6,001	3873 14,019 5,548
(4) Other social sciences, NEC . . . . .	3774	45,753	236	3874 32,503 188
h. Other sciences, NEC (total) . . . . .	3780	\$ 5,440	\$ 774	3880 \$ 3,359 \$ 543
i. Total (sum of a to h) . . . . .	3700	\$ 486,692	\$ 174,465	3800 \$ 310,029 \$ 120,555

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

c. Environmental sciences (total)	\$ 3730	\$ 9,445	\$ 3,176	\$ 3830	\$ 5,254	\$ 2,468
d. Mathematical sciences (total)	\$ 3740	\$ 34,100	\$ 4,065	\$ 3840	\$ 26,045	\$ 3,387
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ 19,685	\$ 985	\$ 3841	\$ 15,013	\$ 954
(2) Computer sciences	\$ 3742	\$ 14,415	\$ 3,080	\$ 3842	\$ 11,032	\$ 2,433
e. Life sciences (total)	\$ 3750	\$ 158,905	\$ 145,403	\$ 3850	\$ 103,162	\$ 96,881
(1) Biological (include agricultural sciences)	\$ 3751	\$ 103,850	\$ 91,936	\$ 3851	\$ 69,585	\$ 64,398
(2) Clinical medical	\$ 3752	\$ 43,798	\$ 43,574	\$ 3852	\$ 26,004	\$ 25,870
(3) Other life sciences, NEC	\$ 3753	\$ 11,257	\$ 9,893	\$ 3853	\$ 7,573	\$ 6,613
f. Psychology (total)	\$ 3760	\$ 18,265	\$ 4,943	\$ 3860	\$ 14,078	\$ 4,170
g. Social sciences (total)	\$ 3770	\$ 112,982	\$ 7,185	\$ 3870	\$ 62,696	\$ 6,556
(1) Economics	\$ 3771	\$ 41,737	\$ 928	\$ 3871	\$ 12,394	\$ 808
(2) Political science	\$ 3772	\$ 7,562	\$ 20	\$ 3872	\$ 3,780	\$ 12
(3) Sociology	\$ 3773	\$ 17,930	\$ 6,001	\$ 3873	\$ 14,019	\$ 5,548
(4) Other social sciences, NEC	\$ 3774	\$ 45,533	\$ 236	\$ 3874	\$ 32,503	\$ 188
h. Other sciences, NEC (total)	\$ 3780	\$ 51,440	\$ 774	\$ 3880	\$ 3,359	\$ 543
i. Total (sum of a to h)	\$ 3700	\$ 486,692	\$ 174,465	\$ 3800	\$ 310,029	\$ 120,555

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your report for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone
Name of person who prepared financial section (if different from above).	Title and Telephone
NAME OF INSTITUTION	ADDRESS (number, street, city, State ZIP Code)
Date	

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISIG

Please indicate below the number of any item that should not be published with institutional identification:

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*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.*

*Please check the one box which most closely identifies your institution:*

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or-technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

(PLEASE RETURN THIS COPY)

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total).	3110	4,309	4,209
(1) Number primarily in R&D	3111	4,133	4,033
(2) Number primarily in other activities	3112	376	176

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which *most closely* identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)		Part time (3)
		Full time	Part time	
a. Scientists and engineers (total).	3110	4,309	4,209	100
(1) Number primarily in R&D	3111	4,133	4,033	100
(2) Number primarily in other activities	3112	176	176	-
b. Technicians	3120	955	922	33
c. Other employees	3130	4,457	4,223	234
d. Total (sum of a to c)	3100	9,721	9,354	367

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	2,161	19	2,116	26
(1) Ph.D. or Sc.D.	3211	362	7	354	1
(2) M.D., D.D.S., D.V.M., etc.	3212	-	-	-	-
(3) Master's	3213	920	8	907	5
(4) Bachelor's or equivalent	3214	879	4	855	20
b. Physical scientists (total)	3220	706	11	681	14
(1) Ph.D. or Sc.D.	3221	304	7	296	1
(2) M.D., D.D.S., D.V.M., etc.	3222	-	-	-	-
(3) Master's	3223	165	2	161	2
(4) Bachelor's or equivalent	3224	237	2	224	11
c. Environmental scientists (total)	3230	167	7	124	36
(1) Ph.D. or Sc.D.	3231	53	6	45	2
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	55	-	40	15
(4) Bachelor's or equivalent	3234	59	1	39	19
d. Mathematicians (total)	3240	617	-	617	-
(1) Ph.D. or Sc.D.	3241	111	-	111	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-	-	-
(3) Master's	3243	256	-	256	-
(4) Bachelor's or equivalent	3244	250	-	250	-
e. Life scientists (total)	3250	185	73	112	-
(1) Ph.D. or Sc.D.	3251	47	8	39	-
(2) M.D., D.D.S., D.V.M., etc.	3252	68	-	59	9
(3) Master's	3253	24	4	20	-
(4) Bachelor's or equivalent	3254	46	2	44	-
f. Psychologists (total)	3260	49	4	45	-
(1) Ph.D. or Sc.D.	3261	24	2	22	-
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-	-	-
(3) Master's	3263	13	1	12	-
(4) Bachelor's or equivalent	3264	12	1	11	-
g. Social scientists (total)	3270	424	-	324	100
(1) Ph.D. or Sc.D.	3271	117	-	111	6
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-	-	-
(3) Master's	3273	200	-	131	69
(4) Bachelor's or equivalent	3274	107	-	82	25
h. Total Headcount (sum of a to g)	3200	4,309	114	4,019	176

Item 3 Technicians, by field and function in which primarily employed, October 1973

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	332	315	17

(1)	Ph.D. or Sc.D.	32221	304	7	296	7	1
(2)	M.D., D.D.S., D.V.M., etc.	32222	-	-	-	-	-
(3)	Master's	32223	165	2	161	2	2
(4)	Bachelor's or equivalent	32224	237	2	224	11	
c.	Environmental scientists (total)	32230	167	7	124	36	
(1)	Ph.D. or Sc.D.	32231	53	6	45	2	
(2)	M.D., D.D.S., D.V.M., etc.	32232	-	-	-	-	
(3)	Master's	32233	55	-	40	15	
(4)	Bachelor's or equivalent	32234	59	1	39	19	
d.	Mathematicians (total)	32240	617	-	617	-	
(1)	Ph.D. or Sc.D.	32241	111	-	111	-	
(2)	M.D., D.D.S., D.V.M., etc.	32242	-	-	-	-	
(3)	Master's	32243	256	-	256	-	
(4)	Bachelor's or equivalent	32244	250	-	250	-	
e.	Life scientists (total)	32250	185	73	112		
(1)	Ph.D. or Sc.D.	32251	47	8	39		
(2)	M.D., D.D.S., D.V.M., etc.	32252	68	59	9		
(3)	Master's	32253	24	4	20		
(4)	Bachelor's or equivalent	32254	46	2	44		
f.	Psychologists (total)	32260	49	4	45		
(1)	Ph.D. or Sc.D.	32261	24	2	22		
(2)	M.D., D.D.S., D.V.M., etc.	32262	-	-	-		
(3)	Master's	32263	13	1	12		
(4)	Bachelor's or equivalent	32264	12	1	11		
g.	Social scientists (total)	32270	424	-	324	100	
(1)	Ph.D. or Sc.D.	32271	117	-	111	6	
(2)	M.D., D.D.S., D.V.M., etc.	32272	-	-	-	-	
(3)	Master's	32273	200	-	131	69	
(4)	Bachelor's or equivalent	32274	107	-	82	25	
h.	Total Headcount (sum of a to g)	32200	4,309	114	114	4,19	176

Technicians, by field and function in which primarily employed, October 1973

Item 3	Technicians, by field and function in which primarily employed, October 1973			
	FIELD OF EMPLOYMENT	Total (1)	R&D, (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	332	315	17
b. Physical science technicians	3320	226	212	14
c. Environmental science technicians	3330	49	39	10
d. Mathematics technicians	3340	77	77	-
e. Biological and agricultural science technicians	3350	59	59	-
f. Medical and health-related technicians	3360	204	204	-
g. Psychology technicians	3370	-	-	-
h. Social science technicians	3380	8	8	-
i. Total (sum of a to h)	3300	955	914	41

## PART II - FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are*

All financial data requested on this form should be reported in thousands of dollars; for example, an expenditure of \$25,342 should be rounded to the nearest thousand dollars and reported in the appropriate columns as \$25.

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

TYPE OF EXPENDITURE		Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 220,630	\$ 3590.2
b. Capital R&D expenditures	\$ 3490.3	\$ 5,738	\$ 3590.3
c. All other expenditures	\$ 3490.4	\$ 4,175	\$ 3590.4
d. Total (sum of a to c)	\$ 3490.1	\$ 230,543	\$ 3590.1
			\$ 8,623

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73**

SOURCE OF FUNDS		Total (1)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 204,635	\$ 3510
b. State government	\$ 3420	\$ 1,578	\$ 3520
c. Local government	\$ 3430	\$ 2,327	\$ 3530
d. Foundations and voluntary health agencies	\$ 3440	\$ 1,241	\$ 3540
e. Industry	\$ 3450	\$ 6,096	\$ 3550
f. Institution's own funds	\$ 3460	\$ 3,860	\$ 3560
g. Other sources	\$ 3470	\$ 893	\$ 3570
h. Total (sum of a to g)	\$ 3400	\$ 220,630	\$ 3500
			\$ 8,420

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

TYPE OF R&D ACTIVITY		TOTAL	FEDERAL GOVERNMENT
		\$ 8,623	\$ 8,420

## TYPE OF EXPENDITURE

	Total (1)	Total (1)	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 220,630	\$ 3590.2	\$ 8,420
b. Capital R&D expenditures	\$ 3490.3	\$ 5,738	\$ 3590.3	\$ 203
c. All other expenditures	\$ 3490.4	\$ 4,175	\$ 3590.4	\$ -
d. Total (sum of a to c)	\$ 3490.4	\$ 230,543	\$ 3590.4	\$ 8,623

## Current expenditures for intramural research and development, by source of funds, 1972-73

Thousands of dollars

Item 5	SOURCE OF FUNDS	Thousands of dollars		Total in 5a, column 1, should equal 7i, column 3.
		Total (1)	Medical and health related (2)	
a. Federal Government	\$ 3410	\$ 204,635	\$ 3510	\$ 7,992
b. State government	\$ 3420	\$ 1,578	\$ 3520	\$ 67
c. Local government	\$ 3430	\$ 2,327	\$ 3530	\$ 190
d. Foundations and voluntary health agencies	\$ 3440	\$ 1,241	\$ 3540	\$ 21
e. Industry	\$ 3450	\$ 6,096	\$ 3550	\$ -
f. Institution's own funds	\$ 3460	\$ 3,860	\$ 3560	\$ 150
g. Other sources	\$ 3470	\$ 893	\$ 3570	\$ -
h. Total (sum of a to g)	\$ 3480	\$ 220,630	\$ 3580	\$ 8,420

## Total and federally financed current expenditures for intramural research and development, by type of R&amp;D activity, 1972-73

Thousands of dollars

Item 6	TYPE OF R&D ACTIVITY	ESTIMATED TOTAL	
		TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 39,205	\$ 31,235
b. Applied research	\$ 3620	\$ 22,815	\$ 22,341
c. Development	\$ 3630	\$ 158,610	\$ 151,059
d. Total (sum of a to c)	\$ 3600	\$ 220,630	\$ 204,635

Item  
7

**Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73**  
Thousands of dollars

	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	
		Total (1)	Medical and health related (2)	Total (3)	Medical and health related (4)
a. Engineering (total) . . . . .	3710	\$ 141,468	\$ 1,105	3810	\$ 136,672
b. Physical sciences (total) . . . . .	3720	\$ 21,125	\$ 535	3820	\$ 17,578
(1) Astronomy . . . . .	3721	2,732	-	3821	2,164
(2) Chemistry . . . . .	3722	3,448	535	3822	2,718
(3) Physics . . . . .	3723	11,564	-	3823	9,781
(4) Other physical sciences, NEC . . . . .	3724	3,381	-	3824	2,915
c. Environmental sciences (total) . . . . .	3730	\$ 9,159	\$ 371	3830	\$ 7,269
d. Mathematical sciences (total) . . . . .	3740	\$ 15,221	\$ 121	3840	\$ 13,240
(1) Mathematics (exclude computer sciences) . . . . .	3741	5,068	-	3841	4,999
(2) Computer sciences . . . . .	3742	10,153	121	3842	8,241
e. Life sciences (total) . . . . .	3750	\$ 12,718	\$ 6,069	3850	\$ 11,097
(1) Biological (include agricultural sciences) . . . . .	3751	6,649	-	3851	5,148
(2) Clinical medical . . . . .	3752	6,069	6,069	3852	5,949
(3) Other life sciences, NEC . . . . .	3753	-	-	3853	-
f. Psychology (total) . . . . .	3760	\$ 1,387	\$ 167	3860	\$ 1,134
g. Social sciences (total) . . . . .	3770	\$ 13,941	\$ 52	3870	\$ 11,666
(1) Economics . . . . .	3771	7,524	-	3871	6,543
(2) Political science . . . . .	3772	5,371	-	3872	4,631
(3) Sociology . . . . .	3773	333	52	3873	333
(4) Other social sciences, NEC . . . . .	3774	263	-	3874	159
h. Other sciences, NEC (total) . . . . .	3780	\$ 6,061	\$ -	3880	\$ 5,979
i. Total (sum of a to h) . . . . .	3700	\$ 220,630	\$ 8,420	3800	\$ 204,635
					\$ 7,992

**REMARKS:** (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. "Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section

Title and Telephone

c. Environmental sciences (total)	\$ 3730	\$ 9,159	\$ 371	\$ 3830	\$ 7,269	\$ 347
d. Mathematical sciences (total)	\$ 3740	\$ 15,221	\$ 121	\$ 3840	\$ 13,240	\$ 109
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ 5,068	\$ -	\$ 3841	\$ 4,999	\$ -
(2) Computer sciences	\$ 3742	\$ 10,153	\$ 121	\$ 3842	\$ 8,241	\$ 109
e. Life sciences (total)	\$ 3750	\$ 12,718	\$ 6,069	\$ 3850	\$ 11,097	\$ 5,949
(1) Biological (include agricultural sciences)	\$ 3751	\$ 6,649	\$ -	\$ 3851	\$ 5,148	\$ -
(2) Clinical medical	\$ 3752	\$ 6,069	\$ 6,069	\$ 3852	\$ 5,949	\$ 5,949
(3) Other life sciences, NEC	\$ 3753	\$ -	\$ -	\$ 3853	\$ -	\$ -
f. Psychology (total)	\$ 3760	\$ 1,387	\$ 167	\$ 3860	\$ 1,134	\$ 141
g. Social sciences (total)	\$ 3770	\$ 13,941	\$ 52	\$ 3870	\$ 13,666	\$ 52
(1) Economics	\$ 3771	\$ 7,524	\$ -	\$ 3871	\$ 6,543	\$ -
(2) Political science	\$ 3772	\$ 5,371	\$ -	\$ 3872	\$ 4,631	\$ -
(3) Sociology	\$ 3773	\$ 333	\$ 52	\$ 3873	\$ 333	\$ 52
(4) Other social sciences, NEC	\$ 3774	\$ 263	\$ -	\$ 3874	\$ 159	\$ -
h. Other sciences, NEC (total)	\$ 3780	\$ 6,061	\$ -	\$ 3880	\$ 5,979	\$ -
i. Total (sum of a to h)	\$ 3700	\$ 220,630	\$ 8,420	\$ 3800	\$ 204,635	\$ 7,992

REMARKS: If additional space is needed, attach an extra page. Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone
Name of person who prepared financial section (if different from above)	Title and Telephone
NAME OF INSTITUTION	Date
ADDRESS (number, street, city, State ZIP Code)	

# Survey of R&D Activities of Independent Nonprofit Institutions, 1972

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISIG

Please indicate below the number of any item that should not be published with institutional identification:

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.*

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

VOLUNTARY NONPROFIT HOSPITALS  
(123)

(PLEASE RETURN THIS COPY)

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	6,495	5,295
(1) Number primarily in R&D	3111	5,555	4,550
(2) Number primarily in other activities	3112	940	745

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private Foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	6,495	5,295
(1) Number primarily in R&D	3111	5,555	4,550
(2) Number primarily in other activities	3112	940	745
b. Technicians	3120	22,110	18,989
c. Other employees	3130	181,097	148,332
d. Total (sum of a to c)	3100	209,302	172,616
			37,086

**Item  
2**

**Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)**

FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE		Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)		3210	216	163	2
(1) Ph.D. or Sc.D.		3211	42	38	-
(2) M.D., D.S., D.V.M., etc.		3212	2	2	-
(3) Master's		3213	56	45	2
(4) Bachelor's or equivalent		3214	116	78	9
b. Physical scientists (total)		3220	194	187	38
(1) Ph.D. or Sc.D.		3221	72	71	7
(2) M.D., D.S., D.V.M., etc.		3222	43	43	-
(3) Master's		3223	36	32	-
(4) Bachelor's or equivalent		3224	43	41	-
c. Environmental scientists (total)		3230	109	108	-
(1) Ph.D. or Sc.D.		3231	19	19	+
(2) M.D., D.S., D.V.M., etc.		3232	66	66	-
(3) Master's		3233	13	12	-
(4) Bachelor's or equivalent		3234	11	11	1
d. Mathematicians (total)		3240	88	75	12
(1) Ph.D. or Sc.D.		3241	12	11	1
(2) M.D., D.S., D.V.M., etc.		3242	1	-	-
(3) Master's		3243	29	27	-
(4) Bachelor's or equivalent		3244	46	37	2
e. Life scientists (total)		3250	5,020	4,412	19
(1) Ph.D. or Sc.D.		3251	1,029	942	11
(2) M.D., D.S., D.V.M., etc.		3252	2,914	2,492	8
(3) Master's		3253	291	252	-
(4) Bachelor's or equivalent		3254	786	726	-
f. Psychologists (total)		3260	492	380	-
(1) Ph.D. or Sc.D.		3261	324	234	90
(2) M.D., D.S., D.V.M., etc.		3262	107	107	-
(3) Master's		3263	47	26	-
(4) Bachelor's or equivalent		3264	14	13	21
g. Social scientists (total)		3270	376	208	1
(1) Ph.D. or Sc.D.		3271	87	77	168
(2) M.D., D.S., D.V.M., etc.		3272	23	8	10
(3) Master's		3273	183	92	15
(4) Bachelor's or equivalent		3274	83	31	91
h. Total Headcount (sum of a to g)		3200	6,495	5,533	52

**Item  
3**

**Technicians, by field and function in which primarily employed, October 1973**

FIELD OF EMPLOYMENT		Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians		3310	232	83
b. Physical science technicians		3320	265	134

(1)	Ph.D. or Sc.D.	3221	72	71			1
(2)	M.D., D.D.S., D.V.M., etc.	3222	43	43	-	-	
(3)	Master's	3223	36	32	-	-	4
(4)	Bachelor's or equivalent	3224	43	41	-	-	2
c.	Environmental scientists (total)	3230	109	108	-	-	1
(1)	Ph.D. or Sc.D.	3231	19	19	-	-	
(2)	M.D., D.D.S., D.V.M., etc.	3232	66	66	-	-	
(3)	Master's	3233	13	12	-	-	
(4)	Bachelor's or equivalent	3234	11	11	-	-	
d.	Mathematicians (total)	3240	88	75	1	12	
(1)	Ph.D. or Sc.D.	3241	12	-	11	-	1
(2)	M.D., D.D.S., D.V.M., etc.	3242	1	-	1	-	
(3)	Master's	3243	29	27	-	2	
(4)	Bachelor's or equivalent	3244	1	46	37	-	9
e.	Life scientists (total)	3250	5,020	4,412	19	589	
(1)	Ph.D. or Sc.D.	3251	1,029	942	11	76	
(2)	M.D., D.D.S., D.V.M., etc.	3252	2,914	2,492	8	414	
(3)	Master's	3253	291	252	-	39	
(4)	Bachelor's or equivalent	3254	786	726	-	60	
f.	Psychologists (total)	3260	492	380	-	112	
(1)	Ph.D. or Sc.D.	3261	324	234	-	90	
(2)	M.D., D.D.S., D.V.M., etc.	3262	107	107	-	-	
(3)	Master's	3263	47	26	-	21	
(4)	Bachelor's or equivalent	3264	14	13	-	1	
g.	Social scientists (total)	3270	376	208	-	168	
(1)	Ph.D. or Sc.D.	3271	87	77	-	10	
(2)	M.D., D.D.S., D.V.M., etc.	3272	23	8	-	15	
(3)	Master's	3273	183	92	-	91	
(4)	Bachelor's or equivalent	3274	83	31	-	52	
h.	Total Headcount (sum of a to g)	3200	6,495	5,533	-	22	940

Technicians, by field and function in which primarily employed, October 1973				
	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a.	Engineering technicians	3310	232	83
b.	Physical science technicians	3320	265	134
c.	Environmental science technicians	3330	63	28
d.	Mathematics technicians	3340	78	13
e.	Biological and agricultural science technicians	3350	1,767	327
f.	Medical and health-related technicians	3360	19,227	4,487
g.	Psychology technicians	3370	173*	97
h.	Social science technicians	3380	305	91
i.	Total (sum of a to h)	3300	22,110	5,260
				16,850

## PART II — FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

### Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.

Thousands of dollars

TYPE OF EXPENDITURE	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	3590.2
b. Capital R&D expenditures	3490.3	16,617
c. All other expenditures	3490.4	2,045,365
d. Total (sum of a to c)	3490.1	\$ 2,225,302
		\$ 2,110,102

### Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73

Thousands of dollars

SOURCE OF FUNDS	Total (1)	Medical and health related (2)
a. Federal Government	3410	\$ 106,460
b. State government	3420	1,620
c. Local government	3450	1,662
d. Foundations and voluntary health agencies	3440	14,312
e. Industry	3450	3,647
f. Institution's own funds	3460	31,091
g. Other sources	3470	4,528
h. Total (sum of a to g)	3400	\$ 163,320
		\$ 162,231
		3590.3
		3590.4
		1,931,565
		\$ 2,110,102

### Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73

Thousands of dollars

TYPE OF R&D ACTIVITY	ESTIMATED TOTAL	FEDERAL GOVERNMENT (2)
	TOTAL	

TYPE OF EXPENDITURE		Total (1)	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 163,320	\$ 3590.2	\$ 162,231
b. Capital R&D expenditures	\$ 3490.3	\$ 16,617	\$ 3590.3	\$ 16,306
c. All other expenditures	\$ 3490.4	\$ 365	\$ 3590.4	\$ 1,931,565
d. Total (sum of a to c)	\$ 3490.1	\$ 2,225,302	\$ 3590.1	\$ 2,110,102

Current expenditures for intramural research and development, by source of funds, 1972-73 Thousands of dollars				
SOURCE OF FUNDS	Total (1)	\$	Total (1)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 106,460	\$ 3510	\$ 105,615
b. State government	\$ 3420	\$ 1,620	\$ 3520	\$ 1,620
c. Local government	\$ 3430	\$ 1,662	\$ 3530	\$ 1,662
d. Foundations and voluntary health agencies	\$ 3440	\$ 14,312	\$ 3540	\$ 14,256
e. Industry	\$ 3450	\$ 3,647	\$ 3550	\$ 3,645
f. Institution's own funds	\$ 3460	\$ 31,091	\$ 3560	\$ 30,905
g. Other sources	\$ 3470	\$ 4,528	\$ 3570	\$ 4,528
h. Total (sum of a to g)	\$ 3400	\$ 163,320	\$ 3500	\$ 162,231

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, Thousands of dollars		
TYPE OF R&D ACTIVITY	ESTIMATED TOTAL TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 4610	\$ 93,410
b. Applied research	\$ 3620	\$ 52,748
c. Development	\$ 3630	\$ 17,162
d. Total (sum of a to c)	\$ 3600	\$ 163,320

Item 7 Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73

	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	
		Total (1)	Medical and health related (2)	Total (3)	Medical and health related (4)
a. Engineering (total)	3710	\$ 1,714	\$ 1,714	3810	\$ 943
b. Physical sciences (total)	3720	\$ 4,660	\$ 4,660	3820	\$ 2,544
(1) Astronomy	3721	—	—	3821	—
(2) Chemistry	3722	1,964	1,964	3822	512
(3) Physics	3723	2,696	2,696	3823	2,032
(4) Other physical sciences, NEC	3724	—	—	3824	—
c. Environmental sciences (total)	3730	\$ 41	\$ 41	3830	\$ 20
d. Mathematical sciences (total)	3740	\$ 2,064	\$ 2,064	3840	\$ 1,890
(1) Mathematics (exclude computer sciences)	3741	160	160	3841	160
(2) Computer sciences	3742	1,904	1,904	3842	1,730
e. Life sciences (total)	3750	\$ 144,431	\$ 143,342	3850	\$ 95,776
(1) Biological (include agricultural sciences)	3751	40,496	39,423	3851	25,778
(2) Clinical medical	3752	98,118	98,102	3852	67,467
(3) Other life sciences, NEC	3753	5,817	5,817	3853	2,531
f. Psychology (total)	3760	\$ 4,589	\$ 4,589	3860	\$ 2,447
g. Social sciences (total)	3770	\$ 5,605	\$ 5,605	3870	\$ 2,840
(1) Economics	3771	—	—	3871	—
(2) Political science	3772	—	—	3872	—
(3) Sociology	3773	5,605	5,605	3873	2,840
(4) Other social sciences, NEC	3774	—	—	3874	—
h. Other sciences, NEC (total)	3780	\$ 216	\$ 216	3880	\$ —
i. Total (sum of a thru f)	3700	\$ 163,320	\$ 162,231	3800	\$ 106,460
					\$ 105,615

REMARKS: If additional space is needed, attach an extra page! Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

a. Other physical sciences, NEC	3724	-	3824	-	-
c. Environmental sciences (total)	3730	\$ 41	\$ 41	\$ 20	\$ 20
d. Mathematical sciences (total)	3740	\$ 2,064	\$ 2,064	\$ 3840	\$ 1,890
e. Life sciences (total)	3750	\$ 144,431	\$ 143,342	\$ 3850	\$ 95,776
(1) Biological (include agricultural sciences)	3741	160	160	3841	160
(2) Computer sciences	3742	1,904	1,904	3842	1,730
(3) Clinical medical	3751	40,496	39,423	3851	25,778
(4) Economics	3752	98,118	98,102	3852	67,467
(5) Other life sciences, NEC	3753	5,817	5,817	3853	2,531
f. Psychology (total)	3760	\$ 4,589	\$ 4,589	\$ 3860	\$ 2,447
g. Social sciences (total)	3770	\$ 5,605	\$ 5,605	\$ 3870	\$ 2,840
(1) Economics	3771	-	-	3871	-
(2) Political science	3772	-	-	3872	-
(3) Sociology	3773	5,605	5,605	3873	2,840
(4) Other social sciences, NEC	3774	-	-	3874	-
h. Other sciences, NEC (total)	3780	\$ 216	\$ 216	\$ 3880	\$ -
i. Total (sum of a to h)	3700	\$ 163,320	\$ 162,231	\$ 3800	\$ 106,460
					\$ 105,615

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Date	Title and Telephone
Name of person who prepared financial section (if different from above)		Title and Telephone
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:  
**National Science Foundation**  
 Washington, D.C. 20550  
 Attn: UNISG

NAME AND ADDRESS OF ORGANIZATION  
 (Please correct if name or address has changed)

ALL OTHER NONPROFIT INSTITUTIONS  
 (128)

Please indicate below the number of any item that should not be published with institutional identification:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

**(PLEASE RETURN THIS COPY)**

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)  
 Personnel data are to be reported as of October 1973 or as close as possible thereto.

**Item 1** Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total) . . . . .	<u>3110</u>	<u>4,336</u>	<u>4,083</u>
(1) Number primarily in R&D . . . . .	<u>3111</u>	<u>2,587</u>	<u>2,402</u>
(2) Number primarily in other activities . . . . .	<u>3112</u>	<u>1,749</u>	<u>1,681</u>

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "0" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	4,336	4,083
(1) Number primarily in R&D	3111	2,587	2,402
(2) Number primarily in other activities	3112	1,749	1,681
b. Technicians	3120	1,364	1,168
c. Other employees	3130	16,472	14,838
d. Total (sum of a to c)	3100	22,172	20,089
			2,083

**Item  
2**

\* Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See Item 1a, column 1)

FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE		Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)		3210	731	27	362
(1) Ph.D. or Sc.D.		3211	77	7	52
(2) M.D., D.D.S., D.V.M., etc.		3212	-	-	-
(3) Master's		3213	159	3	100
(4) Bachelor's or equivalent		3214	495	17	210
b. Physical scientists (total)		3220	658	34	190
(1) Ph.D. or Sc.D.		3221	244	15	80
(2) M.D., D.D.S., D.V.M., etc.		3222	8	7	-
(3) Master's		3223	144	4	42
(4) Bachelor's or equivalent		3224	262	8	68
c. Environmental scientists (total)		3230	182	4	158
(1) Ph.D. or Sc.D.		3231	86	2	81
(2) M.D., D.D.S., D.V.M., etc.		3232	-	-	-
(3) Master's		3233	53	-	42
(4) Bachelor's or equivalent		3234	43	2	35
d. Mathematicians (total)		3240	328	52	132
(1) Ph.D. or Sc.D.		3241	26	5	10
(2) M.D., D.D.S., D.V.M., etc.		3242	3	3	-
(3) Master's		3243	96	16	45
(4) Bachelor's or equivalent		3244	203	28	77
e. Life scientists (total)		3250	1,408	745	353
(1) Ph.D. or Sc.D.		3251	471	231	178
(2) M.D., D.V.M., etc.		3252	198	130	-
(3) Master's		3253	358	240	50
(4) Bachelor's or equivalent		3254	381	144	125
f. Psychologists (total)		3260	508	46	151
(1) Ph.D. or Sc.D.		3261	277	30	94
(2) M.D., D.D.S., D.V.M., etc.		3262	5	5	-
(3) Master's		3263	137	6	40
(4) Bachelor's or equivalent		3264	89	5	17
g. Social scientists (total)		3270	521	145	188
(1) Ph.D. or Sc.D.		3271	163	68	68
(2) M.D., D.D.S., D.V.M., etc.		3272	17	17	-
(3) Master's		3273	159	32	62
(4) Bachelor's or equivalent		3274	182	28	58
h. Total Headcount (sum of a to g)		3200	4,336	1,053	1,749

\* Technicians, by field and function in which primarily employed, October 1973

**Item  
3**

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
2. Engineering technicians		3310	199	168

a. Physical scientists (total)	3220	658	34	190	434
(1) Ph.D. or Sc.D.	3221	244	15	80	149
(2) M.D., D.D.S., D.V.M., etc.	3222	8	7	-	1
(3) Master's	3223	144	4	42	98
(4) Bachelor's or equivalent	3224	262	8	68	186
c. Environmental scientists (total)	3230	182	4	158	20
(1) Ph.D. or Sc.D.	3231	86	2	81	3
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	53	-	42	11
(4) Bachelor's or equivalent	3234	43	2	35	6
d. Mathematicians (total)	3240	328	52	132	144
(1) Ph.D. or Sc.D.	3241	26	5	10	11
(2) M.D., D.D.S., D.V.M., etc.	3242	3	3	-	-
(3) Master's	3243	96	16	45	35
(4) Bachelor's or equivalent	3244	203	28	77	98
e. Life scientists (total)	3250	-1,408	745	353	310
(1) Ph.D. or Sc.D.	3251	471	231	178	62
(2) M.D., D.D.S., D.V.M., etc.	3252	198	130	-	68
(3) Master's	3253	358	240	50	68
(4) Bachelor's or equivalent	3254	381	144	125	112
f. Psychologists (total)	3260	508	46	151	311
(1) Ph.D. or Sc.D.	3261	277	30	94	153
(2) M.D., D.D.S., D.V.M., etc.	3262	5	5	-	-
(3) Master's	3263	137	6	40	91
(4) Bachelor's or equivalent	3264	89	5	17	67
g. Social scientists (total)	3270	521	145	188	188
(1) Ph.D. or Sc.D.	3271	163	68	68	27
(2) M.D., D.D.S., D.V.M., etc.	3272	17	17	-	-
(3) Master's	3273	159	32	62	65
(4) Bachelor's or equivalent	3274	182	28	58	96
h. Total Headcount (sum of a to g)	3200	4,336	1,053	1,534	1,749

**Item 3 Technicians, by field and function in which primarily employed, October 1973**

FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (3)
a. Engineering technicians	3310	199	168
b. Physical science technicians	3320	100	81
c. Environmental science technicians	3330	106	97
d. Mathematics technicians	3340	119	20
e. Biological and agricultural science technicians	3350	233	192
f. Medical and health-related technicians	3360	429	395
g. Psychology technicians	3370	46	46
h. Social science technicians	3380	132	97
i. Total (sum of a to h)	3300	1,364	1,096

## PART II - FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

Thousands of dollars

TYPE OF EXPENDITURE		Total (1)		Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 135,635		3590.2 \$ 54,938
b. Capital R&D expenditures	\$ 3490.3	7,112		3590.3 249
c. All other expenditures	\$ 3490.4	4,56,738		3590.4 149,594
d. Total (sum of a to c)	\$ 3490.1	\$ 599,485		3590.1 \$ 204,781

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73.**

Thousands of dollars

SOURCE OF FUNDS		Total (1)		Medical and health related (2)		Total in 5a, column 1, should equal 7i, column 3.
a. Federal Government	\$ 3410	\$ 68,797	\$ 3510	\$ 33,271		
b. State government	\$ 3420	1,880	\$ 3520	—		Total in 5a, column 2, should equal 7i, column 4.
c. Local government	\$ 3430	410	\$ 3530	—		Total in 5b, column 1, should equal 4a, column 1, and 7i, column 1.
d. Foundations and voluntary health agencies	\$ 3440	10,262	\$ 3540	7,552		Total in 5b, column 2, should equal 4a, column 2, and 7i, column 2.
e. Industry	\$ 3450	16,314	\$ 3550	2,623		
f. Institution's own funds	\$ 3460	34,075	\$ 3560	9,472		
g. Other sources	\$ 3470	3,897	\$ 3570	2,020		
h. Total (sum of a to g)	\$ 3400	\$ 135,635	\$ 3500	\$ 54,938		

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
ESTIMATED TOTAL		

## TYPE OF EXPENDITURE

a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 135,635	\$ 3590.2	\$ 54,938
b. Capital R&D expenditures	\$ 3490.3	\$ 7,112	\$ 3590.3	\$ 249
c. All other expenditures	\$ 3490.4	\$ 456,738	\$ 3590.4	\$ 149,594
d. Total (sum of a to c)	\$ 3490.1	\$ 599,488	\$ 3590.1	\$ 204,781

## Current expenditures for intramural research and development, by source of funds, 1972-73

Item 5	SOURCE OF FUNDS	Total (1)		Medical and health related (2)
		Total (1)	Medical and health related (2)	
a. Federal Government	\$ 3410	\$ 68,797	\$ 3510	\$ -33,271
b. State government	\$ 3420	\$ 1,880	\$ 3520	-
c. Local government	\$ 3430	\$ 410	\$ 3530	-
d. Foundations and voluntary health agencies	\$ 3440	\$ 10,262	\$ 3540	\$ 7,552
e. Industry	\$ 3450	\$ 16,314	\$ 3550	\$ 2,623
f. Institution's own funds	\$ 3460	\$ 34,075	\$ 3560	\$ 9,472
g. Other sources	\$ 3470	\$ 3,897	\$ 3570	\$ 2,020
h. Total (sum of a to g)	\$ 3400	\$ 135,635	\$ 3500	\$ 54,938

Total and federally financed current expenditures for intramural research and development, by type of R&D activity,  
1972-73  
Thousands of dollars

Item 6	TYPE OF R&D ACTIVITY	ESTIMATED TOTAL	
		TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 41,360	\$ 14,080
b. Applied research	\$ 3620	\$ 68,888	\$ 42,178
c. Development	\$ 3630	\$ 25,387	\$ 12,539
d. Total (sum of a to c)	\$ 3600	\$ 135,635	\$ 68,797

**Item 7 Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73**

FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	
	Total (1)	Medical and health related (2)	Total (3)	Medical and health related (4)
a. Engineering (total)	\$ 3710	\$ 36,040	\$ 3810	\$ 18,627
b. Physical sciences (total)	\$ 3720	\$ 16,558	\$ 4,461	\$ 9,575
(1) Astronomy	\$ 3721	\$ 1,562	—	\$ 3,248
(2) Chemistry	\$ 3722	\$ 10,370	\$ 2,640	—
(3) Physics	\$ 3723	\$ 1,037	\$ 219	\$ 1,679
(4) Other physical sciences, NEC	\$ 3724	\$ 3,589	\$ 1,602	—
c. Environmental sciences (total)	\$ 3730	\$ 10,671	\$ 1,810	\$ 3,506
d. Mathematical sciences (total)	\$ 3740	\$ 740	\$ 380	\$ 458
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ 130	\$ 62	20
(2) Computer sciences	\$ 3742	\$ 610	\$ 318	438
e. Life sciences (total)	\$ 3750	\$ 53,404	\$ 35,280	\$ 30,790
(1) Biological (include agricultural sciences)	\$ 3751	\$ 18,035	\$ 8,094	\$ 6,270
(2) Clinical medical	\$ 3752	\$ 25,547	\$ 17,365	\$ 20,411
(3) Other life sciences, NEC	\$ 3753	\$ 9,822	\$ 9,821	\$ 4,109
f. Psychology (total)	\$ 3760	\$ 7,292	\$ 1,168	\$ 3,624
g. Social sciences (total)	\$ 3770	\$ 10,930	\$ 4,793	\$ 2,217
(1) Economics	\$ 3771	\$ 766	—	\$ 60
(2) Political science	\$ 3772	\$ 16	—	—
(3) Sociology	\$ 3773	\$ 2,962	\$ 68	\$ 96
(4) Other social sciences, NEC	\$ 3774	\$ 7,186	\$ 3874	\$ 2,061
h. Other sciences, NEC (total)	\$ 3780	\$ —	\$ 3880	\$ —
i. Total (sum of a to h)	\$ 3700	\$ 135,635	\$ 54,938	\$ 68,797
				\$ 33,271

**REMARKS:** /If additional space is needed, attach an extra page/ Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

c. Environmental sciences (total)	\$ 3730	\$ 10,671	\$ 1,810	3830	\$ 3,506	\$ 1,013	1,569
d. Mathematical sciences (total)	\$ 3740	\$ 740	\$ 380	3840	\$ 458	\$ 286	
(1) Mathematics (exclude computer sciences)	\$ 3741	130	62	3841	20	5	
(2) Computer sciences	\$ 3742	610	318	3842	438	281	
e. Life sciences (total)	\$ 3750	\$ 53,404	\$ 35,280	3850	\$ 30,790	\$ 21,308	
(1) Biological (include agricultural sciences)	\$ 3751	18,035	8,094	3851	6,270	3,562	
(2) Clinical medical	\$ 3752	25,547	17,365	3852	20,411	13,368	
(3) Other life sciences, NEC	\$ 3753	9,822	9,821	3853	4,109	4,108	
f. Psychology (total)	\$ 3760	\$ 7,292	\$ 1,168	3860	\$ 3,624	\$ 720	
g. Social sciences (total)	\$ 3770	\$ 10,930	\$ 4,793	3870	\$ 2,217	\$ 1,328	
(1) Economics	\$ 3771	766	—	3871	60	—	
(2) Political science	\$ 3772	16	—	3872	—	—	
(3) Sociology	\$ 3773	2,962	68	3873	96	—	
(4) Other social sciences, NEC	\$ 3774	7,186	4,725	3874	2,061	1,328	
h. Other sciences, NEC (total)	\$ 3780	\$ —	\$ —	3880	\$ —	\$ —	
i. Total (sum of a to h)	\$ 3700	\$ 135,635	\$ 54,938	3800	\$ 68,797	\$ 33,271	

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone		
Name of person who prepared financial section (if different from above)	Title and Telephone		
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)	

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISGS

Please indicate below the number of any item that should not be published with institutional identification:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "0" as an item total rather than leave an item blank.

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify)

(PLEASE RETURN THIS COPY)

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

PROFESSIONAL AND TECHNICAL  
SOCIETIES AND ACADEMIES OF  
SCIENCE (29)

## PART I — PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	1,306	1,261
(1) Number primarily in R&D	3111	644	603
(2) Number primarily in other activities	3112	662	658

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

ITEM	OCCUPATIONAL GROUP	Total	Full time (2)	Part time (3)
		(1)	(2)	(3)
a. Scientists and engineers (total)	3110	1,306	1,261	45
(1) Number primarily in R&D	3111	644	603	41
(2) Number primarily in other activities	3112	662	658	4
b. Technicians	3120	207	182	25
c. Other employees	3130	3,521	3,082	439
d. Total (sum of a to c)	3100	5,034	4,525	509

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE		Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	159	1	113	45
(1) Ph.D. or Sc.D.	3211	26	-	15	11
(2) M.D., D.D.S., D.V.M., etc.	3212	-	-	-	-
(3) Master's	3213	45	-	31	14
(4) Bachelor's or equivalent	3214	88	1	67	20
b. Physical scientists (total)	3220	500	26	61	413
(1) Ph.D. or Sc.D.	3221	179	9	24	146
(2) M.D., D.D.S., D.V.M., etc.	3222	8	7	-	1
(3) Master's	3223	117	4	18	95
(4) Bachelor's or equivalent	3224	196	6	19	171
c. Environmental scientists (total)	3230	104	-	90	14
(1) Ph.D. or Sc.D.	3231	36	-	33	3
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	40	-	32	8
(4) Bachelor's or equivalent	3234	28	-	25	3
d. Mathematicians (total)	3240	98	9	69	20
(1) Ph.D. or Sc.D.	3241	2	1	1	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-	-	-
(3) Master's	3243	37	3	29	5
(4) Bachelor's or equivalent	3244	59	5	39	15
e. Life scientists (total)	3250	342	171	74	97
(1) Ph.D. or Sc.D.	3251	117	44	35	38
(2) M.D., D.D.S., D.V.M., etc.	3252	50	43	-	7
(3) Master's	3253	91	50	16	25
(4) Bachelor's or equivalent	3254	84	34	23	27
f. Psychologists (total)	3260	53	3	5	45
(1) Ph.D. or Sc.D.	3261	22	3	5	14
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-	-	-
(3) Master's	3263	2	-	-	2
(4) Bachelor's or equivalent	3264	29	-	-	29
g. Social scientists (total)	3270	50	7	15	38
(1) Ph.D. or Sc.D.	3271	16	5	8	3
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-	-	-
(3) Master's	3273	12	2	5	5
(4) Bachelor's or equivalent	3274	22	-	2	20
h. Total Headcount (sum of a-g)	3200	1,306	217	427	662

Item  
3

Technicians, by field and function in which primarily employed, October 1973

FIELD OF EMPLOYMENT		Total (1)	R&D (2)	Other Science and Engineering Activities (4)
2. Engineering technicians	3310	17	2	15

		3220	3221	3222	3223	3224	3230	3231	3232	3233	3234	3240	3241	3242	3243	3250	3251	3252	3253	3254	3260	3261	3262	3263	3264	3270	3271	3272	3273	3274	3200	3201	3202	3203
a.	Physical scientists (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
c.	Environmental scientists (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
d.	Mathematicians (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
e.	Life scientists (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
f.	Psychologists (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
g.	Social scientists (total)																																	
(1)	Ph.D. or Sc.D.																																	
(2)	M.D., D.D.S., D.V.M., etc.																																	
(3)	Master's																																	
(4)	Bachelor's or equivalent																																	
h.	Total Headcount (sum of a to g)																																	
		3200	1,306																															
		3201	427																															
		3202	662																															
		3203																																

Item 3	Technicians, by field and function in which primarily employed, October 1973	Total (1)	R&D		Other Science and Engineering Activities (4)
			(2)	(3)	
1.	FIELD OF EMPLOYMENT				
a.	Engineering technicians	3310	17	2	15
b.	Physical science technicians	3320	20	14	6
c.	Environmental science technicians	3330	79	72	7
d.	Mathematics technicians	3340	1	1	-
e.	Biological and agricultural science technicians	3350	43	37	6
f.	Medical and health-related technicians	3360	38	32	6
g.	Psychology technicians	3370	3	3	-
h.	Social science technicians	3380	6	2	3
i.	Total (sum of a to h)	3300	207	164	43

## PART II — FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are*

All financial data requested on this form should be reported in thousands of dollars; for example, an expenditure of \$25,342 should be rounded to the nearest thousand dollars and reported in the appropriate columns as \$25.

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

		Thousands of dollars		
TYPE OF EXPENDITURE		Total (1)	Medical and health related (2)	
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 61,848	\$ 3590.2	\$ 28,373
b. Capital R&D expenditures	\$ 3490.3	\$ 1,162	\$ 3590.3	\$ 26
c. All other expenditures	\$ 3490.4	\$ 75,627	\$ 3590.4	\$ 18,812
d. Total (sum of a to c)	\$ 3490.7	\$ 138,637	\$ 3590.7	\$ 47,211

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73**

		Thousands of dollars		
SOURCE OF FUNDS		Total (1)	Medical and health related (2)	
a. Federal Government	\$ 3410	\$ 44,462	\$ 3510	\$ 21,522
b. State government	\$ 3420	\$ 1,186	\$ 3520	\$ —
c. Local government	\$ 3430	\$ —	\$ 3530	\$ —
d. Foundations and voluntary health agencies	\$ 3440	\$ 3,196	\$ 3540	\$ 1,479
e. Industry	\$ 3450	\$ 1,935	\$ 3550	\$ 197
f. Institution's own funds	\$ 3460	\$ 8,788	\$ 3560	\$ 3,445
g. Other sources	\$ 3470	\$ 2,301	\$ 3570	\$ 1,730
h. Total (sum of a to g)	\$ 3400	\$ 61,848	\$ 3500	\$ 28,373

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

		TOTAL (1)	ESTIMATED TOTAL
TYPE OF R&D ACTIVITY			
			FEDERAL GOVERNMENT (2)

TYPE OF EXPENDITURE		Total (1)	Total (1)	Medical and health related (2)
a. Current R&D expenditures (internally only)	\$ 3490.2	\$ 61,848	\$ 3590.2	\$ 28,373
b. Capital R&D expenditures	3490.3	1,162	3590.3	26
c. All other expenditures	3490.4	75,627	3590.4	18,812
d. Total (sum of a to c)	\$ 3490.7	\$ 138,637	\$ 3590.7	\$ 47,211

Item 5		Current expenditures for intramural research and development, by source of funds, 1972-73		
		Thousands of dollars		
SOURCE OF FUNDS	Total (1)	Total (1)	Medical and health related (2)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 44,442	\$ 3510	\$ 21,522
b. State government	3420	1,186	3520	-
c. Local government	3430	-	3530	-
d. Foundations and voluntary health agencies	3440	3,196	3540	1,479
e. Industry	3450	1,935	3550	197
f. Institution's own funds	3460	8,788	3560	3,445
g. Other sources	3470	2,301	3570	1,230
h. Total (sum of a to g)	\$ 3400	\$ 61,848	\$ 3500	\$ 28,373

Item 6		Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73		
		Thousands of dollars		
TYPE OF R&D ACTIVITY	TOTAL (1)	ESTIMATED TOTAL		FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 5,336	\$ 1,707	\$ 1,707
b. Applied research	3620	46,917	37,010	37,010
c. Development	3630	9,595	5,725	5,725
d. Total (sum of a to c)	\$ 3600	\$ 61,848	\$ 44,442	\$ 44,442

Item 7	Total and federally financed current expenditures for intramural research and development, by field of science. 1972-73	Thousands of dollars		FEDERAL GOVERNMENT
		All Sources	Total (1)	
	FIELD OF SCIENCE	Total (1)	Medical and health related (2)	
a.	Engineering (total) . . . . .	\$ 3710	\$ 15,401	\$ 6,869
b.	Physical sciences (total) . . . . .	\$ 3720	\$ 12,559	\$ 4,001
(1)	Astronomy . . . . .	3721	—	—
(2)	Chemistry . . . . .	3722	8,574	2,189
(3)	Physics . . . . .	3723	603	216
(4)	Other physical sciences, NEC . . . . .	3724	3,382	1,596
c.	Environmental sciences (total) . . . . .	3730	\$ 5,318	\$ 1,272
d.	Mathematical sciences (total) . . . . .	3740	\$ 364	\$ 153
(1)	Mathematics (exclude computer sciences) . . . . .	3741	69	25
(2)	Computer sciences . . . . .	3742	295	128
e.	Life sciences (total) . . . . .	3750	\$ 26,378	\$ 14,967
(1)	Biological (include agricultural sciences) . . . . .	3751	4,633	1,405
(2)	Clinical medical . . . . .	3752	17,302	9,120
(3)	Other life sciences, NEC . . . . .	3753	4,443	4,442
f.	Psychology (total) . . . . .	3760	\$ 284	—
g.	Social sciences (total) . . . . .	3770	\$ 1,544	\$ 1,111
(1)	Economics . . . . .	3771	—	—
(2)	Political science . . . . .	3772	—	—
(3)	Sociology . . . . .	3773	—	—
(4)	Other social sciences, NEC . . . . .	3774	1,544	1,111
h.	Other sciences, NEC (total) . . . . .	3780	\$ —	\$ —
i.	Total (sum of a to h) . . . . .	3700	\$ 61,848	\$ 28,373
				\$ 3800
				\$ 44,442
				\$ 21,522

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

(4) Other physical sciences, NEC	3724	3,382	1,596	3824	3,297	1,564	
c. Environmental sciences (total)	3730	\$ 5,318	\$ 1,272	3830	\$ 2,649	\$ 1,010	
d. Mathematical sciences (total)	3740	\$ 364	\$ 153	3840	\$ 219	\$ 102	
(1) Mathematics (exclude computer sciences)	3741	69	25	3841	—	—	
(2) Computer sciences	3742	295	128	3842	219	102	
e. Life sciences (total)	3750	\$ 26,378	\$ 14,967	3850	\$ 19,803	\$ 11,052	
(1) Biological (include agricultural sciences)	3751	4,633	1,405	3851	2,903	1,196	
(2) Clinical medical	3752	17,302	9,120	3852	15,094	8,051	
(3) Other life sciences, NEC	3753	4,443	4,442	3853	1,806	1,805	
f. Psychology (total)	3760	\$ 284	\$ —	3860	\$ 253	\$ —	
g. Social sciences (total)	3770	\$ 1,544	\$ 1,111	3870	\$ 1,277	\$ 847	
(1) Economics	3771	—	—	3871	—	—	
(2) Political science	3772	—	—	3872	—	—	
(3) Sociology	3773	—	—	3873	—	—	
(4) Other social sciences, NEC	3774	1,544	1,111	3874	1,277	847	
h. Other sciences, NEC (total)	3780	\$ —	\$ —	3880	\$ —	\$ —	
i. Total (sum of a to h)	3790	\$ 61,848	\$ 28,373	3890	\$ 44,442	\$ 21,522	

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone
Name of person who prepared financial section (if different from above)	Title and Telephone
NAME OF INSTITUTION	Date
	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISCG

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

PRIVATE FOUNDATIONS (15)

Please indicate below the number of any item that should not be published with institutional identification:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

(PLEASE RETURN THIS COPY)

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	236	13
(1) Number primarily in R&D	3111	232	11
(2) Number primarily in other activities	3112	4	2

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	236	223
(1) Number primarily in R&D	3111	232	221
(2) Number primarily in other activities	3112	4	2
b. Technicians	3120	124	123
c. Other employees	3130	285	261
d. Total (sum of a to c)	3100	645	607
			38

304

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health R&D (2)		Other R&D (3)	Other functions (4)
a. Engineers (total)		3210	7	5	1	1
(1) Ph.D. or Sc.D.		3211	4	3	-	1
(2) M.D., D.D.S., D.V.M., etc.		3212	-	-	-	-
(3) Master's		3213	1	-	1	-
(4) Bachelor's or equivalent		3214	2	2	-	-
b. Physical scientists (total)		3220	24	-	24	
(1) Ph.D. or Sc.D.		3221	24	-	24	
(2) M.D., D.D.S., D.V.M., etc.		3222	-	-	-	-
(3) Master's		3223	-	-	-	-
(4) Bachelor's or equivalent		3224	-	-	-	-
c. Environmental scientists (total)		3230	35	-	35	
(1) Ph.D. or Sc.D.		3231	33	-	33	
(2) M.D., D.D.S., D.V.M., etc.		3232	-	-	-	-
(3) Master's		3233	2	-	2	
(4) Bachelor's or equivalent		3234	-	-	-	-
d. Mathematicians (total)		3240	-	-	-	-
(1) Ph.D. or Sc.D.		3241	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.		3242	-	-	-	-
(3) Master's		3243	-	-	-	-
(4) Bachelor's or equivalent		3244	-	-	-	-
e. Life scientists (total)		3250	130	67	63	
(1) Ph.D. or Sc.D.		3251	.75	39	36	
(2) M.D., D.D.S., D.V.M., etc.		3252	6	6	-	-
(3) Master's		3253	6	3	3	
(4) Bachelor's or equivalent		3254	43	19	24	
f. Psychologists (total)		3260	9	6	3	
(1) Ph.D. or Sc.D.		3261	8	6	2	
(2) M.D., D.D.S., D.V.M., etc.		3262	-	-	-	-
(3) Master's		3263	1	-	1	
(4) Bachelor's or equivalent		3264	-	-	-	-
g. Social scientists (total)		3270	31	-	28	3
(1) Ph.D. or Sc.D.		3271	17	-	14	3
(2) M.D., D.D.S., D.V.M., etc.		3272	-	-	-	-
(3) Master's		3273	5	-	5	-
(4) Bachelor's or equivalent		3274	9	-	9	-
h. Total Headcount (sum of a to g)		3200	236	78	154	4

Item  
3 Technicians, by field and function in which primarily employed, October 1973

FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	8	8
b. Physical science technicians	3320	42	42

c. Environmental scientists (total)		3230	35	-	35	-
(1) Ph.D. or Sc.D.		3231	33	-	33	-
(2) M.D., D.D.S., D.V.M., etc.		3232	-	-	-	-
(3) Master's		3233	2	-	2	-
(4) Bachelor's or equivalent		3234	-	-	-	-
d. Mathematicians (total)		3240	-	-	-	-
(1) Ph.D. or Sc.D.		3241	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.		3242	-	-	-	-
(3) Master's		3243	-	-	-	-
(4) Bachelor's or equivalent		3244	-	-	-	-
e. Life scientists (total)		3250	130	67	63	-
(1) Ph.D. or Sc.D.		3251	75	39	36	-
(2) M.D., D.D.S., D.V.M., etc.		3252	6	6	-	-
(3) Master's		3253	6	3	3	-
(4) Bachelor's or equivalent		3254	43	19	24	-
f. Psychologists (total)		3260	9	6	3	-
(1) Ph.D. or Sc.D.		3261	8	6	2	-
(2) M.D., D.D.S., D.V.M., etc.		3262	-	-	-	-
(3) Master's		3263	1	-	-	-
(4) Bachelor's or equivalent		3264	-	-	-	-
g. Social scientists (total)		3270	31	-	28	-
(1) Ph.D. or Sc.D.		3271	17	-	14	-
(2) M.D., D.D.S., D.V.M., etc.		3272	-	-	-	-
(3) Master's		3273	5	-	5	-
(4) Bachelor's or equivalent		3274	9	-	9	-
h. Total Headcount (sum of a to g)		3200	236	78	154	4

Item 3	FIELD OF EMPLOYMENT	Technicians, by field and function in which primarily employed, October 1973		
		Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians		3310	8	8
b. Physical science technicians		3320	42	42
c. Environmental science technicians		3330	7	7
d. Mathematics technicians		3340	-	-
e. Biological and agricultural science technicians		3350	12	12
f. Medical and health-related technicians		3360	46	46
g. Psychology technicians		3370	8	8
h. Social science technicians		3380	1	1
i. Total (sum of a to h)		3300	124	124

## PART II - FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

Thousands of dollars

TYPE OF EXPENDITURE		Total (1)		Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 13,510		\$ 3590.2
b. Capital R&D expenditures	\$ 3490.3	\$ 3,580		\$ 3590.3
c. All other expenditures	\$ 3490.4	\$ 3,158		\$ 3590.4
d. Total (sum of a to c)	\$ 3490.7	\$ 20,248		\$ 3590.7

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73**

SOURCE OF FUNDS		Total (1)		Medical and health related (2)
a. Federal Government	\$ 3410	\$ 1,872	\$ 3510	\$ 1,300
b. State government	\$ 3420	\$ 78	\$ 3520	\$ --
c. Local government	\$ 3430	\$ --	\$ 3530	\$ --
d. Foundations and voluntary health agencies	\$ 3440	\$ 233	\$ 3540	\$ --
e. Industry	\$ 3450	\$ 252	\$ 3550	\$ 67
f. Institution's own funds	\$ 3460	\$ 11,021	\$ 3560	\$ 2,520
g. Other sources	\$ 3470	\$ 54	\$ 3570	\$ 51
h. Total (sum of a to g)	\$ 3400	\$ 13,510	\$ 3500	\$ 4,143

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

TYPE OF R&D ACTIVITY		ESTIMATED TOTAL	FEDERAL GOVERNMENT (2)
TOTAL (1)			

TYPE OF EXPENDITURE		Total (1)	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 13,510	\$ 3590.2	\$ 4,143
b. Capital R&D expenditures	\$ 3490.3	\$ 3,580	\$ 3590.3	\$ 118
c. All other expenditures	\$ 3490.4	\$ 3,158	\$ 3590.4	\$ 235
d. Total (sum of a to c)	\$ 3490.1	\$ 20,248	\$ 3590.1	\$ 4,496

Current expenditures for intramural research and development, by source of funds, 1972-73				
Thousands of dollars				
SOURCE OF FUNDS	Total (1)	Total (1)	Medical and health related (2)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 1,872	\$ 3510	\$ 1,300
b. State government	\$ 3420	\$ 78	\$ 3520	\$ 78
c. Local government	\$ 3430	\$ 330	\$ 3530	\$ 330
d. Foundations and voluntary health agencies	\$ 3440	\$ 233	\$ 3540	\$ 205
e. Industry	\$ 3450	\$ 252	\$ 3550	\$ 67
f. Institution's own funds	\$ 3460	\$ 11,021	\$ 3560	\$ 2,520
g. Other sources	\$ 3470	\$ 54	\$ 3570	\$ 51
h. Total (sum of a to g)	\$ 3400	\$ 13,510	\$ 3500	\$ 4,143

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73		
Thousands of dollars		
TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 11,809
b. Applied research	\$ 3620	\$ 1,295
c. Development	\$ 3630	\$ 406
d. Total (sum of a to c)	\$ 3600	\$ 13,510

Item  
7

Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73

	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT
		Total (1)	Medical and health related (2)	
a. Engineering (total)	3770	\$ 94	\$ 40	3810 \$ 18 \$ 18
b. Physical sciences (total)	3720	\$ 1,949	\$ 28	3820 \$ 195 \$ 10
(1) Astronomy	3721	1,523	-	3821 185 -
(2) Chemistry	3722	19	19	3822 5 5
(3) Physics	3723	401	3	3823 - -
(4) Other physical sciences, NEC	3724	6	6	3824 5 5
c. Environmental sciences (total)	3730	\$ 2,425	\$ 7	3830 \$ 182 \$ 3
d. Mathematical sciences (total)	3740	\$ 2	\$ 2	3840 \$ 1 \$ 1
(1) Mathematics (exclude computer sciences)	3741	1	1	3841 - -
(2) Computer sciences	3742	1	1	3842 1 1
e. Life sciences (total)	3750	\$ 6,280	\$ 3,876	3850 \$ 1,203 \$ 1,135
(1) Biological (include agricultural sciences)	3751	5,244	2,840	3851 687 619
(2) Clinical medical	3752	985	985	3852 510 510
(3) Other life sciences, NEC	3753	51	51	3853 6 6
f. Psychology (total)	3760	\$ 357	\$ 182	3860 \$ 160 \$ 130
g. Social sciences (total)	3770	\$ 2,403	\$ 8	3870 \$ 143 \$ 3
(1) Economics	3771	735	-	3871 60 -
(2) Political science	3772	-	-	3872 - -
(3) Sociology	3773	1,487	-	3873 50 -
(4) Other social sciences, NEC	3774	181	8	3874 3 3
h. Other sciences, NEC (total)	3780	\$ -	\$ -	3880 \$ - \$ -
i. Total (sum of a to h)	3700	\$ 13,510	\$ 4,143	3800 \$ 1,872 \$ 1,300

REMARKS: *If additional space is needed, attach an extra page* Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

(4) Other physical sciences, NEC .	3724	6	6	3824	\$ 5	\$ 5	
c. Environmental sciences (total) . . .	3730	\$ 2,425	\$ 7	3830	\$ 182	\$ 3	- 3
d. Mathematical sciences (total) . . .	3740	\$ 2	\$ 2	3840	\$ 1	\$ 1	
(1) Mathematics (exclude computer sciences) . . .	3741	1	1	3841	-	-	
(2) Computer sciences . . .	3742	1	1	3842	1	1	
e. Life sciences (total) . . .	3750	\$ 6,280	\$ 3,876	3850	\$ 1,203	\$ 1,135	
(1) Biological (include agricultural sciences) . . .	3751	5,244	2,840	3851	687	619	
(2) Clinical medical	3752	985	985	3852	510	510	
(3) Other life sciences, NEC . . .	3753	51	51	3853	6	6	
f. Psychology (total) . . .	3760	\$ 357	\$ 182	3860	\$ 160	\$ 130	
g. Social sciences (total) . . .	3770	\$ 2,403	\$ 8	3870	\$ 113	\$ 3	
(1) Economics . . .	3771	735	-	3871	60	-	
(2) Political science . . .	3772	-	-	3872	-	-	
(3) Sociology . . .	3773	1,487	-	3873	50	- 1	
(4) Other social sciences, NEC . . .	3774	181	8	3874	3	3	
h. Other sciences, NEC (total)	3780	\$ -	\$ -	3880	\$ -	\$ -	
i. Total (sum of a to h)	3700	\$ 13,510	\$ 4,143	3800	\$ 1,872	\$ 1,300	

**REMARKS:** *(If additional space is needed, attach an extra page)* Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone	
Name of person who prepared financial section (if different from above)	Title and Telephone	
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNQSG

Please indicate below the number of any item that should not be published with institutional identification:

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NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

SCIENCE EXHIBITORS (15)

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.*

*Please check the one box which most closely identifies your institution:*

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)  
*Personnel data are to be reported as of October 1973 or as close as possible thereto.*

*Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.*

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total)	3110	395	358
(1) Number primarily in R&D	3111	350	322
(2) Number primarily in other activities	3112	45	36

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

#### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total).	3110	395	358
(1) Number primarily in R&D	3111	350	322
(2) Number primarily in other activities	3112	45	36
b. Technicians	3120	228	127
c. Other employees	3130	2,540	1,835
d. Total (sum of a to c)	3100	3,163	2,320
			843

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See Item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	3	-	1	2
(1) Ph.D. or Sc.D.	3211	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.	3212	-	-	-	-
(3) Master's	3213	-	-	-	-
(4) Bachelor's or equivalent	3214	3	-	1	2
b. Physical scientists (total)	3220	12	6	6	-
(1) Ph.D. or Sc.D.	3221	6	6	-	-
(2) M.D., D.D.S., D.V.M., etc.	3222	-	-	-	-
(3) Master's	3223	3	-	3	-
(4) Bachelor's or equivalent	3224	3	-	3	-
c. Environmental scientists (total)	3230	31	-	26	5
(1) Ph.D. or Sc.D.	3231	15	-	15	-
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	9	-	6	3
(4) Bachelor's or equivalent	3234	7	-	5	2
d. Mathematicians (total)	3240	-	-	-	-
(1) Ph.D. or Sc.D.	3241	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-	-	-
(3) Master's	3243	-	-	-	-
(4) Bachelor's or equivalent	3244	-	-	-	-
e. Life scientists (total)	3250	236	33	182	21
(1) Ph.D. or Sc.D.	3251	115	15	93	7
(2) M.D., D.D.S., D.V.M., etc.	3252	7	7	-	-
(3) Master's	3253	29	2	24	3
(4) Bachelor's or equivalent	3254	85	9	65	11
f. Psychologists (total)	3260	14	-	14	-
(1) Ph.D. or Sc.D.	3261	9	-	9	-
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-	-	-
(3) Master's	3263	2	-	2	-
(4) Bachelor's or equivalent	3264	3	-	3	-
g. Social scientists (total)	3270	99	-	82	17
(1) Ph.D. or Sc.D.	3271	35	-	35	-
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-	-	-
(3) Master's	3273	40	-	27	13
(4) Bachelor's or equivalent	3274	24	-	20	4
h. Total Headcount (sum of a to g)	3200	395	39	311	45

Item 3 - Technicians, by field and function in which primarily employed, October 1973

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	9	2	7
b. Physical science technicians	3320	2	2	-

(1) Ph.D. or Sc.D.	32221	6	6
(2) M.D., D.D.S., D.V.M., etc.	32222	-	-
(3) Master's	32223	3	3
(4) Bachelor's or equivalent	32224	3	3
c. Environmental scientists (total)	32230	31	26
(1) Ph.D. or Sc.D.	32231	15	15
(2) M.D., D.D.S., D.V.M., etc.	32232	-	-
(3) Master's	32233	9	6
(4) Bachelor's or equivalent	32234	7	5
d. Mathematicians (total)	3240	-	-
(1) Ph.D. or Sc.D.	3241	-	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-
(3) Master's	3243	-	-
(4) Bachelor's or equivalent	3244	-	-
e. Life scientists (total)	3250	236	21
(1) Ph.D. or Sc.D.	3251	115	93
(2) M.D., D.D.S., D.V.M., etc.	3252	7	7
(3) Master's	3253	29	24
(4) Bachelor's or equivalent	3254	85	3
f. Psychologists (total)	3260	14	11
(1) Ph.D. or Sc.D.	3261	9	9
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-
(3) Master's	3263	2	2
(4) Bachelor's or equivalent	3264	3	3
g. Social scientists (total)	3270	99	82
(1) Ph.D. or Sc.D.	3271	35	35
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-
(3) Master's	3273	40	27
(4) Bachelor's or equivalent	3274	24	20
h. Total Headcount (sum of a to g)	3200	395	39
		311	45

Item 3	FIELD OF EMPLOYMENT	Total (1)	R&O (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	9	2	7
b. Physical science technicians	3320	2	2	-
c. Environmental science technicians	3330	14	12	2
d. Mathematics technicians	3340	-	-	-
e. Biological and agricultural science technicians	3350	95	84	11
f. Medical and health-related technicians	3360	9	7	2
g. Psychology technicians	3370	35	35	-
h. Social science technicians	3380	64	64	-
i. Total (sum of a to h)	3300	228	206	22

## PART II - FINANCIAL DATA

(Includes Items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973; or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are

**Item 4 Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.**

Thousands of dollars			
TYPE OF EXPENDITURE		Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 7,907	\$ 3590.2
b. Capital R&D expenditures	\$ 3490.3	\$ 332	\$ 3590.3
c. All other expenditures	\$ 3490.4	\$ 34,222	\$ 3590.4
d. Total (sum of a to c)	\$ 3490.7	\$ 42,461	\$ 3590.7

**Item 5 Current expenditures for intramural research and development, by source of funds, 1972-73**

Thousands of dollars			
SOURCE OF FUNDS		Total (1)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 2,415	\$ 3510
b. State government	\$ 3420	\$ 434	\$ 3520
c. Local government	\$ 3430	\$ 56	\$ 3530
d. Foundations and voluntary health agencies	\$ 3440	\$ 569	\$ 3540
e. Industry	\$ 3450	\$ 36	\$ 3550
f. Institution's own funds	\$ 3460	\$ 3,373	\$ 3560
g. Other sources	\$ 3470	\$ 1,024	\$ 3570
h. Total (sum of a to g)	\$ 3400	\$ 7,907	\$ 3500

**Item 6 Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
ESTIMATED TOTAL		

a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 7,907	\$ 3590.2	\$ 1,087
b. Capital R&D expenditures	\$ 3490.3	\$ 332	\$ 3590.3	\$ 10
c. All other expenditures	\$ 3490.4	\$ 34,222	\$ 3590.4	\$ 194
d. Total (sum of a to c)	\$ 3490.1	\$ 48,461	\$ 3590.1	\$ 1,291

Item 5	Current expenditures for intramural research and development, by source of funds, 1972-73		
	SOURCE OF FUNDS	Total (1)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 2,415.	\$ 3510
b. State government	\$ 3420	\$ 434	\$ 3520
c. Local government	\$ 3430	\$ .56	\$ 3530
d. Foundations and voluntary health agencies	\$ 3440	\$ 569	\$ 3540
e. Industry	\$ 3450	\$ 36	\$ 3550
f. Institution's own funds	\$ 3460	\$ 3,373	\$ 3560
g. Other sources	\$ 3470	\$ 1,024	\$ 3570
h. Total (sum of a to g)	\$ 3400	\$ 7,907	\$ 3500
			\$ 1,087

Item 6	Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73		
	TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 7,545	\$ 2,286
b. Applied research	\$ 3620	\$ 331	\$ 129
c. Development	\$ 3630	\$ 31	\$ -
d. Total (sum of a to c)	\$ 3600	\$ 7,907	\$ 2,415

**Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73**

7

Item	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	Total (3)	Medical and health related (4)
		Total (1)	Medical and health related (2)			
a. Engineering (total)	3710	\$ -	\$ -	3810	\$ -	\$ -
b. Physical sciences (total)	3720	\$ 591	\$ 432	3820	\$ 332	\$ 332
(1) Astronomy	3721	\$ 39.	\$ -	3821	\$ -	\$ -
(2) Chemistry	3722	\$ 552	\$ 432	3822	\$ 332	\$ 332
(3) Physics	3723	\$ -	\$ -	3823	\$ -	\$ -
(4) Other physical sciences, NEC	3724	\$ -	\$ -	3824	\$ -	\$ -
c. Environmental sciences (total)	3730	\$ 1,938	\$ -	3830	\$ 581	\$ -
d. Mathematical sciences (total)	3740	\$ -	\$ -	3840	\$ -	\$ -
(1) Mathematics (exclude computer sciences)	3741	\$ -	\$ -	3841	\$ -	\$ -
(2) Computer sciences	3742	\$ -	\$ -	3842	\$ -	\$ -
e. Life sciences (total)	3750	\$ 3,624	\$ 600	3850	\$ 1,284	\$ 394
(1) Biological (include agricultural sciences)	3751	\$ 3,541	\$ 517	3851	\$ 1,284	\$ 394
(2) Clinical medical	3752	\$ 83	\$ 83	3852	\$ -	\$ -
(3) Other life sciences, NEC	3753	\$ -	\$ -	3853	\$ -	\$ -
f. Psychology (total)	3760	\$ 193	\$ 55	3860	\$ 84	\$ 44
g. Social sciences (total)	3770	\$ 1,561	\$ -	3870	\$ 134	\$ -
(1) Economics	3771	\$ -	\$ -	3871	\$ -	\$ -
(2) Political science	3772	\$ -	\$ -	3872	\$ -	\$ -
(3) Sociology	3773	\$ 254	\$ -	3873	\$ -	\$ -
(4) Other social sciences, NEC	3774	\$ 1,307	\$ -	3874	\$ 134	\$ -
h. Other sciences, NEC (total)	3780	\$ -	\$ -	3880	\$ -	\$ -
i. Total (sum of a to h)	3790	\$ 7,907	\$ 1,087	3890	\$ 2,415	\$ 770

**REMARKS:** *(If additional space is needed, attach an extra page)* Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section

Title and Telephone

c. Environmental sciences (total)	\$ 3730	\$ 1,938	\$ -	\$ 3830	\$ 581	\$ -
d. Mathematical sciences (total)	\$ 3740	\$ -	\$ -	\$ 3840	\$ -	\$ -
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ -	\$ -	\$ 3841	\$ -	\$ -
(2) Computer sciences	\$ 3742	\$ -	\$ -	\$ 3842	\$ -	\$ -
e. Life sciences (total)	\$ 3750	\$ 3,624	\$ 600	\$ 3850	\$ 1,284	\$ 394
(1) Biological (include agricultural sciences)	\$ 3751	\$ 3,541	\$ 517	\$ 3851	\$ 1,284	\$ 394
(2) Clinical medical	\$ 3752	\$ 83	\$ 83	\$ 3852	\$ -	\$ -
(3) Other life sciences, NEC	\$ 3753	\$ -	\$ -	\$ 3853	\$ -	\$ -
f. Psychology (total)	\$ 3760	\$ 193	\$ 55	\$ 3860	\$ 84	\$ 44
g. Social sciences (total)	\$ 3770	\$ 1,561	\$ -	\$ 3870	\$ 134	\$ -
(1) Economics	\$ 3771	\$ -	\$ -	\$ 3871	\$ -	\$ -
(2) Political science	\$ 3772	\$ -	\$ -	\$ 3872	\$ -	\$ -
(3) Sociology	\$ 3773	\$ 254	\$ -	\$ 3873	\$ -	\$ -
(4) Other social sciences, NEC	\$ 3774	\$ 1,307-	\$ -	\$ 3874	\$ 134	\$ -
h. Other sciences, NEC (total)	\$ 3780	\$ -	\$ -	\$ 3880	\$ -	\$ -
i. Total (sum of a to h)	\$ 3700	\$ 7,907	\$ 1,087	\$ 3800	\$ 2,415	\$ 770

REMARKS: [If additional space is needed, attach an extra page] Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone	
Name of person who prepared financial section (if different from above)	Title and Telephone	
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to:

National Science Foundation  
Washington, D.C. 20550  
Attn: UNISG

Please indicate below the number of any item that should not be published with institutional identification:

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.*

*Please check the one box which most closely identifies your institution:*

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

TRADE ASSOCIATIONS AND  
AGRICULTURAL COOPERATIVES  
(71)

(PLEASE RETURN THIS COPY)

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

### OCCUPATIONAL GROUP

#### a. Scientists and engineers (total)

#### (1) Number primarily in R&D

	Total (1)	Full-time (2)	Part-time (3)
a. Scientists and engineers (total)	3110	709	13
(1) Number primarily in R&D	3111	399	9

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

PLEASE RETURN THIS COPY

Please check the one box which most closely identifies your institution:

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify) \_\_\_\_\_

#### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total).	3110	709	696
(1) Number primarily in R&D	3111	399	390
(2) Number primarily in other activities	3112	310	306
b. Technicians	3120	210	192
c. Other employees	3130	1,110	1,054
d. Total (sum of a to c)	3100	2,020	1,942
			78

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	524	1	239	284
(1) Ph.D. or Sc.D.	3211	40	-	34	6
(2) M.D., D.D.S., D.V.M., etc.	3212	-	-	-	-
(3) Master's	3213	109	-	68	41
(4) Bachelor's or equivalent	3214	375	1	137	237
b. Physical scientists (total)	3220	108	-	94	14
(1) Ph.D. or Sc.D.	3221	30	-	30	-
(2) M.D., D.D.S., D.V.M., etc.	3222	-	-	-	-
(3) Master's	3223	20	-	19	1
(4) Bachelor's or equivalent	3224	58	-	45	13
c. Environmental scientists (total)	3230	12	4	7	1
(1) Ph.D. or Sc.D.	3231	2	2	-	-
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	2	-	2	-
(4) Bachelor's or equivalent	3234	8	2	5	1
d. Mathematicians (total)	3240	11	-	8	3
(1) Ph.D. or Sc.D.	3241	2	-	2	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-	-	-
(3) Master's	3243	5	-	3	2
(4) Bachelor's or equivalent	3244	4	-	3	1
e. Life scientists (total)	3250	30	7	21	2
(1) Ph.D. or Sc.D.	3251	19	4	13	2
(2) M.D., D.D.S., D.V.M., etc.	3252	-	-	-	-
(3) Master's	3253	6	3	3	-
(4) Bachelor's or equivalent	3254	5	-	5	-
f. Psychologists (total)	3260	1	-	1	-
(1) Ph.D. or Sc.D.	3261	1	-	1	-
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-	-	-
(3) Master's	3263	-	-	-	-
(4) Bachelor's or equivalent	3264	-	-	-	-
g. Social scientists (total)	3270	23	11	6	6
(1) Ph.D. or Sc.D.	3271	4	2	-	2
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-	-	-
(3) Master's	3273	8	4	1	3
(4) Bachelor's or equivalent	3274	11	5	5	1
h. Total Headcount (sum of a to g)	3200	709	23	376	310

Item  
3

Technicians, by field and function in which primarily employed, October 1973

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	142	139	3
b. Physical science technicians	3320	33	23	10

b. Physical scientists (total)	32220	108	-	94	14
(1) Ph.D. or Sc.D.	32221	30	-	30	-
(2) M.D., D.D.S., D.V.M., etc.	32222	-	-	-	-
(3) Master's	32223	20	-	19	1
(4) Bachelor's or equivalent	32224	58	-	45	13
c. Environmental scientists (total)	32230	12	4	7	1
(1) Ph.D. or Sc.D.	32331	2	2	-	-
(2) M.D., D.D.S., D.V.M., etc.	32332	-	-	-	-
(3) Master's	32333	2	-	2	-
(4) Bachelor's or equivalent	32334	8	2	5	1
d. Mathematicians (total)	3240	11	-	8	3
(1) Ph.D. or Sc.D.	3241	2	-	2	-
(2) M.D., D.D.S., D.V.M., etc.	3242	-	-	-	-
(3) Master's	3243	5	-	3	2
(4) Bachelor's or equivalent	3244	4	-	3	1
e. Life scientists (total)	3250	20	7	21	2
(1) Ph.D. or Sc.D.	3251	19	4	13	2
(2) M.D., D.D.S., D.V.M., etc.	3252	-	-	-	-
(3) Master's	3253	6	3	3	1
(4) Bachelor's or equivalent	3254	5	-	5	-
f. Psychologists (total)	3260	1	-	1	-
(1) Ph.D. or Sc.D.	3261	1	-	1	-
(2) M.D., D.D.S., D.V.M., etc.	3262	-	-	-	-
(3) Master's	3263	-	-	-	-
(4) Bachelor's or equivalent	3264	-	-	-	-
g. Social scientists (total)	3270	23	11	6	6
(1) Ph.D. or Sc.D.	3271	4	2	-	2
(2) M.D., D.D.S., D.V.M., etc.	3272	-	-	-	-
(3) Master's	3273	8	4	1	3
(4) Bachelor's or equivalent	3274	11	5	5	1
h. Total Headcount (sum of a to g)	3200	709	23	376	310

**Item 3 Technicians, by field and function in which primarily employed, October 1973**

FIELD OF EMPLOYMENT	Total (1)	R&O (2)	Other Science and Engineering Activities (4)
a. Engineering technicians	3310	142	139
b. Physical science technicians	3320	33	23
c. Environmental science technicians	3330	6	6
d. Mathematics technicians	3340	5	5
e. Biological and agricultural science technicians	3350	14	12
f. Medical and health-related technicians	3360	-	-
g. Psychology technicians	3370	-	-
h. Social science technicians	3380	1	1
i. Total (sum of a to h)	3300	31	185

## PART II — FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are*

Item 4

Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.

Thousands of dollars

TYPE OF EXPENDITURE	Total (1)	Total (2)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 26,353	3590.2
b. Capital R&D expenditures	\$ 3490.3	\$ 1,601	3590.3
c. All other expenditures	\$ 3490.4	\$ 38,241	3590.4
d. Total (sum of a to c)	\$ 3490.1	\$ 66,195	3590.1

All financial data requested on this form should be reported in thousands of dollars; for example, an expenditure of \$25,342 should be rounded to the nearest thousand dollars and reported in the appropriate columns as \$25.

Item 5

Current expenditures for intramural research and development, by source of funds, 1972-73

SOURCE OF FUNDS	Total (1)	Total (2)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 7,550	\$ 3510
b. State government	\$ 3420	\$ 141	\$ 3520
c. Local government	\$ 3430	\$ 3530	\$ 3530
d. Foundations and voluntary health agencies	\$ 3440	\$ 10	\$ 3540
e. Industry	\$ 3450	\$ 13,869	\$ 3550
f. Institution's own funds	\$ 3460	\$ 4,434	\$ 3560
g. Other sources	\$ 3470	\$ 349	\$ 3570
h. Total (sum of a to g)	\$ 3400	\$ 26,353	\$ 3500

Item 6

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73

Thousands of dollars

TYPE OF R&D ACTIVITY	TOTAL	FEDERAL GOVERNMENT
ESTIMATED TOTAL		

TYPE OF EXPENDITURE		Total (1)	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 26,353	\$ 3590.2	\$ 2,324
b. Capital R&D expenditures	\$ 3490.3	\$ 1,601	\$ 3590.3	\$ 1,644
c. All other expenditures	\$ 3490.4	\$ 38,241	\$ 3590.4	\$ 210
d. Total (sum of a to c)	\$ 3490.7	\$ 66,195	\$ 3590.7	\$ 2,534

Current expenditures for intramural research and development, by source of funds, 1972-73		Thousands of dollars		
SOURCE OF FUNDS		Total (1)	Total (1)	Medical and health related (2)
a. Federal Government	\$ 3410	\$ 7,550	\$ 3510	\$ -
b. State government	\$ 3420	\$ 141	\$ 3520	\$ -
c. Local government	\$ 3430	\$ -	\$ 3530	\$ -
d. Foundations and voluntary health agencies	\$ 3440	\$ 10	\$ 3540	\$ -
e. Industry	\$ 3450	\$ 13,869	\$ 3550	\$ 2,172
f. Institution's own funds	\$ 3460	\$ 4,434	\$ 3560	\$ 2
g. Other sources	\$ 3470	\$ 349	\$ 3570	\$ 150
h. Total (sum of a to g)	\$ 3400	\$ 26,353	\$ 3500	\$ 2,324

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73		Thousands of dollars		
TYPE OF R&D ACTIVITY		ESTIMATED TOTAL		
		TOTAL (1)	FEDERAL GOVERNMENT (2)	
a. Basic research	\$ 3610	\$ 2,411	\$ 185	\$ 185
b. Applied research	\$ 3620	\$ 11,739	\$ 1,644	\$ 1,644
c. Development	\$ 3630	\$ 12,203	\$ 5,721	\$ 5,721
d. Total (sum of a to c)	\$ 3600	\$ 26,353	\$ 7,550	\$ 7,550

**Total and federally financed current expenditures for intramural research and development, by field of science,  
1972-73**

	FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT
		Total (1)	Medical and health related (2)	
a. Engineering (total)	3710	\$ 20,398	\$ 41	3810 \$ 6,786
b. Physical sciences (total)	3720	\$ 1,459	\$ -	3820 \$ 615
(1) Astronomy	3721	-	-	3821 -
(2) Chemistry	3722	1,225	-	3822 615
(3) Physics	3723	33	-	3823 -
(4) Other physical sciences, NEC	3724	201	-	3824 -
c. Environmental sciences (total)	3730	\$ 990	\$ 531	3830 \$ 94
d. Mathematical sciences (total)	3740	\$ 149	\$ -	3840 \$ 55
(1) Mathematics (exclude computer sciences)	3741	24	-	3841 15
(2) Computer sciences	3742	125	-	3842 40
e. Life sciences (total)	3750	\$ 1,877	\$ 635	3850 \$ -
(1) Biological (include agricultural sciences)	3751	1,877	635	3851 -
(2) Clinical medical	3752	-	-	3852 -
(3) Other life sciences, NEC	3753	-	-	3853 -
f. Psychology (total)	3760	\$ -	\$ -	3860 \$ -
g. Social sciences (total)	3770	\$ 1,480	\$ 1,117	3870 \$ 41
(1) Economics	3771	31	-	3871 -
(2) Political science	3772	-	-	3872 -
(3) Sociology	3773	-	-	3873 -
(4) Other social sciences, NEC	3774	1,433	1,117	3874 -
h. Other sciences, NEC (total)	3780	\$ -	\$ -	3880 \$ -
i. Total (sum of a to h)	3700	\$ 26,353	\$ 2,324	3800 \$ 7,550

REMARKS: *If additional space is needed, attach an extra page.* Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

c. Environmental sciences (total)	\$ 3730	\$ 990	\$ 531	\$ 3830	\$ 94	\$
d. Mathematical sciences (total)	\$ 3740	\$ 149	\$ -	\$ 3840	\$ 55	\$
(1) Mathematics (exclude computer sciences)	\$ 3741	24	-	\$ 3841	15	-
(2) Computer sciences	\$ 3742	125	-	\$ 3842	40	-
e. Life sciences (total)	\$ 3750	\$ 1,877	\$ 635	\$ 3850	\$ -	\$
(1) Biological (include agricultural sciences)	\$ 3751	1,877	635	\$ 3851	-	-
(2) Clinical medical	\$ 3752	-	-	\$ 3852	-	-
(3) Other life sciences, NEC	\$ 3753	-	-	\$ 3853	-	-
f. Psychology (total)	\$ 3760	\$ -	\$ -	\$ 3860	\$ -	\$
g. Social sciences (total)	\$ 3770	\$ 1,480	\$ 1,117	\$ 3870	\$ -	\$
(1) Economics	\$ 3771	31	-	\$ 3871	-	-
(2) Political science	\$ 3772	-	-	\$ 3872	-	-
(3) Sociology	\$ 3773	-	-	\$ 3873	-	-
(4) Other social sciences, NEC	\$ 3774	1,433	1,117	\$ 3874	-	-
h. Other sciences, NEC (total)	\$ 3780	\$ -	\$ -	\$ 3880	\$ -	\$
Total (sum of a to h)	\$ 3700	\$ 26,353	\$ 2,324	\$ 3800	\$ 7,550	\$ -

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone	
Name of person who prepared financial section (if different from above)	Title and Telephone	
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

# Survey of R&D Activities of Independent Nonprofit Institutions, 1973

Organizations are requested to complete and return this form within 30 days to  
 National Science Foundation  
 Washington, D.C. 20550  
 Attn: UNISG

Please indicate below the number of any item that should not be published with institutional identification:

---

*Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.*

*Please check the one box which most closely identifies your institution:*

1.  Research institute
2.  Federally Funded Research and Development Center
3.  Voluntary nonprofit hospital
4.  Professional or technical society, or academy of science
5.  Private foundation
6.  Science exhibitor
7.  Trade association or agricultural cooperative
8.  Other (please specify)

(PLEASE RETURN THIS COPY)

NAME AND ADDRESS OF ORGANIZATION  
(Please correct if name or address has changed)

OTHER NONPROFIT INSTITUTIONS  
(26)

## PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time (2)	Part time (3)
a. Scientists and engineers (total).	3110	1590	145
(1) Number primarily in R&D	3111	962	96
(2) Number primarily in other activities	3112	728	49

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item total rather than leave an item blank.

(PLEASE RETURN THIS COPY)

Please check the one box which most closely identifies your institution:

- Research institute
- Federally Funded Research and Development Center
- Voluntary nonprofit hospital
- Professional or technical society, or academy of science
- Private foundation
- Science exhibitor
- Trade association or agricultural cooperative
- Other (please specify) \_\_\_\_\_

#### PART I - PERSONNEL DATA

(Includes items 1 to 3 of the survey questionnaire)

Personnel data are to be reported as of October 1973 or as close as possible thereto.

Total employment of your organization in all activities (full time and part time), by selected occupational group and employment status, October 1973.

OCCUPATIONAL GROUP	Total (1)	Full time		Part time (3)
		(2)	(3)	
a. Scientists and engineers (total)	3110	1,690	1,545	145
(1) Number primarily in R&D	3111	962	866	96
(2) Number primarily in other activities	3112	728	629	49
b. Technicians	3120	604	544	60
c. Other employees	3130	9,016	8,606	410
d. Total (sum of a to c)	3100	11,310	10,695	615

Item  
2

Scientists and engineers, by field in which primarily employed, highest earned degree, and function,  
October 1973 (See item 1a, column 1)

	FIELD OF EMPLOYMENT AND HIGHEST EARNED DEGREE	Total (1)	Medical and health related R&D (2)	Other R&D (3)	Other functions (4)
a. Engineers (total)	3210	38	20	8	10
(1) Ph.D. or Sc.D.	3211	7	4	3	-
(2) M.D., D.D.S., D.V.M., etc.	3212	-	-	-	-
(3) Master's	3213	4	3	-	1
(4) Bachelor's or equivalent	3214	27	13	5	9
b. Physical scientists (total)	3220	14	2	5	7
(1) Ph.D. or Sc.D.	3221	5	-	2	3
(2) M.D., D.D.S., D.V.M., etc.	3222	-	-	-	-
(3) Master's	3223	4	-	2	2
(4) Bachelor's or equivalent	3224	5	2	1	2
c. Environmental scientists (total)	3230	-	-	-	-
(1) Ph.D. or Sc.D.	3231	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	-	-	-	-
(4) Bachelor's or equivalent	3234	-	-	-	-
d. Mathematicians (total)	3240	219	43	55	121
(1) Ph.D. or Sc.D.	3241	22	4	7	11
(2) M.D., D.D.S., D.V.M., etc.	3242	3	3	-	-
(3) Master's	3243	54	13	13	28
(4) Bachelor's or equivalent	3244	140	23	35	82
e. Life scientists (total)	3250	670	467	13	190
(1) Ph.D. or Sc.D.	3251	145	129	1	15
(2) M.D., D.D.S., D.V.M., etc.	3252	135	74	-	61
(3) Master's	3253	226	182	4	40
(4) Bachelor's or equivalent	3254	164	82	8	74
f. Psychologists (total)	3260	431	37	128	266
(1) Ph.D. or Sc.D.	3261	237	21	77	139
(2) M.D., D.D.S., D.V.M., etc.	3262	5	5	-	-
(3) Master's	3263	132	6	37	89
(4) Bachelor's or equivalent	3264	57	5	14	38
g. Social scientists (total)	3270	398	127	57	134
(1) Ph.D. or Sc.D.	3271	91	61	11	19
(2) M.D., D.D.S., D.V.M., etc.	3272	17	17	-	-
(3) Master's	3273	94	26	24	44
(4) Bachelor's or equivalent	3274	116	23	22	71
h. Total Headcount (sum of a to g)	3200	1,690	696	266	728

Item  
3

Technicians, by field and function in which primarily employed, October 1973

	FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (3)
a. Engineering technicians	3310	23	17	6
b. Physical science technicians	3320	3	-	3

b. Physical scientists (total)	3220	14	2	5	7
(1) Ph.D. or Sc.D.	3221	5	-	2	3
(2) M.D., D.D.S., D.V.M., etc.	3222	-	-	-	-
(3) Master's	3223	6	-	2	2
(4) Bachelor's or equivalent	3224	5	2	1	2
c. Environmental scientists (total)	3230	-	-	-	-
(1) Ph.D. or Sc.D.	3231	-	-	-	-
(2) M.D., D.D.S., D.V.M., etc.	3232	-	-	-	-
(3) Master's	3233	-	-	-	-
(4) Bachelor's or equivalent	3234	-	-	-	-
d. Mathematicians (total)	3240	219	43	55	121
(1) Ph.D. or Sc.D.	3241	22	4	7	11
(2) M.D., D.D.S., D.V.M., etc.	3242	3	3	-	-
(3) Master's	3243	54	13	13	28
(4) Bachelor's or equivalent	3244	140	23	35	82
e. Life scientists (total)	3250	670	467	13	190
(1) Ph.D. or Sc.D.	3251	145	129	1	15
(2) M.D., D.D.S., D.V.M., etc.	3252	135	74	-	61
(3) Master's	3253	226	182	4	40
(4) Bachelor's or equivalent	3254	164	82	8	74
f. Psychologists (total)	3260	431	37	128	266
(1) Ph.D. or Sc.D.	3261	237	21	77	139
(2) M.D., D.D.S., D.V.M., etc.	3262	5	3	-	-
(3) Master's	3263	132	6	37	89
(4) Bachelor's or equivalent	3264	57	5	14	38
g. Social scientists (total)	3270	318	127	57	134
(1) Ph.D. or Sc.D.	3271	91	61	11	19
(2) M.D., D.D.S., D.V.M., etc.	3272	17	17	-	-
(3) Master's	3273	94	26	24	44
(4) Bachelor's or equivalent	3274	116	23	22	71
h. Total Headcount (sum of a to g)	3200	1,690	696	266	728

Item 3 Technicians, by field and function in which primarily employed, October 1973

FIELD OF EMPLOYMENT	Total (1)	R&D (2)	Other Science and Engineering Activities (3)
a. Engineering technicians	3310	23	17
b. Physical science technicians	3320	3	-
c. Environmental science technicians	3330	-	-
d. Mathematics technicians	3340	113	14
e. Biological and agricultural science technicians	3350	69	47
f. Medical and health-related technicians	3360	336	310
g. Psychology technicians	3370	-	-
h. Social science technicians	3380	60	29
i. Total (sum of a to h)	3300	604	417
			187

## PART II — FINANCIAL DATA

(Includes items 4 to 7 of the survey questionnaire)

Financial data are requested for the fiscal year which began on July 1, 1972 and ended on June 30, 1973, or your institution's equivalent fiscal year. Specify the ending date if different from above:

Please read the enclosed instructions before completing this form. Where exact data are not available, estimates are acceptable. Enter "O" as an item rather than leave an item blank.

Item 4

Total expenditures of your organization in all activities (current and capital), by type of expenditure, 1972-73.

TYPE OF EXPENDITURE	Thousands of dollars		Medical and health related (2)
	Total (1)		
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 26,017	3590.2 \$ 19,011
b. Capital R&D expenditures	3590.3	437	3590.3 95
c. All other expenditures	3490.4	305,490	3590.4 130,143
d. Total (sum of a to c)	\$ 3490.1	\$ 331,944	3590.1 \$ 149,249

Item 5

Current expenditures for intramural research and development, by source of funds, 1972-73

SOURCE OF FUNDS	Thousands of dollars		Medical and health related (2)
	Total (1)		
a. Federal Government	\$ 3410	\$ 12,518	3510 \$ 9,679
b. State government	3420	41	3520 -
c. Local government	3430	354	3530 -
d. Foundations and voluntary health agencies	3440	6,254	3540 5,868
e. Industry	3450	222	3550 182
f. Institution's own funds	3460	6,459	3560 3,202
g. Other sources	3470	169	3570 80
h. Total (sum of a to g)	\$ 3400	\$ 26,017	3500 \$ 19,011

Item 6

Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73

Thousands of dollars

TYPE OF R&D ACTIVITY	TOTAL		FEDERAL GOVERNMENT
	ESTIMATED TOTAL	TOTAL	

**Total expenditures for your organization in intramural research and development (current and capital), by type of expenditure, 1972-73.**

Thousands of dollars

TYPE OF EXPENDITURE	Total (1)	Medical and health related (2)
a. Current R&D expenditures (intramural only)	\$ 3490.2	\$ 26,017
b. Capital R&D expenditures	3490.3	437
c. All other expenditures	3490.4	305,490
d. Total (sum of a to c)	\$ 3490.1	\$ 331,944
		3590.1
		\$ 149,249

**Current expenditures for intramural research and development, by source of funds, 1972-73**

Thousands of dollars

SOURCE OF FUNDS	Total (1)	Medical and health related (2)
a. Federal Government	3470	\$ 12,518
b. State government	3420	41
c. Local government	3430	354
d. Foundations and voluntary health agencies	3440	6,254
e. Industry	3450	222
f. Institution's own funds	3460	6,459
g. Other sources	3470	169
h. Total (sum of a to g)	\$ 3400	\$ 26,017
		3510
		\$ 9,679
		Total in Sa, column 1, should equal 7i, column 3.
		Total in 5a, column 2, should equal 7i, column 4.
		Total in Sh, column 1, should equal 4a, column 1, and 7i, column 1.
		Total in Sh, column 2, should equal 4a, column 2, and 7i, column 2.
		182
		3,202
		80
		\$ 19,011

**Total and federally financed current expenditures for intramural research and development, by type of R&D activity, 1972-73**

Thousands of dollars

TYPE OF R&D ACTIVITY	TOTAL (1)	FEDERAL GOVERNMENT (2)
a. Basic research	\$ 3610	\$ 14,259
b. Applied research	3620	8,606
c. Development	3630	3,152
d. Total (sum of a to c)	\$ 3600	\$ 26,017
		\$ 12,518

FIELD OF SCIENCE	ALL SOURCES		FEDERAL GOVERNMENT	
	Total (1)	Medical and health related (2)	Total (3)	Medical and health related (4)
a. Engineering (total)	\$ 3710	\$ 147	\$ 96	\$ 15
b. Physical sciences (total)	\$ 3720	\$ -	\$ 3820	\$ -
(1) Astronomy	\$ 3721	\$ -	\$ 3821	\$ -
(2) Chemistry	\$ 3722	\$ -	\$ 3822	\$ -
(3) Physics	\$ 3723	\$ -	\$ 3823	\$ -
(4) Other physical sciences, NEC	\$ 3724	\$ -	\$ 3824	\$ -
c. Environmental sciences (total)	\$ 3730	\$ -	\$ 3830	\$ -
d. Mathematical sciences (total)	\$ 3740	\$ 225	\$ 225	\$ 183
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ 36	\$ 3841	\$ 5
(2) Computer sciences	\$ 3742	\$ 189	\$ 3842	\$ 178
e. Life sciences (total)	\$ 3750	\$ 15,245	\$ 15,202	\$ 8,500
(1) Biological (include agricultural sciences)	\$ 3751	\$ 2,740	\$ 2,697	\$ 1,396
(2) Clinical medical	\$ 3752	\$ 7,177	\$ 7,177	\$ 4,807
(3) Other life sciences, NEC	\$ 3753	\$ 5,328	\$ 5,328	\$ 2,297
f. Psychology (total)	\$ 3760	\$ 6,458	\$ 931	\$ 3,127
g. Social sciences (total)	\$ 3770	\$ 3,942	\$ 2,557	\$ 693
(1) Economics	\$ 3771	\$ -	\$ 3871	\$ -
(2) Political science	\$ 3772	\$ -	\$ 3872	\$ -
(3) Sociology	\$ 3773	\$ 1,221	\$ 68	\$ 46
(4) Other social sciences, NEC	\$ 3774	\$ 2,721	\$ 2,489	\$ 647
h. Other sciences, NEC (total)	\$ 3780	\$ -	\$ 3880	\$ -
i. Total (sum of a to h)	\$ 3790	\$ 26,017	\$ 19,011	\$ 12,518
				\$ 9,679

REMARKS: (If additional space is needed, attach an extra page) Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section

Title and Telephone

Name of person who prepared financial section (if different from above)

Title and Telephone

c. Environmental sciences (total)	\$ 3730	\$ -	\$ -	\$ -	-3830	\$ -	\$ -
d. Mathematical sciences (total)	\$ 3740	\$ 225	\$ -	\$ 225	3840	\$ 183	\$ 183
(1) Mathematics (exclude computer sciences)	\$ 3741	\$ 36	\$ -	\$ 36	3841	\$ 5	\$ 5
(2) Computer sciences	\$ 3742	\$ 189	\$ -	\$ 189	3842	\$ 178	\$ 178
e. Life sciences (total)	\$ 3750	\$ 15,245	\$ 15,202	\$ 3850	\$ 8,500	\$ 8,457	\$ 8,457
(1) Biological (include agricultural sciences)	\$ 3751	\$ 2,740	\$ 2,697	\$ 3851	\$ 1,396	\$ 1,353	\$ 1,353
(2) Clinical medical	\$ 3752	\$ 7,172	\$ 7,177	\$ 3852	\$ 4,807	\$ 4,807	\$ 4,807
(3) Other life sciences, NEC	\$ 3753	\$ 5,328	\$ 5,328	\$ 3853	\$ 2,297	\$ 2,297	\$ 2,297
f. Psychology (total)	\$ 3760	\$ 6,458	\$ 931	\$ 3860	\$ 3,127	\$ 546	\$ 546
g. Social sciences (total)	\$ 3770	\$ 3,942	\$ 2,557	\$ 3870	\$ 693	\$ 478	\$ 478
(1) Economics	\$ 3771	\$ -	\$ -	\$ 3871	\$ -	\$ -	\$ -
(2) Political science	\$ 3772	\$ -	\$ -	\$ 3872	\$ -	\$ -	\$ -
(3) Sociology	\$ 3773	\$ 1,221	\$ 68	\$ 3873	\$ 46	\$ -	\$ -
(4) Other social sciences, NEC	\$ 3774	\$ 2,721	\$ 2,489	\$ 3874	\$ 647	\$ 478	\$ 478
h. Other sciences, NEC (total)	\$ 3780	\$ -	\$ -	\$ 3880	\$ -	\$ -	\$ -
i. Total (sum of a to h)	\$ 3700	\$ 26,017	\$ 19,041	\$ 3800	\$ 12,518	\$ 9,679	\$ 9,679

REMARKS: *If additional space is needed, attach an extra page.* Please compare your 1973 data with your response for 1969 and explain any significant changes from prior years. Where possible indicate any required adjustments in data for prior years. Describe briefly the types of research projects carried out by your organization in 1973. If you prefer, attach a statement or a report of your organization that contains such information.

Name of person who prepared manpower section	Title and Telephone	
Name of person who prepared financial section (if different from above)	Title and Telephone	
NAME OF INSTITUTION	Date	ADDRESS (number, street, city, State ZIP Code)

NATIONAL SCIENCE FOUNDATION  
Washington, D.C. 20550

## Instructions for Survey of R&D Activities of Independent Nonprofit Institutions, 1973

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### GENERAL INSTRUCTIONS

The National Science Foundation, an independent agency of the Federal Government, requests your cooperation in completing the attached questionnaire covering the manpower and financial data of your organization as they relate to science and engineering. The purpose of this survey is to obtain statistical data on the resources devoted to scientific and engineering activities by nonprofit organizations. The information obtained will assist the National Science Foundation in fulfilling its responsibility for the support of research and education in the sciences and engineering and in the formulation of recommendations on national science policy.

Where no specific records exist for statistical data requested in the form, reasonable estimates are acceptable. Please report for the entire organization including any unincorporated branches, divisions and departments. If separate offices and facilities are maintained in the United States in addition to those at the address to which the survey material

was mailed, please indicate the name and address of each of these facilities in the remarks section or on an attached sheet. Enter 0 as an item total rather than leave an entire item blank.

This survey is generally comparable to that conducted by this office in 1970, covering financial expenditures for fiscal year 1969 and personnel as of January 1970.

The financial section covers the fiscal year beginning on July 1, 1972, and ending June 30, 1973, or your institution's equivalent fiscal year ending in 1973. The personnel section covers manpower as of mid-October, 1973.

If you have any questions regarding information requested on this form or if you need additional forms, write or telephone Mr. J. G. Huckenpahler at the Universities and Nonprofit Institutions Studies Group (202 282 7790), National Science Foundation, 1800 "G" Street, N.W., Washington, D.C. 20550.

Before returning this questionnaire, please compare the figures with those submitted in 1970 (a copy of your institution's 1970 response will be mailed to you on request) and where the new figures differ significantly from those reported in the previous survey, indicate the reasons for the difference in the space provided for "remarks." Also, please classify your institution according to the one category which fits it most closely.

For National Science Foundation purposes, the types of institution are defined as follows:

1. **Research Institute.** A separately incorporated, independent nonprofit organization operating under the direction of its own controlling body, the primary function of which is the performance of research and development in the sciences and engineering.

2. **Federally Funded Research and Development Center (FFRDC).** An R&D organization that was established to meet the particular research needs of a Federal agency. As defined by the Federal Council for Science and Technology, an FFRDC possesses the following principal characteristics:

- a) Its primary activities include basic research, applied research, development, or R&D management;
- b) it is organized as a separate operational unit and expected to have a long-term relationship (about 5 years or more) with its sponsoring agency, as evidenced by specific obligations assumed by it and the agency;
- c) it conducts R&D work upon the direct request of, or under a broad charter from, the sponsoring Federal agency;
- d) it receives at least 70 percent of its financial support from the Federal Government;
- e) it has an average annual budget of at least \$500,000; and
- f) most or all of its facilities are owned or are funded for in the contract with the Federal Government

Included in the nonprofit survey are the following FFRDC's:

- Institute for Defense Analyses (IDA)
- Aerospace Corporation
- Analytic Services, Inc. (ANSER)
- MITRE Corporation
- RAND Corporation
- Atomic Bomb Casualty Commission (National Academy of Sciences)
- Pacific Northwest Laboratory (Battelle Memorial Institute)

SCIENCE FOUNDATION  
Washington, D.C. 20550

## Survey of R&D Activities of Nonprofit Institutions, 1973

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### ALL INSTRUCTIONS

end tests data and obtain information in Nationalization in Nation  
questions and facilities in the financial section or on an attached sheet. Enter "0" as an item total rather than leave an entire item blank.

This survey is generally comparable to that conducted by this office in 1970, covering financial expenditures for fiscal year 1969 and personnel as of January 1970.

The financial section covers the fiscal year beginning on July 1, 1972, and ending June 30, 1973, or your institution's equivalent fiscal year ending in 1973. The personnel section covers manpower as of mid-October, 1973.

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Before returning this questionnaire, please compare the figures with those submitted in 1970 (a copy of your institution's 1970 response will be mailed to you on request) and where the new figures differ significantly from those reported in the previous survey, indicate the reasons for the difference in the space provided for "remarks." Also, please classify your institution according to the one category which fits it most closely.

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- it is organized as a separate operational unit and expected to have a long-term relationship (about 5 years or more) with its sponsoring agency, as evidenced by specific obligations assumed by it and the agency;
- it conducts R&D work upon the direct request of, or under a broad charter from, the sponsoring Federal agency;
- it receives at least 70 percent of its financial support from the Federal Government;
- it has an average annual budget of at least \$500,000; and
- most or all of its facilities are owned or are funded for in the contract with the Federal Government.

Included in the nonprofit survey are the following FFRDC's:

Institute for Defense Analyses (IDA)  
Aerospace Corporation  
Analytic Services, Inc. (ANSER)  
MITRE Corporation  
RAND Corporation  
Atomic Bomb Casualty Commission (National Academy of Sciences)  
Pacific Northwest Laboratory (Battelle Memorial Institute)

3. **Voluntary hospital.** A member of the American Hospital Association not subject to the control of either Federal, State, or local governments, nor an integral part of any institution of higher education. Hospitals which have been set up by research institutes and which, while providing patient care, function primarily as laboratories for the research institutes, should be included in the "Research Institutes" category (#1).

4. **Professional or technical society, or academy of science.** A voluntary association of individuals sharing a common interest in the advancement of knowledge, either within a single field or across a broad spectrum of disciplines. The major function of these organizations is to aid and encourage the collection, collation, and dissemination of scientific knowledge for the benefit of their members and the scientific community as a whole.

5. **Private foundation.** A nongovernmental, nonprofit organization having a principal fund of its own, managed by its own trustees or directors, and established to maintain or to aid social, educational, charitable, religious, or other activities serving the common welfare. This organizational type includes operating foundations, which allocate the greater proportion of their R&D budgets to intramural performance, and philanthropic foundations, which allocate most of their funds to grants and contracts for research to be performed extramurally.

6. **Science exhibitor.** A nonprofit organization which has as its primary goal the expansion of scientific literacy within its community by providing exhibits that display and interpret the latest scientific findings within its field or fields. Included in this category are museums, zoological parks, botanical gardens, and arboreta.

7. **Trade association.** An organization of business competitors in a specific industry or business, primarily interested in the commercial promotion of products or services. Membership is usually held in the name of a business entity. Its activities may fall into one or more of the following areas: business ethics, management practices, standardization, commercial (i.e., statistical) research, publication, promotion, and public relations.

8. **Agricultural cooperative.** An organization of individuals or business entities nominally competitors in the production and sale of agricultural products. Its activities may include one or more of the following areas: collective marketing or purchasing, research, public relations, and the improvement of the economic condition of the farm population of the United States.

## Definition of Research and Development

**Research and development** includes basic and applied research in the sciences and in engineering, and design and development of prototypes and processes.

Included in this definition is the preparation for publication of books and papers describing the results of the specific research and development, if carried out as an integral part of that research and development. Also included is the administration of research and development.

**Research** is a systematic, intensive study directed toward fuller knowledge of the subject studied. Research may be either basic or applied.

**Basic research** is directed toward an increase of knowledge; it is research where the primary aim of the investigator is a fuller knowledge or understanding of the subject under study rather than a practical application thereof.

**Applied research** is directed toward the practical application of knowledge. The definition of applied research differs from the definition of basic research chiefly in terms of the objectives of the investigator.

**Development** is the systematic use of knowledge directed toward the design and production of useful prototypes, materials, devices, systems, methods, or processes. It does not include quality control or routine product testing.

## Classification of Fields

Listed below are the fields of science and engineering that are to be used in classifying employment (items 2 and 3) and R&D expenditures (item 7). Classify persons employed in interdisciplinary or multidisciplinary fields in the particular field in which their activities are most closely identified. However, R&D expenditures in interdisciplinary or multidisciplinary fields should be classified in "Other Sciences, N.E.C.," as indicated below.

### ENGINEERING

#### AERONAUTICAL

Aerodynamics

#### ASTRONAUTICAL

Aerospace, space technology

#### CHEMICAL

Petroleum, petroleum refining, process

#### CIVIL

Architectural, hydraulic, hydrologic, marine, sanitary and environmental, structural, transportation

#### ELECTRICAL

Communication, electronic, power

### MECHANICAL

Engineering mechanics

### METALLURGY AND MATERIALS

Ceramic, mining, textile, welding

### OTHER ENGINEERING NEC\*

Agricultural, industrial and management, nuclear, ocean engineering systems

### PHYSICAL SCIENCES

#### ASTRONOMY

Laboratory astrophysics, optical astronomy, radio astronomy, theoretical astrophysics, X-ray, gamma-ray, neutrino astronomy

#### CHEMISTRY

Inorganic, organo-metallic, organic, physical

#### PHYSICS

Acoustics, atomic and molecular, condensed matter, elementary particles, nuclear structure, optics, plasma

#### OTHER PHYSICAL SCIENCES, NEC\*

### ENVIRONMENTAL SCIENCES (Terrestrial and Extraterrestrial)

### ATMOSPHERIC SCIENCES

Aeronomy, solar, weather modification, extra-terrestrial atmospheres, meteorology

### GEOLOGICAL SCIENCES

Engineering geophysics, general geology, geodesy and gravity, geomagnetism, hydrology, inorganic geochemistry, isotopic geochemistry, organic geochemistry, lab geophysics, paleomagnetism, paleontology, physical geography and cartography, seismology, soil sciences

### OCEANOGRAPHY

Chemical oceanography, geological oceanography, physical oceanography, marine geophysics

### MATHEMATICAL SCIENCES

#### MATHEMATICS

Algebra, analysis, applied mathematics, foundations and logic, geometry, numerical analysis, statistics, topology

#### COMPUTER SCIENCE

Design, development, and application of computer capabilities to data storage and manipulation, computer and information sciences (general), information sciences and systems, data processing, computer programming, systems analysis

### LIFE SCIENCES

#### BIOLOGICAL

Agricultural sciences, anatomy, animal sciences, bacteriology, biochemistry, biogeography, biological oceanography, biophysics, ecology, embryology, entomology, evolutionary biology, genetics, immunology, microbiology, nutrition and metabolism, parasitology, pathology, pharmacology, physical anthropology, physiology, plant sciences, radiobiology, systematics

#### CLINICAL MEDICAL

Internal medicine, neurology, ophthalmology, preventive medicine, and public health, psychiatry, radiology, surgery, veterinary medicine, dentistry, physical medicine and rehabilitation, pharmacy, podiatry

#### OTHER LIFE SCIENCES, NEC\*

### PSYCHOLOGY

#### BIOLOGICAL ASPECTS

Experimental psychology, animal behavior, clinical psychology, comparative psychology, ethology

#### SOCIAL ASPECTS

Social psychology, educational, personnel, vocational psychology and testing, industrial and engineering psychology, development and personality

#### OTHER PSYCHOLOGICAL SCIENCES, NEC\*

### SOCIAL SCIENCES

#### ECONOMICS

Econometrics and economic statistics, history of economics, thought, international economics, industrial, labor and agricultural economics, macroeconomics, microeconomics, public finance and fiscal policy theory, economic systems and development

#### POLITICAL SCIENCE

Area or regional studies, comparative government, history of political ideas, international relations and law, national political and legal systems, political theory, public administration

#### SOCIOLOGY

Comparative and historical, complex organizations, culture and social structure, demography, group interactions, social problems and social welfare, sociological theory

#### OTHER SOCIAL SCIENCES, NEC\*

Cultural anthropology, history, linguistics, socio-economic geography and research in education

#### OTHER SCIENCES, NEC\*

To be used only when multidisciplinary and interdisciplinary aspects make it impossible to classify the project or employment under one primary field. Do not include nonscience activities such as English or music because these activities are outside the scope of the survey

\*NOT ELSEWHERE CLASSIFIED—Used for multidisciplinary projects within the primary field and for single discipline projects not requested separately. Note disciplines in "Remarks" section

## Medical and Health-Related Research and Development

These activities comprise a broad area of scientific inquiry aimed ultimately at the improvement of human health and conquest of disease. It draws upon all fields of science—life, physical, engineering, psychological, and social—and many disciplines within each field. Within this broader context, medical and health-related research and development is defined by the National Institutes of Health as all systematic study directed toward the development and use of scientific knowledge through fundamental research in the laboratory, clinical investigations, clinical trials, epidemiological, engineering, and demographic studies; and con-

**MECHANICAL**  
Engineering mechanics  
**METALLURGY AND MATERIALS**  
Ceramic, mining, textile, welding  
**OTHER ENGINEERING, NEC\***  
Agricultural, industrial and management, nuclear, ocean engineering systems

**PHYSICAL SCIENCES**  
**ASTRONOMY**  
Laboratory astrophysics, optical astronomy, radio astronomy, theoretical astrophysics, X-ray, gamma-ray, neutrino astronomy  
**CHEMISTRY**  
Inorganic, organo-metallic, organic, physical  
**PHYSICS**  
Acoustics, atomic and molecular, condensed matter, elementary particles, nuclear structure, optics, plasma  
**OTHER PHYSICAL SCIENCES, NEC\***

**ENVIRONMENTAL SCIENCES (Terrestrial and Extraterrestrial)**

**ATMOSPHERIC SCIENCES**  
Aeronomy, solar, weather modification, extra-terrestrial atmospheres, meteorology  
**GEOLOGICAL SCIENCES**  
Engineering geophysics, general geology, geodesy and gravity, geomagnetism, hydrology, inorganic geochemistry, isotopic geochemistry, organic geochemistry, lab geophysics, paleomagnetism, paleontology, physical geography and cartography, seismology, soil sciences  
**OCEANOGRAPHY**  
Chemical oceanography, geological oceanography, physical oceanography, marine geophysics

**MATHEMATICAL SCIENCES**

**MATHEMATICS**  
Algebra, analysis, applied mathematics, foundations and logic, geometry, numerical analysis, statistics, topology  
**COMPUTER SCIENCE**  
Design, development, and application of computer capabilities to data storage and manipulation; computer and information sciences (general); information sciences and systems, data processing, computer programming, systems analysis

**LIFE SCIENCES**  
**BIOLOGICAL**  
Agricultural sciences, anatomy, animal sciences, bacteriology, biochemistry, biogeography, biological oceanography, biophysics, ecology, embryology, entomology, evolutionary biology, genetics, immunology, microbiology, nutrition and metabolism, parasitology, pathology, pharmacology, physical anthropology, physiology, plant sciences, radiobiology, systematics  
**CLINICAL MEDICAL**  
Internal medicine, neurology, ophthalmology, preventive medicine and public health, psychiatry, radiology, surgery, veterinary medicine, dentistry, physical medicine and rehabilitation, pharmacy, podiatry  
**OTHER LIFE SCIENCES, NEC\***

**PSYCHOLOGY**  
**BIOLOGICAL ASPECTS**  
Experimental psychology, animal behavior, clinical psychology, comparative psychology, ethology  
**SOCIAL ASPECTS**  
Social psychology, educational, personnel, vocational psychology and testing, industrial and engineering psychology, development and personality  
**OTHER PSYCHOLOGICAL SCIENCES, NEC\***

**SOCIAL SCIENCES**  
**ECONOMICS**  
Econometrics and economic statistics, history of economics, thought, international economics, industrial, labor and agricultural economics, macroeconomics, microeconomics, public finance and fiscal policy, theory: economic systems and development  
**POLITICAL SCIENCE**  
Area or regional studies, comparative government, history of political ideas, international relations and law, national, political and legal systems, political theory, public administration  
**SOCIOLOGY**  
Comparative and historical, complex organizations, culture and social structure, demography, group interactions, social problems and social welfare, sociological theory  
**OTHER SOCIAL SCIENCES, NEC\***  
Cultural anthropology, history, linguistics, socio-economic geography, and research in education

**OTHER SCIENCES, NEC\***  
To be used only when multidisciplinary and interdisciplinary aspects make it impossible to classify the project or employment under one primary field. Do not include nonscience activities such as English or music because these activities are outside the scope of the survey.

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#### Medical and Health-Related Research and Development

These activities comprise a broad area of scientific inquiry aimed ultimately at the improvement of human health and conquest of disease. It draws upon all fields of science—life, physical, engineering, psychological, and social—and many disciplines within each field. Within this broader context, medical and health-related research and development is defined by the National Institutes of Health as all systematic study directed toward the development and use of scientific knowledge through fundamental research in the laboratory, clinical investigations, clinical trials, epidemiological, engineering, and demographic studies, and con-

trolled pilot projects in the following areas:

1. Research
  - a) The causes, diagnosis, treatment, control, prevention of, and rehabilitation relating to the physical and mental diseases and other killing and crippling impairments of mankind.
  - b) The biomedical aspects of research directed at maintaining human effectiveness in normal and stressful environments.
  - c) The origin, nature, and solution of health problems not identifiable in terms of disease entities, such as research in problems of mental health and human development (including child development); alcoholism, drug addiction, sexual deviancy; accident prevention; air, water, and noise pollution.
  - d) Broad fields of science where the research is undertaken to obtain an understanding of processes affecting disease and human well-being.
  - e) Research in nutritional and population problems impairing, contributing to, or otherwise affecting optimum health.
  - f) Research concerning all aspects of the organization and delivery of health services.
2. Development
  - The development of improved methods, techniques, and equipment for: research, diagnosis, therapy, rehabilitation, and promotion of public health.

Where existing records do not readily provide the information requested for medical and health-related research and development please furnish your best estimates as to general orders of magnitude. At least on a minimum basis, these estimates may be derived from the sources of funds supporting the research; it may be presumed that research is medical and health-related when funds are provided from the following sources: pharmaceutical companies, medical supply companies, voluntary health agencies, State and local government health departments, certain Federal agencies, viz., U.S. Public Health Service (including NIH), Children's Bureau, Food and Drug Administration, Vocational Rehabilitation Administration, Department of Defense (Office of the Surgeon General of the Army, of the Air Force, and Bureau of Medicine and Surgery of the Navy), Atomic Energy Commission (Division of Biology and Medicine), National Aeronautics and Space Administration (Aerospace Medicine Division), and Veterans Administration.

## PART I—PERSONNEL DATA

(Includes Items 1 to 3 of the survey questionnaire)

**Item 1—Total Employment.** Report of the number of persons employed directly by your organization on a full- and part-time basis in all activities in the United States and in foreign countries during the mid-October pay period (the payroll period containing October 12, 1973). Do not include contributed services.

**1a. Scientists and Engineers.** Scientists and engineers for this survey are defined as all persons engaged in scientific or engineering work at a level which requires a knowledge equivalent at least to that acquired through completion of a 4-year college course with a major in one of the following fields, regardless of whether they hold a college degree in the field: physical, life, or social sciences; engineering, mathematics, or psychology.

In column (1) report total number of such persons employed full- and part-time by your organization in October 1973. Include all scientific and engineering personnel including all persons engaged in administrative and management activities requiring a scientific or engineering background. Include as scientists only those physicians, dentists, public health specialists, pharmacists, etc., who spend the greatest proportion of their time in clinical investigation or other R&D activities. Exclude all medical practitioners who spend the greatest proportion of their time providing patient care, dispensing drugs or services, or in diagnosis, etc. Exclude persons trained in science or engineering but currently employed in positions not requiring such training. The reporting institution is requested to use its own definition of what constitutes full- and part-time employment in columns (2) and (3).

**Items 1a(1) and 1a(2).** The functional classification of professional personnel into research and development or other activities should be based on the function in which the person is primarily employed at the institution. For example, a person engaged in both research and development and other activities should be classified in the function in which he spends the greater portion of his time.

Under other activities 1a(2), report professional personnel not primarily employed in research and development as defined above. Examples of such activities are demonstration work, education, and dissemination of scientific information.

**b. Technicians.** Include all persons employed in positions which involve technical work at a level requiring knowledge of engineering, mathematics, physical science, life science, psychology, or social science comparable to that acquired through formal post-high school training (less than a bachelor's degree), such as that obtained at technical institutes and junior colleges or through equivalent on-the-job training or experience. Some typical job titles include laboratory technician or assistant, physical science aide, engineering aide, statistical aide, draftsman and computer programmer. Exclude craftsmen such as electricians, carpenters, machinists, etc.

**c. Other Employees.** Include all other persons employed by your organization except those already listed in 1a and 1b. Medical practitioners and other health-professional personnel who spend the greater portion of their time providing patient care, dispensing drugs or services or in diagnosis, etc., should be included in the category.

**Item 2—Scientists and Engineers.** Report scientists and engineers in the field in which they are primarily employed by the institution and by highest earned degree, January 1970 (see Classification of Fields, page 3). Personnel engaged in administration or community service should be classified in the field most closely related to their present employment at the institution.

For the purposes of this survey, earned degrees are classified in four categories as defined below:

(1) Ph.D. or Sc.D. degrees include all such earned degrees. Individuals holding both the Ph.D. (or Sc.D.) degree and a first-professional degree, such as the M.D., should be included.

(2) Include individuals whose highest earned degrees are first-professional medical degrees that indicate the completion of the academic requirements based on programs that require at least 2 academic years of previous college work for entrance and require a total of at least 6 academic years of college work for completion. Specifically, include in column 3 first-professional degrees in Medicine (M.D.), Dentistry (D.D.S. or D.M.D.), Veterinary Medicine (D.V.M.), Chiroprropy or Podiatry (D.S.C. or D.P.), Optometry (O.D.), and

Osteopathy (D.O.). Individuals holding both the Ph.D. (or Sc.D.) degree and a first-professional degree, such as the M.D., should be included in line (1) as mentioned in (1) above.

(3) For the purposes of this survey, report all individuals with master's degrees (second-level degrees above the bachelor's degree and below the Ph.D.), with the exception of those who also hold medical doctorates as described below. A person with an M.D., D.D.S., and other first-professional medical doctorate requiring at least 6 academic years of college work for completion should be reported in line (2), even if he also holds a master's degree in the arts or sciences or a second-level professional degree (e.g., Master in Surgery or Master of Science in Dentistry).

(4) Report all individuals whose highest earned degree is the bachelor's degree or a 4- or 5-year first-professional degree, or who have the equivalent in experience, even if they have not earned such a degree.

## PERSONNEL DATA

Line 1 to 3 of the survey questionnaire.

1b **Technicians**—Include all persons employed in positions which involve technical work at a level requiring knowledge of engineering, mathematics, physical science, life science, psychology, or social science comparable to that acquired through formal post-high school training (less than a bachelor's degree), such as that obtained at technical institutes and junior colleges or through equivalent on-the-job training or experience. Some typical job titles include laboratory technician or assistant, physical science aide, engineering aide, statistical aide, draftsman and computer programmer. Exclude craftsmen such as electricians, carpenters, machinists, etc.

1c. **Other Employees**—Include all other persons employed by your organization except those already listed in 1a and 1b. Medical practitioners and other health-professional personnel who spend the greater portion of their time providing patient care, dispensing drugs or services or in diagnosis, etc., should be included in the category.

**Item 2—Scientists and Engineers.** Report scientists and engineers in the field in which they are primarily employed by the institution and by highest earned degree, January 1970 (see *Classification of Fields*, page 3). Personnel engaged in administration or community service should be classified in the field most closely related to their present employment at the institution.

For the purposes of this survey, earned degrees are classified in four categories as defined below:

(1) Ph.D. or Sc.D. degrees include all such earned degrees. Individuals holding both the Ph.D. (or Sc.D.) degree and a first professional degree, such as the M.D., should be included.

(2) Include individuals whose highest earned degrees are first-professional medical degrees that indicate the completion of the academic requirements based on programs that require at least 2 academic years of previous college work for entrance and require a total of at least 6 academic years of college work for completion. Specifically, include in column 3 first-professional degrees in Medicine (M.D.), Dentistry (D.D.S. or D.M.D.), Veterinary Medicine (D.V.M.), Chiropractic or Podiatry (D.S.C. or D.P.), Optometry (O.D.), and

Osteopathy (D.O.). Individuals holding both the Ph.D. (or Sc.D.) degree and a first professional degree, such as the M.D., should be included in line (1) as mentioned in (1) above.

(3) For the purposes of this survey, report all individuals with master's degrees (second-level degrees above the bachelor's degree and below the Ph.D.), with the exception of those who also hold medical doctorates as described below. A person with an M.D., D.D.S., and other first-professional medical doctorate requiring at least 6 academic years of college work for completion should be reported in line (2), even if he also holds a master's degree in the arts or sciences or a second-level professional degree (e.g., Master in Surgery or Master of Science in Dentistry).

(4) Report all individuals whose highest earned degree is the bachelor's degree or a 4- or 5-year first-professional degree, or who have the equivalent in experience, even if they have not earned such a degree.

In column 2, report the number of scientists and engineers primarily engaged in medical and health related research and development. In column 3, report those scientists and engineers primarily engaged in other types of research and development. In column 4, report scientists and engineers whose primary function is in other scientific and engineering activities. Include such activities as information, administration, etc., but exclude patient care, diagnosis, or dispensing drugs and services. Personnel primarily engaged in these activities should be included in item 1c, as noted above.

**Item 3—Technicians.** Report technicians by field and function in which primarily employed, October 1973. See instructions in 1b above.

Note that the amount shown in item 1a, column 1, should be the same as that in 2b, column 1, and the amount in 1b, column 1, should be the same as that in 3, column 1.

## PART II—FINANCIAL DATA

(Includes Items 4 to 7 of the survey questionnaire)

Note: The dollar amounts reported on this form should reflect actual expenditures for the year. All financial data reported should be reported in thousands of dollars. For example, an expenditure of \$25,250 should be reported in the appropriate column as \$25.

This survey is generally comparable to that conducted by this office in 1970, covering financial expenditures for fiscal year 1969. Where data reported in the current survey differ significantly from those reported in the previous survey, please indicate the reasons for the difference in the space provided for "Remarks" at the end of the questionnaire. Copies of your institution's earlier responses are available upon request.

The financial survey covers the fiscal year beginning on July 1, 1972, and ending June 30, 1973, or your institution's equivalent fiscal year ending in 1973.

Your cooperation in returning the questionnaire by January 31, 1974, will be greatly appreciated.

Information on some items may not be available from records normally maintained by your institution. Reasonable estimates for such items will be satisfactory. Where it is not possible to identify expenditures for the year, revenues may be substituted. Enter "O" as an item total (lines 4100, 4300, 4400, etc., are item totals) rather than leave the total blank.

If you have any questions regarding information requested on this form or if you need additional forms, write or telephone Mr. J. G. Hockenpahler at the Universities and Nonprofit Institutions Studies Group (202-282-7790), National Science Foundation, 1800 "G" Street, N.W., Washington, D.C. 20550.

**Item 4—Total Expenditures.** Report all expenditures of your organization during the 1973 accounting period. These include all expenditures for current operations and administration of the organization; buildings and equipment; and all gifts, grants, contracts, scholarships, etc., made to outside organizations and individuals in the United States and foreign countries, and the administrative and operating expenses associated with such disbursements.

**4a. Current R&D Expenditures.**—Include all direct and indirect operating costs incurred for intramural R&D performance. The major relevant costs usually include wages and salaries of all supporting

personnel such as technicians, secretaries and other personnel, costs of administration, costs of materials and supplies consumed, service and supporting costs, depreciation, and shares of other overhead expenses. Include the cost of research and development performed by scientists and engineers directly employed by your organization, whether done in the United States or abroad. If your organization performed research and development for others on contract, include the total charged for the work performed in the year covered by the survey. Exclude R&D contracts subcontracted by your organization to be performed by other organizations. Also, exclude the gathering of general-purpose data, activities concerned primarily with the dissemination of scientific information.

**4b Capital R&D Expenditures.**—Report all capital expenditures during the year covered by the survey for building, fixtures, and depreciable equipment used in research and development performed within your organization. Include only costs which are normally chargeable to fixed asset accounts for which depreciation accounts are ordinarily maintained, include major alterations, capitalized repairs and improvements, include expenditures made during the year for establishments under construction but not yet in operation. Do not include capital expenditures made by owners of property rented or leased by you, including the Federal Government. Exclude cost of land and cost of maintenance and repair charged as current operating expense. Also exclude costs of government-owned structures or equipment.

**4c. All Other Expenditures.**—Include all other expenditures by your organization except those already listed in 4a and 4b. Include in this category extramural R&D expenditures.

In column 2, please indicate the amounts allocated to medical and health-related purposes. Where exact figures are not available, please make estimates.

**Item 5—Current Expenditures for Research and Development, by Source of Funds, 1973.** Source of funds refers to immediate sources rather than ultimate sources of funds concerned. For example, funds received by your institution from a foundation should be reported under that source, even if industry was the original source of some or all of the foundation's funds.

Under **Federal Government** (item 5a) include grants and contracts earmarked for research and development by all agencies of the Federal Government. Exclude R&D contracts subcontracted by your institution to be performed by other organizations.

Under **State government** (item 5b) include funds designated for R&D by the State government and its agencies.

Under **Local government** (item 5c) include funds designated for R&D by county, municipal, or other local governments and their agencies.

Under **Foundations and voluntary health agencies** (item 5d) include grants specified for research and development. Funds from foundations which are affiliated with or grant solely to your institution should be included under **Institution's own funds** (item 5f). Funds specifically designated for R&D and derived from a health agency that is a unit of a State or local government should be reported under **State or local government**. Funds from professional societies such as the American Medical Association and the American Dental Association should be reported under **Other sources** (item 5g).

Under **Industry** (including trade associations) (item 5e) include all grants and contracts allocated to R&D by profitmaking organizations, whether engaged in production, distribution, research, service or other activities. Do not include grants and contracts from nonprofit foundations financed by industry, which should be reported under **Foundations**.

Under **Institution's own funds** (item 5f) include earnings from investments, disbursements from capital, membership dues and assessments, liquidation of assets, unrestricted contributions and gifts from private individuals, and earnings from miscellaneous sources such as publication sales, admissions, advertising, etc.

Under **Other sources** (item 5g) report any additional funds received from outside sources other than those already noted, and which were earmarked for R&D by the source. Examples include gifts, grants, or contracts received from private individuals or professional societies, and designated for R&D by them.

In column 2, report the amount from each source which was allocated to medical and health-related

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## FINANCIAL DATA

(See survey questionnaires)

personnel such as technicians, secretaries and other personnel, costs of administration, costs of materials and supplies consumed, service and supporting costs, depreciation, and shares of other overhead expenses. Include the cost of research and development performed by scientists and engineers directly employed by your organization, whether done in the United States or abroad. If your organization performed research and development for others on contract, include the total charged for the work performed in the year covered by the survey. Exclude R&D contracts subcontracted by your organization to be performed by other organizations. Also, exclude the gathering of general-purpose data, activities concerned primarily with the dissemination of scientific information.

4b Capital R&D Expenditures—Report all capital expenditures during the year covered by the survey for building, fixtures, and depreciable equipment used in research and development performed within your organization. Include only costs which are normally chargeable to fixed asset accounts for which depreciation accounts are ordinarily maintained, include major alterations, capitalized repairs and improvements, include expenditures made during the year for establishments under construction but not yet in operation. Do not include capital expenditures made by owners of property rented or leased by you, including the Federal Government. Exclude cost of land and cost of maintenance and repair charged as current operating expense. Also exclude costs of government-owned structures of equipment.

4c. All Other Expenditures—Include all other expenditures by your organization except those already listed in 4a and 4b. Include in this category extramural R&D expenditures.

In column 2, please indicate the amounts allocated to medical and health-related purposes. Where exact figures are not available, please make estimates.

Item 5—Current Expenditures for Research and Development, by Source of Funds, 1973. Source of funds refers to immediate sources rather than ultimate sources of funds concerned. For example, funds received by your institution from a foundation should be reported under that source, even if industry was the original source of some or all of the foundation's funds.

Under Federal Government (item 5a) include grants and contracts earmarked for research and development by all agencies of the Federal Government. Exclude R&D contracts subcontracted by your institution to be performed by other organizations.

Under State government (item 5b) include funds designated for R&D by the State government and its agencies.

Under Local government (item 5c) include funds designated for R&D by county, municipal, or other local governments and their agencies.

Under Foundations and voluntary health agencies (item 5d) include grants specified for research and development. Funds from foundations which are affiliated with or grant solely to your institution should be included under Institution's own funds (item 5f). Funds specifically designated for R&D and derived from a health agency that is a unit of a State or local government should be reported under State or local government. Funds from professional societies such as the American Medical Association and the American Dental Association should be reported under Other sources (item 5g).

Under Industry (including trade associations) (item 5e) include all grants and contracts allocated to R&D by profitmaking organizations, whether engaged in production, distribution, research, service, or other activities. Do not include grants and contracts from nonprofit foundations financed by industry, which should be reported under Foundations.

Under Institution's own funds (item 5f) include earnings from investments, disbursements from capital, membership dues and assessments, liquidation of assets, unrestricted contributions and gifts from private individuals, and earnings from miscellaneous sources such as publication sales, admissions, advertising, etc..

Under Other sources (item 5g) report any additional funds received from outside sources other than those already noted, and which were earmarked for R&D by the source. Examples include gifts, grants, or contracts received from private individuals or professional societies, and designated for R&D by them.

In column 2 report the amount from each source which was allocated to medical and health related

research and development (see page 4 of these instructions for definition). The total in each column of item 5h should equal the figures reported in 1a.

Item 6.—Total and Federally Financed Current Expenditures for Intramural Research and Development, by Type of R&D Activity, 1972-73.

Types of R&D activity for which separate data are requested (basic research, applied research, and development) are defined on page 3 of the instructions. It is recognized that your records may not yield exact figures on amounts expended for each of the three categories. Therefore, percentage estimates of the breakdown will be satisfactory. The 100 percent in item 6d refers to the total and federally funded R&D expenditures reported in 5h and 5a.

Item 7.—Total and Federally Financed Current Expenditures for Intramural Research and Development, by Field of Science, 1972-73. Include indirect costs.

In column 1, include all current expenditures for total separately budgeted R&D, by field of science as shown on page 3, whether such expenditures derive from outside sources or your institution's own funds, and whether from contracts, grants, gifts, endowments (income or principal), State and local government appropriations, or other sources, provided the funds were separately budgeted for R&D and were expended in the fiscal year 1972-73. Also include any indirect costs reimbursed or reimbursable by outside sponsors of R&D projects. Report expenditures by field of science in accordance with Classification of Fields on pages 3 and 4.

In column 2, distribute the medical and health-related R&D expenditures reported in item 5h, column 2, by field of science.

In column 3, classify total separately budgeted research and development financed by the Federal Government, by field of science.

Totals in item 7i (columns 1 and 3) should equal 5h and 5a.

In column 4, distribute the federally financed medical and health-related R&D expenditures, reported in item 5a, column 2, by field of science.

## **APPENDIX D**

### **List of Federally Funded Research and Development Centers Administered by Nonprofit Organizations**

#### **Department of Defense**

Institute for Defense Analyses  
Aerospace Corporation  
Analytic Services, Inc.  
MITRE Corporation  
RAND Corporation

#### **Atomic Energy Commission**

Atomic Bomb Casualty Commission  
Battelle Memorial Laboratories, Pacific Northwest Division

## Other Science Resources Publications

### REPORTS

- Expenditures for Scientific and Engineering Activities at Universities and Colleges, Fiscal Year 1973 .....
- Research and Development in Industry, 1972 .....
- Characteristics of Doctoral Scientists and Engineers in the United States, 1973 .....
- The 1972 Scientist and Engineer Population Redefined: Vol. I, Demographic, Educational, and Professional Characteristics .....
- Reviews of Data on Science Resources, No. 23, "R&D Expenditures of State Public Institutions, Fiscal Year 1973" .....
- Work Activities of Employed Doctoral Scientists and Engineers in the U.S. Labor Force, July 1973 .....
- Research and Development in State Government Agencies, Fiscal Years 1972 and 1973 .....
- Young and Senior Science and Engineering Faculty, 1974: Support, Research Participation, and Tenure .....
- Projections of Science and Engineering Doctorate Supply and Utilization, 1980 and 1985 .....
- Graduate Science Education: Student Support and Postdoctorals, Fall 1973 .....
- Detailed Statistical Tables. Graduate Science Education: Student Support and Postdoctorals, Fall 1973 .....
- Reviews of Data on Science Resources, No. 22, "The Federal Role in the Support of Graduate Science and Engineering Education" .....

### NSF Number

### Price

75-315	In press
75-314	In press
75-312	In press
75-313	In press
75-311	\$0.35
75-310	In press
75-303	\$1.80
75-302	\$1.70
75-301	\$1.30
74-318	In press
74-318-A	---
74-317	\$0.25

- An Analysis of Federal R&D Funding by Function, Fiscal Years 1969-1975 .....
- Immigrant Scientists and Engineers in the United States: A Study of Characteristics and Attitudes .....
- Scientific Human Resources: Profiles and Issues .....
- Papers and Proceedings of a Colloquium on Research and Development and Economic Growth/Productivity .....

### HIGHLIGHTS

- "National Sample of Scientists and Engineers: Changes in Employment, 1970-72 and 1972-74" .....
- "The 1972 Scientist and Engineer Population Redefined" .....
- "Employment of Life Scientists Up in 1974—Accounts for Nearly all Growth of Scientists and Engineers in Doctorate-Granting Institutions" .....
- "Immigration of Scientists and Engineers Drops Sharply in FY 1973; Physician Inflow Still Near FY 1972 Peak" .....
- "Selected Characteristics of Five Engineering and Scientific Occupational Groups, 1972" .....
- "NSF Forecasts Rise in Company-Funded Research and Development and R&D Employment" .....

## Resources Publications

	NSF Number	Price			
Engineering Colleges			An Analysis of Federal R&D Funding by Function, Fiscal Years 1969-1975 .....	74-313	\$2.25
Industry, 1972 ...	75-315	In press	Immigrant Scientists and Engineers in the United States. A Study of Characteristics and Attitudes .....	73-302	\$2.50
ists and 1973 .....	75-314	In press	Scientific Human Resources: Profiles and Issues .....	72-304	\$0.25
Population Education Characteristics .....	75-312	In press	Papers and Proceedings of a Colloquium on Research and Development and Economic Growth/Productivity .....	72-303	\$0.75
ources, No. 23, Public Institutions, U.S.	75-313	In press			
	75-311	\$0.35			
	75-310	In press			
U.S. Government and 1973 ...	75-303	\$1.80	HIGHLIGHTS		
Engineering	75-302	\$1.70	"National Sample of Scientists and Engineers: Changes in Employment, 1970-72 and 1972-74" .....	75-309	—
Student Support	75-301	\$1.30	"The 1972 Scientist and Engineer Population Redefined" .....	75-305	—
ate Science Postdoctorals, ...	74-318	In press	"Employment of Life Scientists Up in 1974— Accounts for Nearly all Growth of Scientists and Engineers in Doctorate-Granting Institutions" .....	74-315	—
ources, No. 22, of Graduate on" .....	74-318-A	—	"Immigration of Scientists and Engineers Drops Sharply in FY 1973; Physician Inflow Still Near FY 1972 Peak" .....	74-302	—
	74-317	\$0.25	"Selected Characteristics of Five Engineering and Scientific Occupational Groups, 1972" ...	73-306	—
			"NSF Forecasts Rise in Company-Funded Research and Development and R&D Employment" ....	73-301	—